



# Group Theory and Vibrational Spectroscopy

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Physics 251

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# Outline

- Molecular Symmetry
- Representations of Molecular Point Groups
- Group Theory and Quantum Mechanics
- Vibrational Spectroscopy

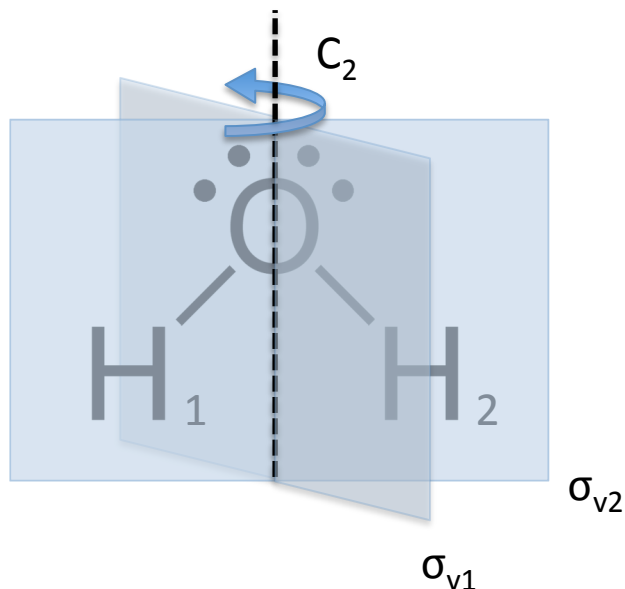
# Molecular Symmetry

Point Group- is a discrete finite symmetry group whose operation keeps at least one point stays fixed.

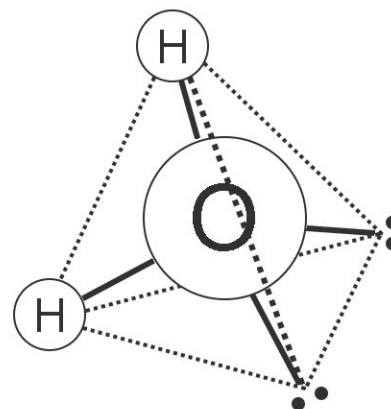
Symmetry Group- Group of isomorphisms that map an object onto itself (automorphisms)

Typical mappings include rotations, reflections, and inversions.

# Symmetry of H<sub>2</sub>O

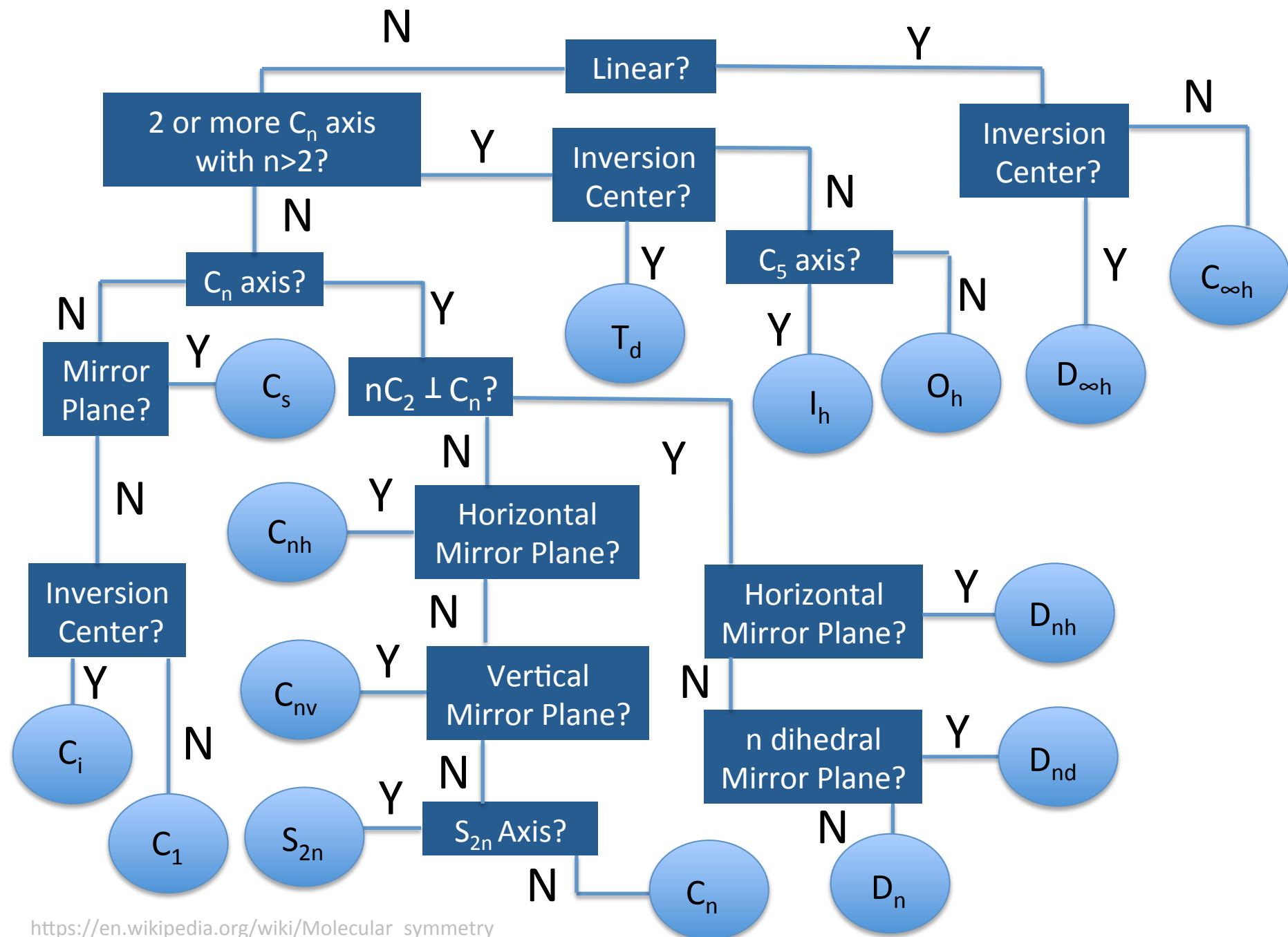


$$G := \{E, C_2, \sigma_{v1}, \sigma_{v2}\}$$

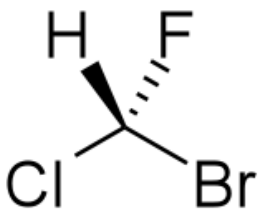


Bent  
Geometry  
Determined  
from VSEPR

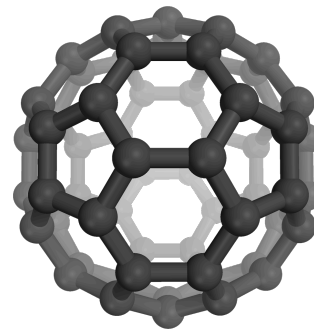
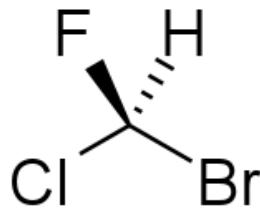
1. Closure  $\rightarrow C_2\sigma_{v1} = \sigma_{v2}$   
 $\sigma_{v1}\sigma_{v2} = C_2$
2. Identity  $\rightarrow E$
3. Inverse  $\rightarrow$  each element is its own inverse
4. Associativity  $\rightarrow C_2(\sigma_{v1}\sigma_{v2}) = E$   
 $(C_2\sigma_{v1})\sigma_{v2} = E$



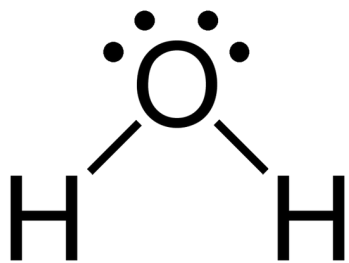
# Examples



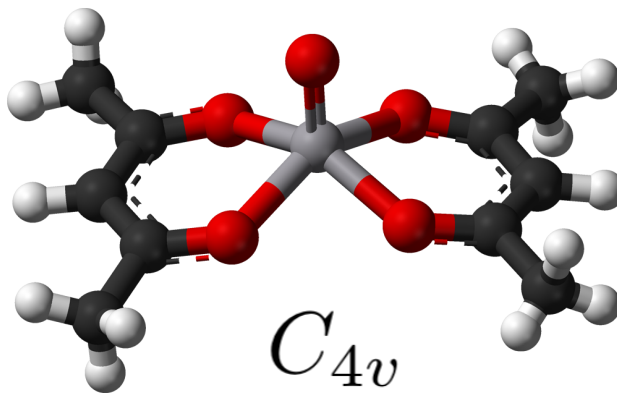
$C_1$



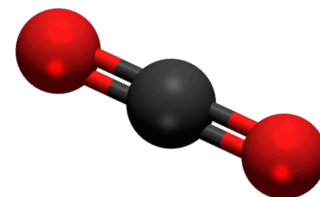
$I_h$



$C_{2v}$



$C_{4v}$



$D_{\infty h}$

# Representations of Molecular Point Groups

A representation is a group homomorphism  $\phi: G \rightarrow GL(V, F)$ .  
Where  $V$  is a vector space and  $F$  is a field.

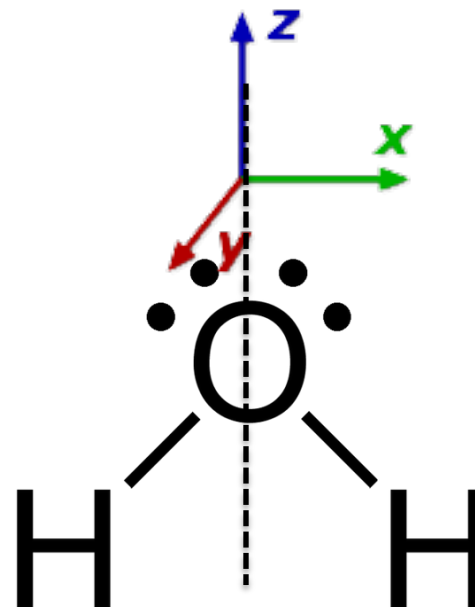
Construction of a representation requires first defining  
your representation space

# Matrix Representations of Group Operations

$$G := \{E, C_2, \sigma_{v1}, \sigma_{v2}\}$$



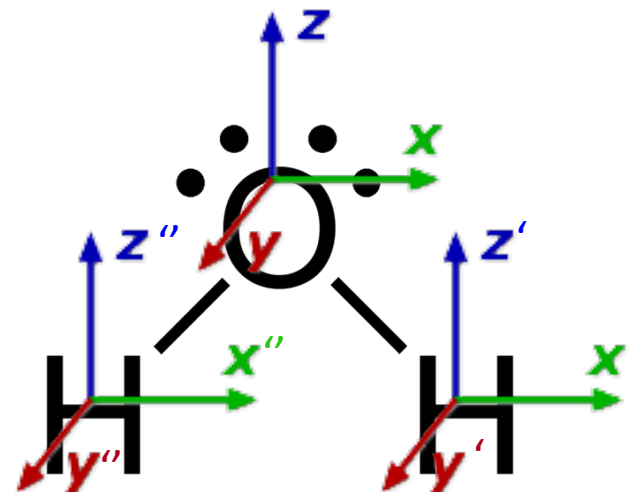
$$\text{GL}(V) := \left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \right\}$$





# Matrix Representations of Group Operations

$$\sigma_{v1} \begin{bmatrix} x \\ y \\ z \\ x' \\ y' \\ z' \\ x'' \\ y'' \\ z'' \end{bmatrix} = \begin{pmatrix} -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix} \begin{bmatrix} x \\ y \\ z \\ x' \\ y' \\ z' \\ x'' \\ y'' \\ z'' \end{bmatrix}$$



$$C_2 \begin{bmatrix} x \\ y \\ z \\ x' \\ y' \\ z' \\ x'' \\ y'' \\ z'' \end{bmatrix} = \begin{pmatrix} -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \end{pmatrix} \begin{bmatrix} x \\ y \\ z \\ x' \\ y' \\ z' \\ x'' \\ y'' \\ z'' \end{bmatrix}$$

$$G := \{E, C_2, \sigma_{v1}, \sigma_{v2}\}$$

# Irreducible Representations of Group Elements

A linear representation,  $\phi: G \rightarrow GL(V, F)$ , is *irreducible* if the vectorspace,  $V$ , is non-zero and contains no invariant subspaces under  $\phi$ .

Alternatively, if a representation is not block diagonalizable using similarity transformations, then the representation is *irreducible*

- To find irreducible representations, first find the irreducible characters.

# Schur Orthogonality Relations

$$\sum_g \Gamma^{(r)*}(g)_{mn} \Gamma^{(s)}(g)_{m'n'} = \frac{|G|}{\sqrt{d_r d_s}} \delta_{rs} \delta_{mn} \delta_{m'n'}$$

i.e.)

$$\sum_g \Gamma^{(r)*}(g)_{mn} \Gamma^{(s)}(g)_{mn} = 0$$

$$\sum_g \Gamma^{(r)*}(g)_{mn} \Gamma^{(r)}(g)_{m'n'} = 0$$

$$\sum_g \Gamma^{(r)*}(g)_{mn} \Gamma^{(r)}(g)_{mn} = \frac{|G|}{\sqrt{d_r d_s}}$$

By selecting one matrix element from each matrix in an irreducible representations set of matrices we can form a vector that is the same dimension as the order of the group and is orthogonal and normalized by the dimension of the irreducible representations

# 5 Rules for Irreps. and Characters

1. The sum of the squares of the dimensions of the irreps. of a group is equal to the order of the group.

$$\sum d_r^2 = d_1^2 + d_2^2 + \dots = |G|$$

2. The sum of the squares of the characters in any irrep. equals the order of the group.

$$\sum_g [\chi_i(g)]^2 = |G|$$

Character  $\rightarrow$  Trace of a matrix  $\chi = \text{Tr} A$

3. The vectors whose components are the characters of two different irreps. are orthogonal.

$$\sum_g \chi_i(g) \chi_j(g) = 0$$

4. In a given rep. the characters of all matrices belonging to operations in the same class are identical.

5. The number of irreps. of a group is equal to the number of classes in the group.

# Irreducible Representations of $C_{2v}$

The number of irreps. is equal  
to the number of classes

$$G := \{E, C_2, \sigma_{v1}, \sigma_{v2}\}$$

$$\{E\}\{C_2\}\{\sigma_{v1}\}\{\sigma_{v2}\}$$

$$\begin{array}{ll} C_2 E C_2^{-1} = E & \sigma_{v1} E \sigma_{v1}^{-1} = E \\ C_2 C_2 C_2^{-1} = C_2 & \sigma_{v1} C_2 \sigma_{v1}^{-1} = C_2 \\ C_2 \sigma_{v1} C_2^{-1} = \sigma_{v1} & \sigma_{v1} \sigma_{v1} \sigma_{v1}^{-1} = \sigma_{v1} \\ C_2 \sigma_{v2} C_2^{-1} = \sigma_{v2} & \sigma_{v1} \sigma_{v2} \sigma_{v1}^{-1} = \sigma_{v2} \end{array}$$

The sum of the squares of the  
dimensions of the irreps. of a group is  
equal to the order of the group.

$$\sum d_r^2 = d_1^2 + d_2^2 + d_3^2 + d_4^2 = 4$$

$$d_1 = d_2 = d_3 = d_4 = 1$$

# Character Table of $C_{2v}$

The trivial representation is always given

|            | E | $C_{2v}$ | $\sigma_{v1}$ | $\sigma_{v2}$ |
|------------|---|----------|---------------|---------------|
| $\Gamma_1$ | 1 | 1        | 1             | 1             |

The following irreps. must follow character orthogonality

$$\sum_g \chi_1(g)\chi_j(g) = 0 \quad \forall j \neq 1$$

|            | E | $C_{2v}$ | $\sigma_{v1}$ | $\sigma_{v2}$ |
|------------|---|----------|---------------|---------------|
| $\Gamma_1$ | 1 | 1        | 1             | 1             |
| $\Gamma_2$ | 1 | 1        | -1            | -1            |
| $\Gamma_3$ | 1 | -1       | 1             | -1            |
| $\Gamma_4$ | 1 | -1       | -1            | 1             |

# Character Table of $C_{2v}$ with Mulliken Symbols

|                            | E | $C_{2v}$ | $\sigma_{v1}$ | $\sigma_{v2}$ |
|----------------------------|---|----------|---------------|---------------|
| $\Gamma_1 \rightarrow A_1$ | 1 | 1        | 1             | 1             |
| $\Gamma_2 \rightarrow A_2$ | 1 | 1        | -1            | -1            |
| $\Gamma_3 \rightarrow B_1$ | 1 | -1       | 1             | -1            |
| $\Gamma_4 \rightarrow B_2$ | 1 | -1       | -1            | 1             |

A  $\rightarrow$  1-D, symmetric to  $C_n$

B  $\rightarrow$  1-D, anti-symmetry to  $C_n$

sub. **1**  $\rightarrow$  symmetric to  $\sigma$

sub. **2**  $\rightarrow$  anti-symmetric to  $\sigma$

See Appendix II for details on notation.

# Group Theory and Quantum Mechanics



# Invariance of the Hamiltonian

A symmetry operation on a molecule leaves the molecule unchanged. This implies the Hamiltonian must also remain unchanged under the symmetry operation.

$$[R, \mathcal{H}] = 0$$

Eigenfunctions are bases for the irreducible representation of the symmetry group

# Wave Functions as Bases for Irreps.

Let  $\Psi_{i1}, \Psi_{i2}, \dots, \Psi_{ik}$  be a set of orthonormal eigenfunctions.

$\mathbf{G}$  is a symmetry group and  $R \in \mathbf{G}$ .

Case 1: Eigenvalue is non-degenerate

$$\mathcal{H}R\Psi_{i1} = R\mathcal{H}\Psi_{i1} = RE_{i1}\Psi_{i1} = E_{i1}R\Psi_{i1}$$

$\Rightarrow R\Psi_{i1}$  is an eigenfunction of  $\mathcal{H}$

Since  $\Psi_{i1}$  is normalized, then  $R\Psi_{i1}$  must also be normalized.

$$\Rightarrow R\Psi_{i1} = \pm 1 \Psi_{i1}$$

Therefore, application of symmetry operation on  $\Psi_{i1}$

produces a representation of the group where each matrix is  $\pm 1$

$\Rightarrow$  Representations are irreducible.

# Proof

Case 2: Eigenvalue is degenerate. Suppose degeneracy is k-fold.

$$\mathcal{H}R\Psi_i = E_i R\Psi_i$$

Since  $E_i$  is degenerate, any linear combination of its eigenfunctions is also a solution to the wave equation with the same eigenvalue.

$$\text{Let } \Psi_{il} = \sum_{j=1}^k \Psi_{ij}$$

Then application of a symmetry operation produces

$$R\Psi_{il} = \sum_{j=1}^k r_{jl} \Psi_{ij}$$

Suppose  $S \in \mathbf{G}$  acts on  $\Psi$

$$S\Psi_{ij} = \sum_{m=1}^k s_{mj} \Psi_{im}$$

Since  $S, R$  are elements in  $\mathbf{G}$ , then there must exist an element  $T$ , such that  $T = SR$

# Proof

$$T = SR$$

$$SR\Psi_{il} = T\Psi_{il} = \sum_{m=1}^k t_{ml}\Psi_{im} = S \sum_{j=1}^k r_{jl}\Psi_{ij} = \sum_{j=1}^k \sum_{m=1}^k s_{mj}r_{jl}\Psi_{im}$$

$$\Rightarrow t_{ml} = \sum_{j=1}^k s_{mj}r_{jl}$$

$$\Rightarrow \begin{pmatrix} t_{11} & \dots \\ \vdots & \ddots \end{pmatrix} = \begin{pmatrix} s_{11} & \dots \\ \vdots & \ddots \end{pmatrix} \begin{pmatrix} r_{11} & \dots \\ \vdots & \ddots \end{pmatrix}$$

Therefore transformations of k-eigenfunctions corresponding to a k-fold eigenvalue are a k-dimensional representation.

# Proof

Are these representations irreducible?

Suppose not.

Then these representations can be reduced and  $(\Psi_{i1}, \Psi_{i2}, \dots, \Psi_{ik})$  the set of orthonormal eigenfunctions with the same energy be broken up into two subsets as follows

$$(\Psi_{i1}, \Psi_{i2}, \dots, \Psi_{ik}) \longrightarrow (\Psi_{i1}, \Psi_{i2}, \dots, \Psi_{im}) (\Psi_{im+1}, \dots, \Psi_{ik})$$

Applying  $R^{(i)}$ , an irreducible representation of  $R \in G$ , to each of these subsets

$$R^{(i)}(\Psi_{i1}, \Psi_{i2}, \dots, \Psi_{im}) = \sum_{j=1}^m r_{jl} \Psi_{ij}$$

$$R^{(i)}(\Psi_{im+1}, \dots, \Psi_{ik}) = \sum_{j=m+1}^k r_{jl} \Psi_{ij}$$

But these subsets of eigenfunctions when summed together could have different eigenvalues.  $\Rightarrow (\Psi_{i1}, \Psi_{i2}, \dots, \Psi_{ik})$  being degenerate eigenfunctions.

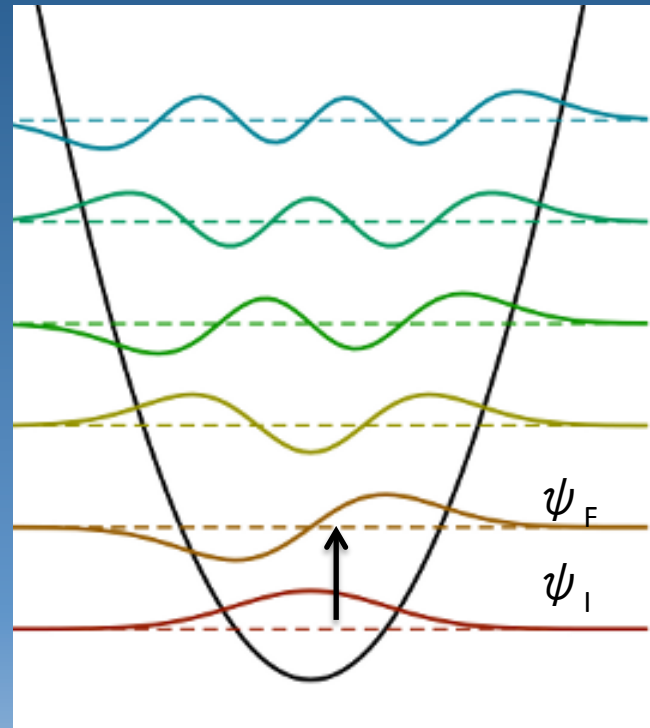
Eigenfunctions are bases for the irreducible representations of a molecule's symmetry group

Eigenfunctions are bases for the irreducible representations of a molecule's symmetry group

Corollary: The dimension of the irreducible representations,  $n$ , is equal to the degeneracy of an  $n$ -fold degenerate eigenvalue.

|       | E | $C_{2v}$ | $\sigma_{v1}$ | $\sigma_{v2}$ |
|-------|---|----------|---------------|---------------|
| $A_1$ | 1 | 1        | 1             | 1             |
| $A_2$ | 1 | 1        | -1            | -1            |
| $B_1$ | 1 | -1       | 1             | -1            |
| $B_2$ | 1 | -1       | -1            | 1             |

# Group Theory in Vibrational Spectroscopy



# Vibrational Transitions

Vibrational spectroscopy is a linear optical process. We can model the transition rate with Fermi's Golden Rule

$$R_{IF}^{(1)}(\omega) = \frac{\pi}{2\hbar^2} E_0^2 | \langle \psi_F | \hat{H}' | \psi_I \rangle |^2 \rho(E_F - E_I - \hbar\omega)$$

Using the electric dipole approximation for the electric field, the time-independent perturbing Hamiltonian is defined as:

$$\hat{H}' = \frac{E_0}{2} \cdot \hat{\mu}$$

$$R_{IF}^{(1)}(\omega) = \frac{\pi}{2\hbar^2} E_0^2 | \langle \psi_F | \hat{\mu} | \psi_I \rangle |^2 \rho(E_F - E_I - \hbar\omega)$$



# Vibrational Transitions

Vibrational spectroscopy is a linear optical process. We can model the transition rate with Fermi's Golden Rule

$$R_{IF}^{(1)}(\omega) = \frac{\pi}{2\hbar^2} E_0^2 | \langle \Psi_{el.i} \psi_F | \hat{\mu} | \Psi_{el.i} \psi_I \rangle |^2 \rho(E_F - E_I - \hbar\omega)$$

Where for an allowed transition the following must hold:

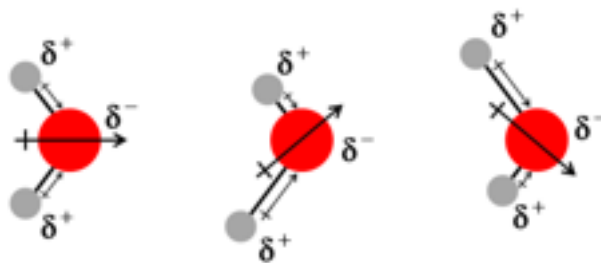
$$\langle \Psi_{el.i} \psi_{vib.I} | \hat{\mu} | \Psi_{el.i} \psi_{vib.F} \rangle \neq 0$$

# Allowed Transitions

Consider the case where the radiation is polarized in the z-direction. Evaluation of the matrix element is as follows:

$$\begin{aligned} & \langle \Psi_{el.i} \psi_{vib.I} | \hat{\mu}_z | \Psi_{el.i} \psi_{vib.F} \rangle \\ &= \sum_j \left( \frac{\partial M_z}{\partial Q_j} \right)_0 \int dQ \psi_{vib.F}^*(Q) (Q_j - Q_{j0}) \psi_{vib.I}(Q) \end{aligned}$$

For a transition, there must be a change in the dipole w.r.t. the bond length



# Symmetry of the Operator

$$\hat{\mu}_x \propto \left(\frac{\partial M_x}{\partial Q_j}\right)(Q_j - Q_{j_0})$$

$$\hat{\mu}_y \propto \left(\frac{\partial M_y}{\partial Q_j}\right)(Q_j - Q_{j_0})$$

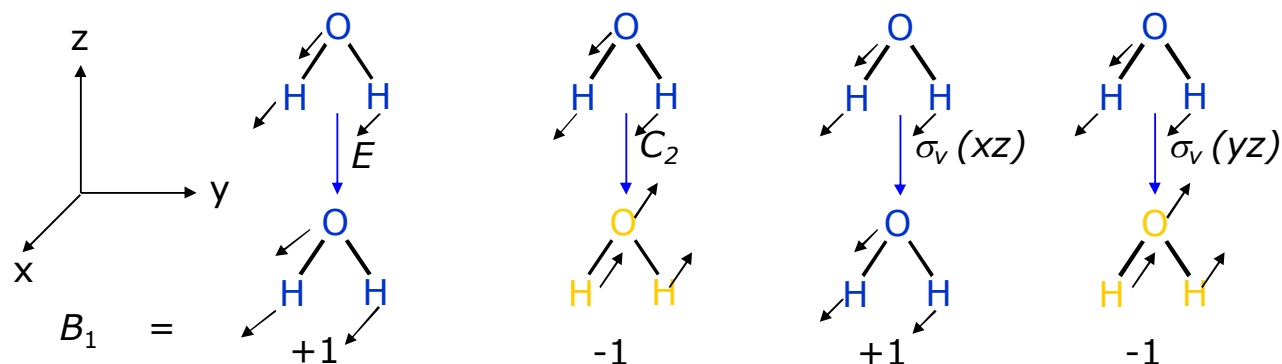
$$\hat{\mu}_z \propto \left(\frac{\partial M_z}{\partial Q_j}\right)(Q_j - Q_{j_0})$$



Symmetries will be the same as translations

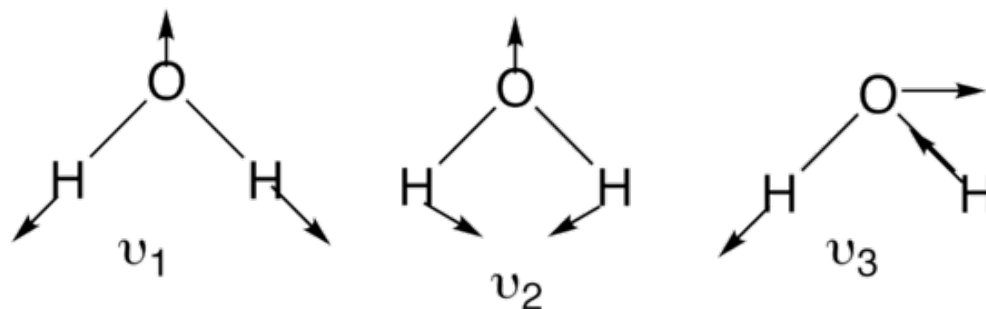
|       | E | $C_{2v}$ | $\sigma_{v1}$ | $\sigma_{v2}$ |   |
|-------|---|----------|---------------|---------------|---|
| $A_1$ | 1 | 1        | 1             | 1             | z |
| $A_2$ | 1 | 1        | -1            | -1            |   |
| $B_1$ | 1 | -1       | 1             | -1            | x |
| $B_2$ | 1 | -1       | -1            | 1             | y |

Translations in the X-direction



# Normal Modes as Bases of Irreps.

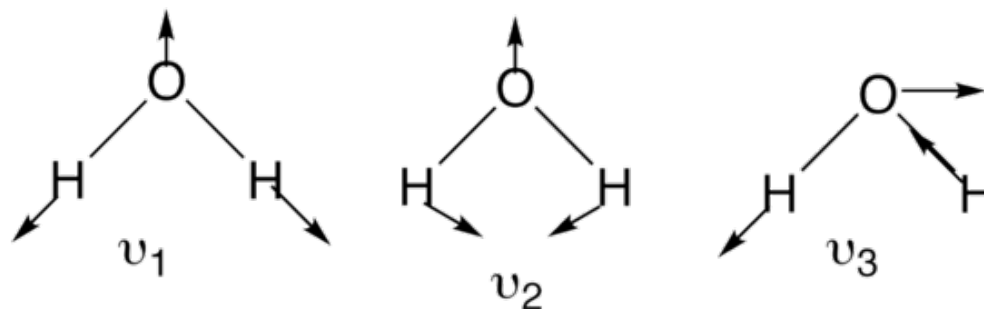
For polyatomic molecules, a natural choice of choice for the bases are the normal modes of vibration.



This simplifies the problem to a one-dimensional harmonic oscillator, wherein the Hamiltonian is separable and the wavefunction can be written as the following:

$$\psi_{vib}(Q_1, Q_2, Q_3, \dots) = \psi_{v1}(Q1)\psi_{v2}(Q2)\psi_{v3}(Q3)$$

# Symmetry of Normal Modes



$$v_1 \rightarrow A_1$$

$$v_2 \rightarrow A_1$$

$$v_3 \rightarrow B_1$$

|       | E | $C_{2v}$ | $\sigma_{v1}$ | $\sigma_{v2}$ |   |
|-------|---|----------|---------------|---------------|---|
| $A_1$ | 1 | 1        | 1             | 1             | z |
| $A_2$ | 1 | 1        | -1            | -1            |   |
| $B_1$ | 1 | -1       | 1             | -1            | x |
| $B_2$ | 1 | -1       | -1            | 1             | y |

# Integrals of Product Functions

Integrand must be invariant to all symmetry operations for it to be non-zero. Implying the product forms a basis containing the totally symmetric representation,  $A_1$ .

$$\int \psi_{vib.I}^* \hat{\mu} \psi_{vib.F} d\tau \neq 0$$

We know the functions form a basis for an irreducible representation

$$\psi_A \rightarrow \Gamma_A$$

If the irreducible representation whose basis is the individual functions is known, then the direct product of the irreducible representations can be used to determine the symmetry of the integrand.

$$\Gamma_A \times \Gamma_B \times \Gamma_\mu \subseteq A_1$$

# Active Vibrational Transitions

|       | E | $C_{2v}$ | $\sigma_{v1}$ | $\sigma_{v2}$ |   |
|-------|---|----------|---------------|---------------|---|
| $A_1$ | 1 | 1        | 1             | 1             | z |
| $A_2$ | 1 | -1       | -1            | 1             |   |
| $B_1$ | 1 | -1       | 1             | -1            | x |
| $B_2$ | 1 | 1        | -1            | -1            | y |

$$\psi_{v1} \rightarrow A_1$$

$$\psi_{v2} \rightarrow A_1$$

$$\psi_{v3} \rightarrow B_1$$

$$\psi_1 \mu_x \psi_0 \Rightarrow A_1 \times B_1 \times A_1 = B_1$$

$$\psi_1 \mu_y \psi_0 \Rightarrow A_1 \times B_2 \times A_1 = B_2$$

$$\psi_1 \mu_z \psi_0 \Rightarrow A_1 \times A_1 \times A_1 = A_1$$

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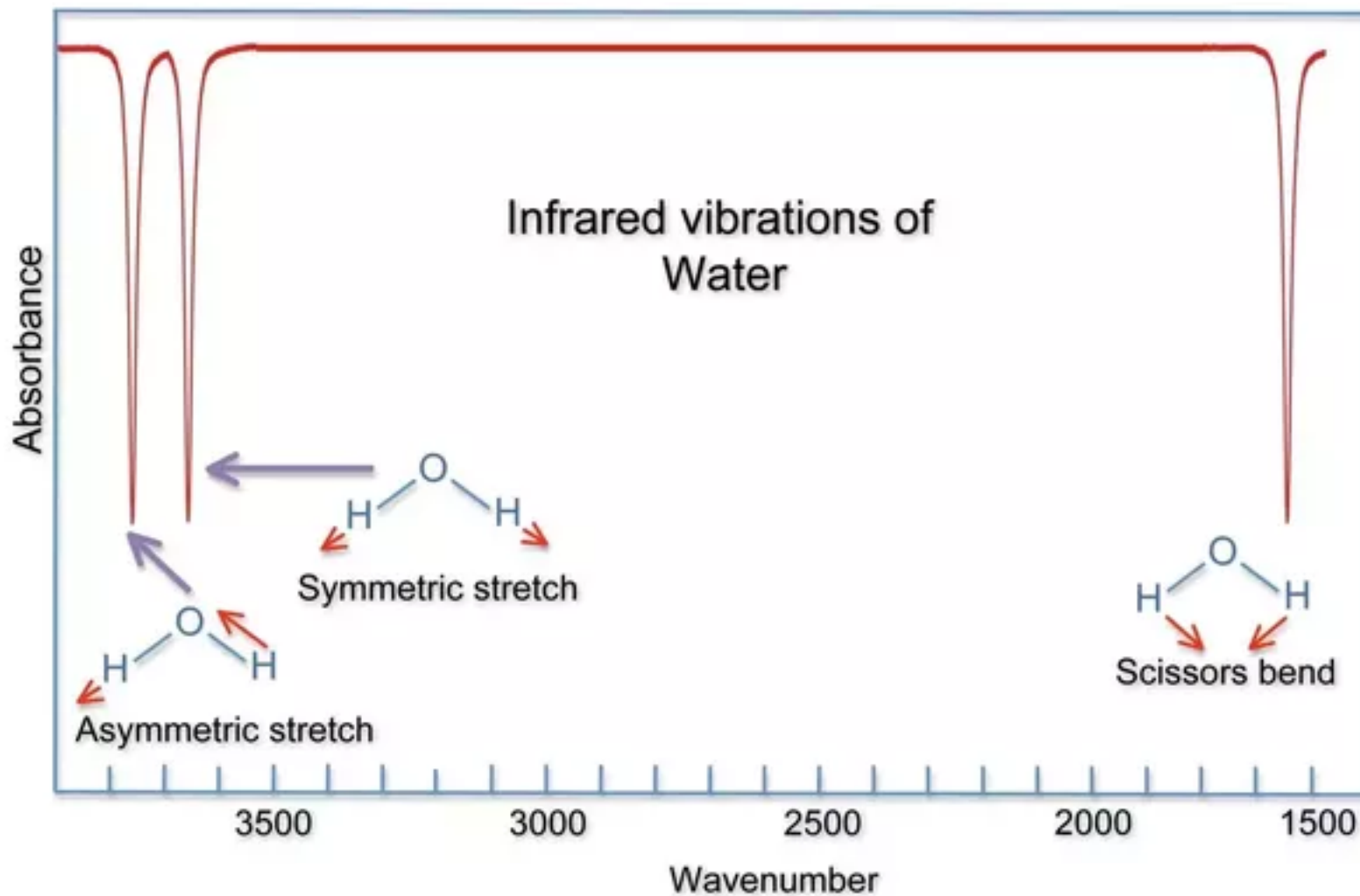
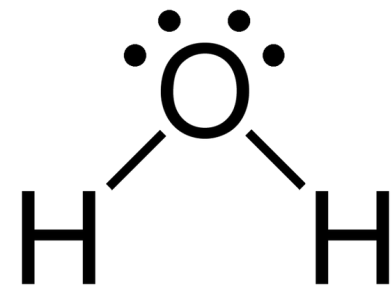

$$\psi_1 \mu_x \psi_0 \Rightarrow B_2 \times B_1 \times A_1 = A_2$$

$$\psi_1 \mu_y \psi_0 \Rightarrow B_2 \times B_2 \times A_1 = A_1$$

$$\psi_1 \mu_z \psi_0 \Rightarrow B_2 \times A_1 \times A_1 = B_2$$

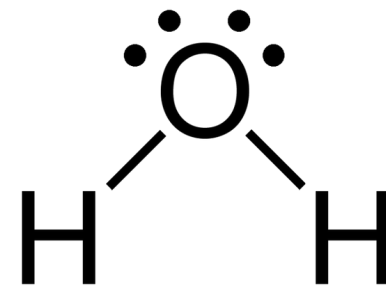
All modes are IR active

# Infrared Spectrum of Water

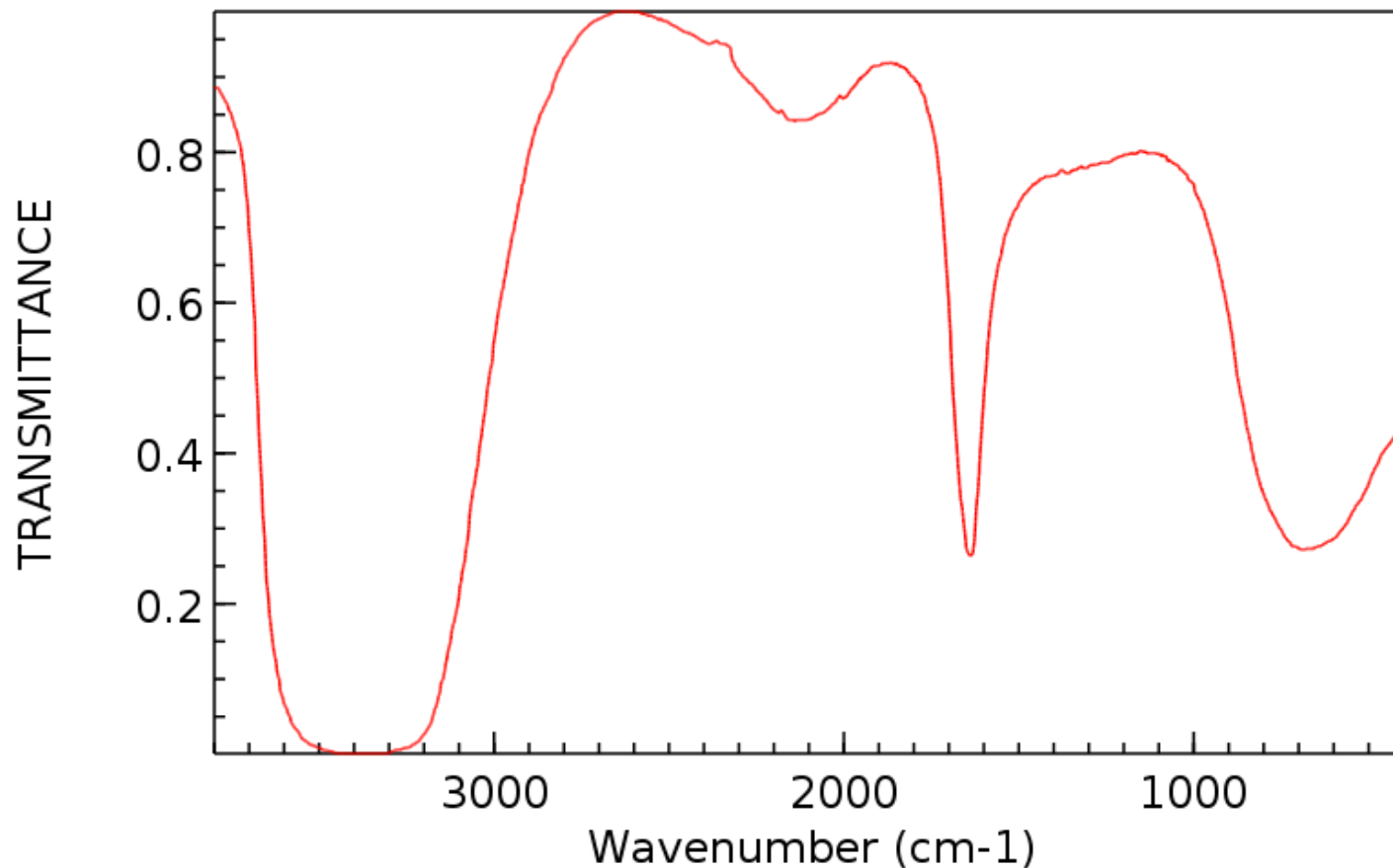




# Infrared Spectrum of Water



WATER  
INFRARED SPECTRUM



NIST Chemistry WebBook (<http://webbook.nist.gov/chemistry>)

# References

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[https://chem.libretexts.org/Core/Physical\\_and\\_Theoretical\\_Chemistry/Group\\_Theory](https://chem.libretexts.org/Core/Physical_and_Theoretical_Chemistry/Group_Theory)

If the structure is known then the  
symmetry is known and vice versa

Thank you.

Questions?

# Appendix I-Schönflies Notation

- $C_n$  (cyclic)  $\longrightarrow$  object has  $n$ -fold rotation axis
  - $C_{nh}$   $\rightarrow$  plane of reflection perpendicular to axis of rotation
  - $C_{nv}$   $\rightarrow$  “ $n$ ” mirror planes containing the axis of rotation
- $D_n$  (dihedral)  $\longrightarrow C_n$  and  $nC_2 \perp$  to  $C_n$ 
  - $D_{nh}$  has a horizontal mirror plane and  $n$  vertical mirror planes containing the rotation axis
  - $D_{nd}$  has  $n$  diagonal mirror planes
- $C_{ni}$   $\longrightarrow$  object has a center of inversion
- $S_n$   $\longrightarrow$  improper rotation, i.e. rotation followed by a reflection (**Note**  $S_1 = \sigma$ ,  $S_2 = i$ )
- $I, O, T$   $\longrightarrow$  icosahedral, octahedral, and tetrahedral

# Appendix II - Mulliken Symbols

A  $\Rightarrow$  one dimensional irrep. symmetric rotation about principle axis

B  $\Rightarrow$  one dimensional irrep. anti-symmetric rotation about principle axis.

E  $\Rightarrow$  2-dimensional irrep.

T  $\Rightarrow$  3-dimensional irrep.

Subscript 1 or 2 (on A and B)  $\Rightarrow$

symmetric or antisymmetric, respectively, to  $C_2 \perp C_n$ , or if no  $C_2$  then subscript w.r.t.  $\sigma_v$ .

Subscripts g or u  $\Rightarrow$

applied to groups with a center of inversion. g (gerade) is symmetric, u (ungerade) antisymmetric w.r.t. center of inversion.

prime  $\Rightarrow$  symmetric w.r.t. reflection in horizontal plane

double prime  $\Rightarrow$  anti-symmetric w.r.t. reflection in horizontal plane