# CONJUGATION IN A GROUP

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### 1. INTRODUCTION

A reflection across one line in the plane is, geometrically, just like a reflection across any other line. That is, any two reflections in the plane have the same *type* of effect on the plane. Similarly, two permutations of a set that are both transpositions (swapping two elements while fixing everything else) look the same except for the choice of the pairs getting moved. So all transpositions have the same *type* of effect on the elements of the set. The concept that makes this notion of "same except for the point of view" precise is called conjugacy.

In a group G, two elements g and h are called *conjugate* when

$$h = xgx^{-1}$$

for some  $x \in G$ . The relation is symmetric, since  $g = yhy^{-1}$  with  $y = x^{-1}$ . When  $xgx^{-1} = h$ , we say x conjugates g to h. (Warning: when some people say "x conjugates g to h" they mean  $x^{-1}gx = h$  instead of  $xgx^{-1} = h$ .)

**Example 1.1.** In  $S_3$ , what are the conjugates of (12)? We make a table of  $\sigma(12)\sigma^{-1}$  for all  $\sigma \in S_3$ .

$\sigma$	(1)	(12)	(13)	(23)	(123)	(132)
$\sigma(12)\sigma^{-1}$	(12)	(12)	(23)	(13)	(23)	(13)

The conjugates of (12) are in the second row: (12), (13), and (23). Notice the redundancy in the table: each conjugate of (12) arises in two ways.

We will see in Theorem 4.4 that in  $S_n$  any two transpositions are conjugate. In Appendix A is a proof that the reflections across any two lines in the plane are conjugate within the group of all isometries of the plane.

It is useful to collect all conjugate elements in a group together, and these are called conjugacy classes. We'll look at some examples of this in Section 2, and some general theorems about conjugate elements in a group are proved in Section 3. Conjugate permutations in symmetric and alternating groups are described in Section 4. In Section 5 we will introduce some subgroups that are related to conjugacy and use them to prove some theorems about finite *p*-groups, such as a classification of groups of order  $p^2$  and the existence of a normal (!) subgroup of every order dividing the order of a *p*-group.

# 2. Conjugacy classes: definition and examples

For an element g of a group G, its conjugacy class is the set of elements conjugate to it:

$$K_g = \{ xgx^{-1} : x \in G \}.$$

**Example 2.1.** When G is abelian, each element is its own conjugacy class.

**Example 2.2.** The conjugacy class of (12) in  $S_3$  is  $\{(12), (13), 23)\}$ , as we saw in Example 1.1. Similarly, the reader can check the conjugacy class of (123) is  $\{(123), (132)\}$ . The conjugacy class of (1) is just  $\{(1)\}$ . So  $S_3$  has three conjugacy classes:

 $\{(1)\}, \{(12), (13), (23)\}, \{(123), (132)\}.$ 

**Example 2.3.** In  $D_4 = \langle r, s \rangle$ , there are five conjugacy classes:

 $\{1\}, \ \{r^2\}, \ \{s,r^2s\}, \ \{r,r^3\}, \ \{rs,r^3s\}.$ 

The geometric effect on a square of the members of a conjugacy class of  $D_4$  is the same: a 90 degree rotation in some direction, a reflection across a diagonal, or a reflection across an edge bisector.

**Example 2.4.** There are five conjugacy classes in  $Q_8$ :

 $\{1\}, \{-1\}, \{i, -i\}, \{j, -j\}, \{k, -k\}.$ 

**Example 2.5.** There are four conjugacy classes in  $A_4$ :

 $\{(1)\}, \{(12)(34), (13)(24), (14)(23)\},\$ 

 $\{(123), (243), (134), (142)\}, \{(132), (234), (143), (124)\}.$ 

Notice the 3-cycles (123) and (132) are not conjugate in  $A_4$ . All 3-cycles are conjugate in the larger group  $S_4$ , e.g.,  $(132) = (23)(123)(23)^{-1}$  and the conjugating permutation (23) is not in  $A_4$ .

In these examples, different conjugacy classes in a group are *disjoint*: they don't overlap at all. This will be proved in general in Section 3. Also, the sizes of different conjugacy classes in a single group vary, but these sizes all divide the size of the group. We will see in Section 5 why this is true in any group.

Conjugation can be extended from elements to subgroups. If  $H \subset G$  is a subgroup and  $g \in G$ , the set

$$gHg^{-1} = \{ghg^{-1} : h \in H\}$$

is a subgroup of G, called naturally enough a *conjugate subgroup* to H.

**Example 2.6.** While  $D_4$  has 5 conjugacy classes of elements (Example 2.3), it has 8 conjugacy classes of subgroups. There are 10 subgroups of  $D_4$ :

 $\langle 1 \rangle, \langle s \rangle, \langle rs \rangle, \langle r^2 s \rangle, \langle r^3 s \rangle, \langle r \rangle, \langle r^2 \rangle, \langle r^2, s \rangle, \langle r^2, rs \rangle, D_4.$ 

The subgroups  $\langle s \rangle$  and  $\langle r^2 s \rangle$  are conjugate, as are  $\langle rs \rangle$  and  $\langle r^3 s \rangle$ . All other subgroups are conjugate only to themselves.

We will not discuss conjugate subgroups further, but the concept is important. For instance, a subgroup is conjugate only to itself precisely when it is a normal subgroup.

# 3. Some basic properties of conjugacy classes

**Theorem 3.1.** Any two elements of a conjugacy class have the same order.

*Proof.* This is saying g and  $xgx^{-1}$  have the same order. That follows from the formula  $(xgx^{-1})^n = xg^nx^{-1}$ , which shows  $(xgx^{-1})^n = 1$  if and only if  $g^n = 1$  (check!).

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A naive converse to Theorem 3.1 is false: elements of the same order in a group need not be conjugate. This is clear in abelian groups, where different elements are never conjugate. Looking at the nonabelian examples in Section 2, in  $D_4$  there are five elements of order two spread across 3 conjugacy classes. Similarly, there are examples of non-conjugate elements of equal order in  $Q_8$  and  $A_4$ . But in  $S_3$ , elements of equal order in  $S_3$  are conjugate. Amazingly, this is the largest example of a finite group where this property holds: up to isomorphism, the only nontrivial finite groups where all elements of equal order are conjugate are  $\mathbf{Z}/(2)$ and  $S_3$ . A proof is given in [1] and [2], and depends on the classification of finite simple groups. A conjugacy problem about  $S_3$  that remains open, as far as I know, is the conjecture that  $S_3$  is the only nontrivial finite group (up to isomorphism) in which different conjugacy classes always have different sizes.

Let's verify the observation in Section 2 that different conjugacy classes in a group are disjoint.

**Theorem 3.2.** Let G be a group and  $g, h \in G$ . If the conjugacy classes of g and h overlap, then the conjugacy classes are equal.

*Proof.* We need to show every element conjugate to g is also conjugate to h, and vice versa. Since the conjugacy classes overlap, we have  $xgx^{-1} = yhy^{-1}$  for some x and y in the group. Therefore

$$g = x^{-1}yhy^{-1}x = (x^{-1}y)h(x^{-1}y)^{-1}$$

so g is conjugate to h. Any element conjugate to g is  $zgz^{-1}$  for some  $z \in G$ , and

$$zgz^{-1} = z(x^{-1}y)h(x^{-1}y)^{-1}z^{-1} = (zx^{-1}y)h(zx^{-1}y)^{-1},$$

which shows any element conjugate to g is conjugate to h. To go the other way, write  $h = (y^{-1}x)g(y^{-1}x)^{-1}$  and carry out a similar calculation.

Theorem 3.2 says each element of a group belongs to just one conjugacy class. We call any element of a conjugacy class a *representative* of that class.

A conjugacy class consists of all  $xgx^{-1}$  for fixed g and varying x. Instead we can look at all  $xgx^{-1}$  for fixed x and varying g. That is, instead of looking at all the elements conjugate to g we look at all the ways x can conjugate the elements of the group. This "conjugate-by-x" function is denoted  $\gamma_x \colon G \to G$ , so  $\gamma_x(g) = xgx^{-1}$ .

**Theorem 3.3.** Each conjugation function  $\gamma_x \colon G \to G$  is an automorphism of G.

*Proof.* For any g and h in G,

$$\gamma_x(g)\gamma_x(h) = xgx^{-1}xhx^{-1} = xghx^{-1} = \gamma_x(gh),$$

so  $\gamma_x$  is a homomorphism. Since  $h = xgx^{-1}$  if and only if  $g = x^{-1}hx$ , the function  $\gamma_x$  has inverse  $\gamma_{x^{-1}}$ , so  $\gamma_x$  is an automorphism of G.

Theorem 3.3 explains why conjugate elements in a group are "the same except for the point of view": there is an automorphism of the group taking an element to any of its conjugates, namely one of the maps  $\gamma_x$ .

Automorphisms of G having the form  $\gamma_x$  are called *inner automorphisms*. That is, an inner automorphism of G is a conjugation-by-x operation on G, for some  $x \in G$ . Inner automorphisms are about the only examples of automorphisms that can be written down without knowing extra information about the group (such as being told the group is abelian or that it is a particular matrix group). For some groups every automorphism is an inner automorphism. This is true for the groups  $S_n$  when  $n \neq 2, 6$  (that's right:  $S_6$  is the only

nonabelian symmetric group with an automorphism that isn't conjugation by a permutation). The groups  $\operatorname{GL}_n(\mathbf{R})$  when  $n \geq 2$  have extra automorphisms: since  $(AB)^{\top} = B^{\top}A^{\top}$ and  $(AB)^{-1} = B^{-1}A^{-1}$ , the function  $f(A) = (A^{\top})^{-1}$  on  $\operatorname{GL}_n(\mathbf{R})$  is an automorphism and it is not inner.

Here is a simple result where inner automorphisms tell us something about all automorphisms of a group.

**Theorem 3.4.** If G is a group with trivial center, then the group Aut(G) also has trivial center.

*Proof.* Let  $\varphi \in \operatorname{Aut}(G)$  and assume  $\varphi$  commutes with all other automorphisms. We will see what it means for  $\varphi$  to commute with an inner automorphism  $\gamma_x$ . For  $g \in G$ ,

$$(\varphi \circ \gamma_x)(g) = \varphi(\gamma_x(g)) = \varphi(xgx^{-1}) = \varphi(x)\varphi(g)\varphi(x)^{-1}$$

and

$$(\gamma_x \circ \varphi)(g) = \gamma_x(\varphi(g)) = x\varphi(g)x^{-1},$$

so having  $\varphi$  and  $\gamma_x$  commute means, for all  $g \in G$ , that

$$\varphi(x)\varphi(g)\varphi(x)^{-1} = x\varphi(g)x^{-1} \Longleftrightarrow x^{-1}\varphi(x)\varphi(g) = \varphi(g)x^{-1}\varphi(x)$$

so  $x^{-1}\varphi(x)$  commutes with every value of  $\varphi$ . Since  $\varphi$  is onto,  $x^{-1}\varphi(x) \in Z(G)$ . The center of G is trivial, so  $\varphi(x) = x$ . This holds for all  $x \in G$ , so  $\varphi$  is the identity automorphism. We have proved the center of Aut(G) is trivial.

# 4. Conjugacy classes in $S_n$ and $A_n$

The following tables list a representative from each conjugacy class in symmetric and alternating groups in degrees 3 through 6, along with the size of the conjugacy classes. Conjugacy classes disjointly cover a group, by Theorem 3.2, so the conjugacy class sizes add up to n! for  $S_n$  and n!/2 for  $A_n$ .

		$S_3$			$\begin{array}{c c} & A_3 \\ \hline (1) & (123) & (132) \\ \hline 1 & 1 & 1 \end{array}$			$A_3$			
Rep.	(1)	(123)	(12)	(1)	(123)	(132)					
Size	1	2	3	1	1	1					

			$S_4$		$A_4$				
Rep.	(1)	(12)(34)	(12)	(1234)	(123)	(1)	(12)(34)	(123)	(132)
Size	1	3	6	6	8	1	3	4	4

				, L	$S_5$		
Rep.	(1)	(12)	(12)(34)	(123)	(12)(345)	(12345)	(1234)
Size	1	10	15	20	20	24	30

			$A_5$		
Rep.	(1)	(12345)	(21345)	(12)(34)	(123)
Size	1	12	12	15	20

		$S_6$										
Rep.	(1)	(12)	(12)(34)(56)	(123)	(123)(456)	(12)(34)						
Size	1	15	15	40	40	45						
Rep.	(1234)	(12)(3456)	(123456)	(12)(345)	(12345)							
Size	90	90	120	120	144							

				$A_6$			
Rep.	(1)	(123)	(123)(456)	(12)(34)	(12345)	(23456)	(1234)(56)
Size	1	40	40	45	72	72	90

Notice elements of  $A_n$  can be conjugate in  $S_n$  while *not* being conjugate in  $A_n$ , such as (123) and (132) for n = 3 and n = 4. (See Example 2.5.) Any permutation in  $S_3$  or  $S_4$  that conjugates (123) to (132) is not even, so (123) and (132) are not conjugates in  $A_3$  or  $A_4$  but are conjugate in  $S_3$  and  $S_4$ .

As a first step in understanding conjugacy classes in  $S_n$ , we compute the conjugates of a cycle, say a k-cycle.

**Theorem 4.1.** For any cycle  $(i_1i_2...i_k)$  in  $S_n$  and any  $\sigma \in S_n$ ,

$$\sigma(i_1i_2\ldots i_k)\sigma^{-1} = (\sigma(i_1)\sigma(i_2)\ldots\sigma(i_k)).$$

Before proving this formula, let's look at two examples to understand how the formula works.

**Example 4.2.** In  $S_5$ , let  $\sigma = (13)(254)$ . Then

$$\sigma(1432)\sigma^{-1} = (\sigma(1)\sigma(4)\sigma(3)\sigma(2)) = (3215)$$

since  $\sigma(1) = 3$ ,  $\sigma(4) = 2$ ,  $\sigma(3) = 1$ , and  $\sigma(2) = 5$ .

**Example 4.3.** In  $S_7$ , let  $\sigma = (13)(265)$ . Then

$$\sigma(73521)\sigma^{-1} = (71263)$$

since  $\sigma(7) = 7$ ,  $\sigma(3) = 1$ ,  $\sigma(5) = 2$ ,  $\sigma(2) = 6$ , and  $\sigma(1) = 3$ .

*Proof.* Let  $\pi = \sigma(i_1 i_2 \dots i_k) \sigma^{-1}$ . We want to show  $\pi$  is the cyclic permutation of the numbers  $\sigma(i_1), \sigma(i_2), \dots, \sigma(i_k)$ . That means two things:

- Show  $\pi$  sends  $\sigma(i_1)$  to  $\sigma(i_2)$ ,  $\sigma(i_2)$  to  $\sigma(i_3)$ ,..., and finally  $\sigma(i_k)$  to  $\sigma(i_1)$ .
- Show  $\pi$  does not move any number other than  $\sigma(i_1), \ldots, \sigma(i_k)$ .

The second step is essential. Just knowing a permutation cyclically permutes certain numbers does not mean it *is* the cycle built from those numbers, since it could move other numbers we haven't looked at yet. (For instance, if  $\pi(1) = 2$  and  $\pi(2) = 1$ ,  $\pi$  need not be (12). The permutation (12)(345) also has that behavior.)

What does  $\pi$  do to  $\sigma(i_1)$ ? The effect is

$$\pi(\sigma(i_1)) = (\sigma(i_1i_2\dots i_k)\sigma^{-1})(\sigma(i_1)) = ((\sigma(i_1i_2\dots i_k)\sigma^{-1}\sigma)(i_1) = \sigma(i_1i_2\dots i_k)(i_1) = \sigma(i_2).$$

(The " $(i_1)$ " at the ends is not a 1-cycle, but denotes the point where a permutation is being evaluated.) Similarly,  $\pi(\sigma(i_2)) = \sigma(i_1i_2...i_k)(i_2) = \sigma(i_3)$ , and so on up to  $\pi(\sigma(i_k)) = \sigma(i_1i_2...i_k)(i_k) = \sigma(i_1)$ .

Now pick a number a that is not any of  $\sigma(i_1), \ldots, \sigma(i_k)$ . We want to show  $\pi(a) = a$ . That means we want to show  $\sigma(i_1i_2\ldots i_k)\sigma^{-1}(a) = a$ . Since  $a \neq \sigma(i_j)$  for any  $j = 1, \ldots, k$ ,

 $\sigma^{-1}(a)$  is not  $i_j$  for j = 1, ..., k. Therefore the cycle  $(i_1 i_2 ... i_k)$  does not move  $\sigma^{-1}(a)$ , so its effect on  $\sigma^{-1}(a)$  is to keep it as  $\sigma^{-1}(a)$ . Hence

$$\pi(a) = \sigma(i_1 i_2 \dots i_k) \sigma^{-1}(a) = \sigma(\sigma^{-1}(a)) = a.$$

We now know that any conjugate of a cycle is also a cycle of the same length. Is the converse true, *i.e.*, if two cycles have the same length are they conjugate?

**Theorem 4.4.** All cycles of the same length in  $S_n$  are conjugate.

*Proof.* Pick two k-cycles, say

$$(a_1 \ a_2 \ \dots \ a_k), (b_1 \ b_2 \ \dots \ b_k).$$

Choose  $\sigma \in S_n$  so that  $\sigma(a_1) = b_1, \ldots, \sigma(a_k) = b_k$ , and let  $\sigma$  be an arbitrary bijection from the complement of  $\{a_1, \ldots, a_k\}$  to the complement of  $\{b_1, \ldots, b_k\}$ . Then, using Theorem 4.1, we see conjugation by  $\sigma$  carries the first k-cycle to the second.

For instance, the transpositions (2-cycles) in  $S_n$  form a single conjugacy class, as we saw in the introduction.

Now we consider the conjugacy class of an arbitrary permutation in  $S_n$ , not necessarily a cycle. It will be convenient to introduce some terminology. Writing a permutation as a product of disjoint cycles, arrange the lengths of those cycles in increasing order, including 1-cycles if there are any fixed points. These lengths are called the *cycle type* of the permutation.<sup>1</sup> For instance, in  $S_7$  the permutation (12)(34)(567) is said to have cycle type (2, 2, 3). When discussing the cycle type of a permutation, we include fixed points as 1-cycles. For instance, (12)(35) in  $S_5$  is (4)(12)(35) and has cycle type (1, 2, 2). If we view (12)(35) in  $S_6$ then it is (4)(6)(12)(35) and has cycle type (1, 1, 2, 2).

The cycle type of a permutation in  $S_n$  is just a set of positive integers that add up to n, which is called a *partition* of n. There are 7 partitions of 5:

5, 
$$1+4$$
,  $2+3$ ,  $1+1+3$ ,  $1+2+2$ ,  $1+1+1+2$ ,  $1+1+1+1+1$ 

Thus, the permutations of  $S_5$  have 7 cycle types. Knowing the cycle type of a permutation tells us its disjoint cycle structure except for how the particular numbers fall into the cycles. For instance, a permutation in  $S_5$  with cycle type (1, 2, 2) could be (1)(23)(45), (2)(35)(14), and so on. This cycle type of a permutation is exactly the level of detail that conjugacy measures in  $S_n$ : two permutations in  $S_n$  are conjugate precisely when they have the same cycle type. Let's understand how this works in an example first.

**Example 4.5.** We consider two permutations in  $S_5$  of cycle type (2,3):

$$\pi_1 = (24)(153), \quad \pi_2 = (13)(425).$$

To conjugate  $\pi_1$  to  $\pi_2$ , let  $\sigma$  be the permutation in  $S_5$  that sends the terms appearing in  $\pi_1$  to the terms appearing in  $\pi_2$  in exactly the same order:  $\sigma = \binom{24153}{13425} = (14352)$ . Then

$$\sigma \pi_1 \sigma^{-1} = \sigma(24)(153)\sigma^{-1} = \sigma(24)\sigma^{-1}\sigma(153)\sigma^{-1} = (\sigma(2)\sigma(4))(\sigma(1)\sigma(5)\sigma(3)) = (13)(425),$$
  
so  $\sigma \pi_1 \sigma^{-1} = \pi_2$ 

If we had written  $\pi_1$  and  $\pi_2$  differently, say as

$$\pi_1 = (42)(531), \quad \pi_2 = (13)(542),$$

 $<sup>^{1}\</sup>mathrm{A}$  more descriptive label might be "disjoint cycle structure", but people use the term "cycle type".

then  $\pi_2 = \sigma \pi_1 \sigma^{-1}$  where  $\sigma = \binom{42531}{13542} = (1234).$ 

**Lemma 4.6.** If  $\pi_1$  and  $\pi_2$  are disjoint permutations in  $S_n$ , then  $\sigma \pi_1 \sigma^{-1}$  and  $\sigma \pi_2 \sigma^{-1}$  are disjoint permutations for any  $\sigma \in S_n$ .

*Proof.* Being disjoint means no number is moved by both  $\pi_1$  and  $\pi_2$ . That is, there is no *i* such that  $\pi_1(i) \neq i$  and  $\pi_2(i) \neq i$ . If  $\sigma \pi_1 \sigma^{-1}$  and  $\sigma \pi_2 \sigma^{-1}$  are not disjoint, then they both move some number, say *j*. Then (check!)  $\sigma^{-1}(j)$  is moved by both  $\pi_1$  and  $\pi_2$ , which is a contradiction.

**Theorem 4.7.** Two permutations in  $S_n$  are conjugate if and only if they have the same cycle type.

*Proof.* Pick  $\pi \in S_n$ . Write  $\pi$  as a product of disjoint cycles. By Theorem 3.3 and Lemma 4.6,  $\sigma \pi \sigma^{-1}$  will be a product of the  $\sigma$ -conjugates of the disjoint cycles for  $\pi$ , and these  $\sigma$ -conjugates are *disjoint* cycles with the same respective lengths. Therefore  $\sigma \pi \sigma^{-1}$  has the same cycle type as  $\pi$ .

For the converse direction, we need to explain why permutations  $\pi_1$  and  $\pi_2$  with the same cycle type are conjugate. Suppose the cycle type is  $(m_1, m_2, ...)$ . Then

$$\pi_1 = (\underbrace{a_1 \ a_2 \dots a_{m_1}}_{m_1 \text{ terms}})(\underbrace{a_{m_1+1} \ a_{m_1+2} \dots a_{m_1+m_2}}_{m_2 \text{ terms}}) \cdots$$

and

$$\pi_2 = (\underbrace{b_1 \ b_2 \dots b_{m_1}}_{m_1 \text{ terms}}) (\underbrace{b_{m_1+1} \ b_{m_1+2} \dots b_{m_1+m_2}}_{m_2 \text{ terms}}) \cdots$$

where the cycles here are disjoint. To carry  $\pi_1$  to  $\pi_2$  by conjugation in  $S_n$ , define the permutation  $\sigma \in S_n$  by:  $\sigma(a_i) = b_i$ . Then  $\sigma \pi_1 \sigma^{-1} = \pi_2$  by Theorems 3.3 and 4.4.

Since the conjugacy class of a permutation in  $S_n$  is determined by its cycle type, which is a certain partition of n, the number of conjugacy classes in  $S_n$  is the number of partitions of n. The number of partitions of n is denoted p(n). Here is a table of some values. Check the numbers at the start of the table for  $n \leq 6$  agree with the number of conjugacy classes listed earlier in this section.

n														
p(n)	1	2	3	5	7	11	15	22	30	42	56	77	101	135

The function p(n) grows quickly, *e.g.*, p(100) = 190,569,292.

Let's look at conjugacy classes in  $A_n$ . If  $\pi$  is an even permutation, then  $\sigma\pi\sigma^{-1}$  is also even, so a conjugacy class in  $S_n$  that contains one even permutation contains only even permutations. However, two permutations  $\pi_1$  and  $\pi_2$  in  $A_n$  can have the same cycle type (and thus be conjugate in the larger group  $S_n$ ) while being non-conjugate in  $A_n$ . The point is that we might be able to get  $\pi_2 = \sigma\pi_1\sigma^{-1}$  for some  $\sigma \in S_n$  without being able to do this for any  $\sigma \in A_n$ .

**Example 4.8.** The 3-cycles (123) and (132) in  $A_3$  are conjugate in  $S_3$ : (23)(123)(23)<sup>-1</sup> = (132). However, (123) and (132) are not conjugate in  $A_3$  because  $A_3$  is abelian: an element of  $A_3$  is conjugate in  $A_3$  only to itself.

**Example 4.9.** The 3-cycle (234) and its inverse (243) are conjugate in  $S_4$  but they are not conjugate in  $A_4$ . To see this, let's determine all possible  $\sigma \in S_4$  that conjugate (234) to (243). For  $\sigma \in S_4$ , the condition  $\sigma(234)\sigma^{-1} = (243)$  is the same as  $(\sigma(2)\sigma(3)\sigma(4)) = (243)$ . There are three possibilities:

- $\sigma(2) = 2$ , so  $\sigma(3) = 4$  and  $\sigma(4) = 3$ , and necessarily  $\sigma(1) = 1$ . Thus  $\sigma = (34)$ .
- $\sigma(2) = 4$ , so  $\sigma(3) = 3$  and  $\sigma(4) = 2$ , and necessarily  $\sigma(1) = 1$ . Thus  $\sigma = (24)$ .
- $\sigma(2) = 3$ , so  $\sigma(3) = 2$  and  $\sigma(4) = 4$ , and necessarily  $\sigma(1) = 1$ . Thus  $\sigma = (23)$ .

Therefore the only possible  $\sigma$ 's are transpositions, which are not in  $A_4$ .

While it would be nice if conjugacy classes in  $A_n$  are determined by cycle type as in  $S_n$ , we have seen that this is false: (123) and (132) are not conjugate in  $A_3$  (and  $A_4$ ). How does a conjugacy class of even permutations in  $S_n$  break up when thinking about conjugacy classes in  $A_n$ ? There are two possibilities: the conjugacy class stays as a single conjugacy class within  $A_n$  or it breaks up into two conjugacy classes of equal size in  $A_n$ . A glance at the earlier tables of conjugacy classes in  $A_n$  with small n shows this happening. For instance,

- there is one class of 8 3-cycles in  $S_4$ , but two classes of 4 3-cycles in  $A_4$ ,
- there is one class of 24 5-cycles in  $S_5$ , but two classes of 12 5-cycles in  $A_5$ ,
- there is one class of 144 5-cycles in  $S_6$ , but two classes of 72 5-cycles in  $A_6$ .

A rule that describes when each possibility occurs is as follows, but a proof is omitted.

**Theorem 4.10.** For  $\pi \in A_n$ , its conjugacy class in  $S_n$  remains as a single conjugacy class in  $A_n$  or it breaks into two conjugacy classes in  $A_n$  of equal size. The conjugacy class breaks up if and only if the lengths in the cycle type of  $\pi$  are distinct odd numbers.

Here is a table showing the cycle types in  $A_n$  that fall into two conjugacy classes for  $4 \le n \le 14$ . For example, the permutations in  $A_6$  of cycle type (1,5) but not (3,3) fall into two conjugacy classes and the permutations in  $A_8$  of cycle type (1,7) and (3,5) each fall into two conjugacy classes.

n	4	5	6	7	8	9	10	11	12	13	14
Cycle	(1,3)	(5)	(1,5)	(7)	(1,7)	(9)	(1,9)	(11)	(1,11)	(13)	(1,13)
type					$(3,\!5)$	(1,3,5)	(3,7)	(1,3,7)	$(3,\!9)$	(1,3,9)	(3,11)
in $A_n$									(5,7)	(1, 5, 7)	(5,9)

The following table lists the number c(n) (nonstandard notation) of conjugacy classes in  $A_n$  for small n.

# 5. Centralizers and the class equation

We saw in Theorem 3.2 that different conjugacy classes do not overlap. Thus, they provide a way of covering the group by disjoint sets. This is analogous to the left cosets of a subgroup providing a disjoint covering of the group. If the different conjugacy classes are  $K_{g_1}, K_{g_2}, \ldots, K_{g_r}$ , then

(5.1) 
$$\#G = \#K_{g_1} + \#K_{g_2} + \dots + \#K_{g_r}.$$

Equation (5.1) plays the role for conjugacy classes in G that the formula #G = (#H)[G:H] plays for cosets of H in G.

Let's see how (5.1) looks for some groups from Section 2.

**Example 5.1.** For  $G = S_3$ , (5.1) says

$$6 = 1 + 2 + 3.$$

**Example 5.2.** For  $G = D_4$ ,

8 = 1 + 1 + 2 + 2 + 2.

**Example 5.3.** For  $G = Q_8$ ,

$$8 = 1 + 1 + 2 + 2 + 2.$$

**Example 5.4.** For  $G = A_4$ ,

$$12 = 1 + 3 + 4 + 4$$
.

The reason (5.1) is important is that each number  $\#K_{g_i}$  divides the size of the group. We saw this earlier in examples. Now we will prove it in general.

**Theorem 5.5.** If G is a finite group then each conjugacy class in G has size dividing #G.

Theorem 5.5 is not an immediate consequence of Lagrange's theorem, because conjugacy classes are *not* subgroups. For example, no conjugacy class contains the identity except for the one-element conjugacy class containing the identity by itself. However, while a conjugacy class is not a subgroup, its size does equal the *index* of a subgroup, and that will explain why its size divides the size of the group.

**Definition 5.6.** For a group G, its center Z(G) is the set of elements of G commuting with everything:

$$Z(G) = \{g \in G : gx = xg \text{ for all } x \in G\}.$$

For  $g \in G$ , its centralizer Z(g) is the set of elements of G commuting with g:

$$Z(g) = \{ x \in G : xg = gx \}.$$

The notation Z comes from German: center is Zentrum and centralizer is Zentralisator. Some English language books use the letter C, so C(G) = Z(G) and C(g) = Z(g). The center of the group and the centralizer of each element of the group are subgroups. The connection between them is the center is the intersection of all the centralizers:  $Z(G) = \bigcap_{g \in G} Z(g)$ .

**Theorem 5.7.** For each  $g \in G$ , its conjugacy class has the same size as the index of its centralizer:

$$#\{xgx^{-1} : x \in G\} = [G : Z(g)].$$

*Proof.* Consider the function  $f: G \to K_g$  where  $f(x) = xgx^{-1}$ . This function is onto, since by definition every element of  $K_g$  is  $xgx^{-1}$  for some  $x \in G$ . We will now examine when ftakes the same value at elements of G.

For x and x' in G, we have  $xgx^{-1} = x'gx'^{-1}$  if and only if

$$gx^{-1}x' = x^{-1}x'g.$$

Therefore  $x^{-1}x'$  commutes with g, *i.e.*,  $x^{-1}x' \in Z(g)$ , so  $x' \in xZ(g)$ . Although x and x' may be different, they lie in the same left coset of Z(g):

(5.2) 
$$f(x) = f(x') \Longrightarrow xZ(g) = x'Z(g).$$

Conversely, suppose xZ(g) = x'Z(g). Then x = x'z for some  $z \in Z(g)$ , so zg = gz. Therefore x and x' conjugate g in the same way:

$$f(x) = xgx^{-1} = (x'z)g(x'z)^{-1} = x'zgz^{-1}x'^{-1} = x'gzz^{-1}x'^{-1} = x'gx'^{-1} = f(x').$$

Since we have shown that the converse of (5.2) is true, the function  $f: G \to K_g$  takes the same value at two elements precisely when they are in the same left coset of Z(g). Therefore the number of different values of f is the number of different left cosets of Z(g)in G, and by definition that is the index [G: Z(g)]. Since f is surjective, we conclude that  $\#K_g = [G: Z(g)]$ .

Now we can prove Theorem 5.5.

*Proof.* By Theorem 5.7, the size of the conjugacy class of g is the index [G : Z(g)], which divides #G.

Returning to (5.1), we rewrite it in the form

(5.3) 
$$\#G = \sum_{i=1}^{r} [G : Z(g_i)] = \sum_{i=1}^{r} \frac{\#G}{\#Z(g_i)}$$

The conjugacy classes of size 1 are exactly those containing elements of the center of G (*i.e.*, those  $g_i$  such that  $Z(g_i) = G$ ). Combining all of these 1's into a single term, we get

(5.4) 
$$\#G = \#Z(G) + \sum_{i'} \frac{\#G}{\#Z(g_{i'})},$$

where the sum is now carried out only over those conjugacy classes  $K_{g_{i'}}$  with more than one element. In the terms of this sum,  $\#Z(g_{i'}) < \#G$ . Equation (5.4) is called the *class equation*. The difference between the class equation and (5.1) is that we have combined the terms contributing to the center of G into a single term.

Here is a good application of the class equation.

**Theorem 5.8.** When G is a nontrivial finite p-group it has a nontrivial center: some element of G other than the identity commutes with every element of G.

*Proof.* Let  $\#G = p^n$ , where n > 0. Consider a term  $[G : Z(g_{i'})]$  in the class equation, where  $g_{i'}$  does not lie in Z(G). Then  $Z(g_{i'}) \neq G$ , so the index  $[G : Z(g_{i'})]$  is a factor of #G other than 1. It is one of  $\{p, p^2, \ldots, p^n\}$ , and hence is *divisible by p*. In the class equation, all terms in the sum over i' are multiples of p.

Also, the left side of the class equation is a multiple of p, since  $\#G = p^n$ . So the class equation forces p|#Z(G). Since the center contains the identity, and has size divisible by p, it must contain non-identity elements as well.

With a little extra work we can generalize Theorem 5.8.

**Theorem 5.9.** If G is a nontrivial finite p-group and N is a nontrivial normal subgroup of G then  $N \cap Z(G) \neq \{e\}$ .

*Proof.* Since N is a normal subgroup of G, any conjugacy class in G that meets N lies entirely inside of N (that is, if  $g \in N$  then  $xgx^{-1} \in N$  for any  $x \in G$ ). Let  $K_{g_1}, \ldots, K_{g_s}$  be the different conjugacy classes of G that lie inside N, so

(5.5) 
$$\#N = \#K_{q_1} + \dots + \#K_{q_s}$$

(Note that elements of N can be conjugate in G without being conjugate in N, so breaking up N into its G-conjugacy classes in (5.5) is a coarser partitioning of N than breaking it into N-conjugacy classes.) The left side of (5.5) is a power of p greater than 1. Each term on the right side is a conjugacy class in G, so  $\#K_{g_i} = [G : Z(g_i)]$ , where  $Z(g_i)$  is the centralizer of  $g_i$  in G. This index is a power of p greater than 1 except when  $g_i \in Z(G)$ , in which case  $\#K_{g_i} = 1$ . The  $g_i$ 's in N with  $\#K_{g_i} = 1$  are elements of  $N \cap Z(G)$ . Therefore if we reduce (5.5) modulo p we get

$$0 \equiv \#(N \cap Z(G)) \bmod p,$$

so  $\#(N \cap Z(G))$  is divisible by p. Since  $\#(N \cap Z(G)) \ge 1$  the intersection  $N \cap Z(G)$  contains a non-identity term.

**Remark 5.10.** The finiteness assumption in Theorem 5.8 is important. There are infinite p-groups with trivial center! Here is an example. Consider the set G of infinite mod p square matrices  $\begin{pmatrix} M & O \\ O & I_{\infty} \end{pmatrix}$  where  $I_{\infty}$  is an infinite identity matrix and M is a finite upper triangular square matrix of the form

$$\left(\begin{array}{ccccc} 1 & a_{12} & \cdots & a_{1n} \\ 0 & 1 & \cdots & a_{2n} \\ 0 & 0 & \ddots & \vdots \\ 0 & 0 & \cdots & 1 \end{array}\right)$$

where there are 1's on the main diagonal and the entries  $a_{ij}$  above the main diagonal are in  $\mathbf{Z}/(p)$ . Because each row or column of a matrix in G has only finitely many nonzero elements, matrix multiplication in G makes sense. To show G is a group under matrix multiplication, by borrowing the upper left 1 in  $I_{\infty}$  we can write

$$\left(\begin{array}{cc} M & O \\ O & I_{\infty} \end{array}\right) = \left(\begin{array}{cc} M & O & O \\ O & 1 & O \\ O & O & I_{\infty} \end{array}\right)$$

and thereby view the infinite matrix as having an  $(n + 1) \times (n + 1)$  upper left part instead of an  $n \times n$  upper left part. In this way any two matrices  $\begin{pmatrix} M & O \\ O & I_{\infty} \end{pmatrix}$  and  $\begin{pmatrix} N & O \\ O & I_{\infty} \end{pmatrix}$  in G can be considered to have M and N of the same size. Then we obtain a block multiplication formula (check!)  $\begin{pmatrix} M & O \\ O & I_{\infty} \end{pmatrix} \begin{pmatrix} N & O \\ O & I_{\infty} \end{pmatrix} = \begin{pmatrix} MN & O \\ O & I_{\infty} \end{pmatrix}$ . Since the  $n \times n$  upper triangular mod pmatrices with 1's on the main diagonal form a group, it now follows that G is a group. Since M has p-power order, every element of G has p-power order. Thus G is an "infinite p-group."

To show the center of G is trivial, a non-identity element of G has the form  $\begin{pmatrix} M & O \\ O & I_{\infty} \end{pmatrix}$ , where M is  $n \times n$  for some n and  $M \neq I_n$ . We have the following equations in  $2n \times 2n$ matrices:

$$\begin{pmatrix} M & O \\ O & I_n \end{pmatrix} \begin{pmatrix} I_n & I_n \\ O & I_n \end{pmatrix} = \begin{pmatrix} M & M \\ O & I_n \end{pmatrix},$$
$$\begin{pmatrix} I_n & I_n \\ O & I_n \end{pmatrix} \begin{pmatrix} M & O \\ O & I_n \end{pmatrix} = \begin{pmatrix} M & I_n \\ O & I_n \end{pmatrix}.$$

These are not equal since  $M \neq I_n$ . Now embed the  $2n \times 2n$  matrices  $A = \begin{pmatrix} M & O \\ O & I_n \end{pmatrix}$  and  $B = \begin{pmatrix} I_n & I_n \\ O & I_n \end{pmatrix}$  in G as  $\begin{pmatrix} A & O \\ O & I_\infty \end{pmatrix}$  and  $\begin{pmatrix} B & O \\ O & I_\infty \end{pmatrix}$ . These do not commute. Note  $\begin{pmatrix} A & O \\ O & I_\infty \end{pmatrix} = \begin{pmatrix} M & O \\ O & I_\infty \end{pmatrix}$  in G, so  $\begin{pmatrix} M & O \\ O & I_\infty \end{pmatrix} \notin Z(G)$ .

The following corollary is the standard first application of Theorem 5.8.

**Corollary 5.11.** For any prime p, every group of order  $p^2$  is abelian. More precisely, a group of order  $p^2$  is isomorphic to  $\mathbf{Z}/(p^2)$  or to  $\mathbf{Z}/(p) \times \mathbf{Z}/(p)$ .

*Proof.* Let G be such a group. By Lagrange, the order of any non-identity element is 1, p, or  $p^2$ .

If there is an element of G with order  $p^2$ , then G is cyclic and therefore isomorphic to  $\mathbf{Z}/(p^2)$  (in many ways). We may henceforth assume G has no element of order  $p^2$ . That means any non-identity element of G has order p.

From Theorem 5.8, there is a non-identity element in the center of G. Call it a. Since a has order p,  $\langle a \rangle$  is not all of G. Choose  $b \in G - \langle a \rangle$ . Then b also has order p. We are going to show powers of a and powers of b provide an isomorphism of G with  $\mathbf{Z}/(p) \times \mathbf{Z}/(p)$ . Let  $f: \mathbf{Z}/(p) \times \mathbf{Z}/(p) \to G$  by

$$f(i,j) = a^i b^j$$

This is well-defined since a and b have order p. It is a homomorphism since powers of a are in the center:

$$\begin{aligned} f(i,j)f(i',j') &= (a^{i}b^{j})(a^{i'}b^{j'}) \\ &= a^{i}a^{i'}b^{j}b^{j'} \\ &= a^{i+i'}b^{j+j'} \\ &= f(i+i',j+j') \\ &= f((i,j)+(i',j')). \end{aligned}$$

The kernel is trivial: if f(i, j) = e then  $a^i = b^{-j}$ . This is a common element of  $\langle a \rangle \cap \langle b \rangle$ , which is trivial. Therefore  $a^i = b^j = e$ , so i = j = 0 in  $\mathbf{Z}/(p)$ .

Since f has trivial kernel it is injective. The domain and target have the same size, so f is surjective and thus is an isomorphism.

**Corollary 5.12.** A finite p-group  $\neq \{e\}$  has a normal subgroup of order p.

*Proof.* Let G be a finite p-group with #G > 1. By Theorem 5.8, Z(G) is a nontrivial p-group. Pick  $g \in Z(G)$  with  $g \neq e$ . The order of g is  $p^r$  for some  $r \geq 1$ . Therefore  $g^{p^{r-1}}$  has order p, so Z(G) contains a subgroup of order p, which must be normal in G since every subgroup of Z(G) is a normal subgroup of G.

We can bootstrap Corollary 5.12 to non-prime sizes by inducting on a stronger hypothesis.

**Corollary 5.13.** If G is a nontrivial finite p-group with size  $p^n$  then there is a normal subgroup of size  $p^j$  for every j = 0, 1, ..., n.

*Proof.* We argue by induction on n. The result is clear if n = 1. Suppose  $n \ge 2$  and the theorem is true for p-groups of size  $p^{n-1}$ . If  $\#G = p^n$  then it has a normal subgroup N of size p by the preceding corollary. Then  $\#(G/N) = p^{n-1}$ , so for  $0 \le j \le n-1$  there is a normal subgroup of G/N with size  $p^j$ . The pullback of this subgroup to G is normal and has size  $p^j \cdot \#N = p^{j+1}$ .

**Example 5.14.** Let  $G = D_4$ . Its subgroups of size 2 are  $\langle s \rangle$ ,  $\langle rs \rangle$ ,  $\langle r^2 s \rangle$ ,  $\langle r^3 s \rangle$ , and  $\langle r^2 \rangle$ . The last one is normal. The subgroups of size 4 are  $\langle r \rangle$  and  $\langle r^2, s \rangle$ . Both are normal.

## APPENDIX A. CONJUGACY IN PLANE GEOMETRY

We will show that all reflections in  $\mathbb{R}^2$  are conjugate to reflection across the x-axis in an appropriate group of transformations of the plane.

**Definition A.1.** An *isometry* of  $\mathbb{R}^2$  is a function  $f: \mathbb{R}^2 \to \mathbb{R}^2$  that preserves distances: for any points P and Q in  $\mathbb{R}^2$ , the distance between f(P) and f(Q) is the same as the distance between P and Q.

Isometries include: reflections, rotations, and translations. Isometries are invertible (this requires proof, or include it in the definition if you want to be lazy about it), and under composition isometries form a group.

There are two ways to describe points of the plane algebraically, using vectors or complex numbers. We will work with points as complex numbers. The point (a, b) is considered as the complex number a + bi. We measure the distance to a + bi from 0 with the absolute value

$$|a+bi| = \sqrt{a^2 + b^2}$$

and the distance between a + bi and c + di is the absolute value of their difference:

$$|(a+bi) - (c+di)| = \sqrt{(a-c)^2 + (b-d)^2}.$$

To each complex number z = a + bi, we have its complex conjugate  $\overline{z} = a - bi$ . By an explicit calculation, complex conjugation respects sums and products:

$$\overline{z+z'} = \overline{z} + \overline{z'}, \quad \overline{zz'} = \overline{z}\overline{z'}.$$

Two important algebraic properties of the absolute value on  $\mathbf{C}$  are its behavior on products and on complex conjugates:

$$|zz'| = |z||z'|, \quad |\overline{z}| = |z|.$$

In particular, if |w| = 1 then |wz| = |z|.

An example of a reflection across a line in the plane is complex conjugation:

$$s(z) = \overline{z}.$$

This is reflection across the *x*-axis. It preserves distance:

$$|s(z) - s(z')| = |\overline{z} - \overline{z'}| = |\overline{z - z'}| = |z - z'|.$$

We will compare this reflection with the reflection across any other line, first treating other lines through the origin and then treating lines that may not pass through the origin.

Pick a line through the origin that makes an angle, say  $\theta$ , with respect to the positive x-axis. We can rotate the x-axis onto that line by rotating the x-axis counterclockwise around the origin through an angle of  $\theta$ . A rotation around the origin, in terms of complex numbers, is multiplication by the number  $\cos \theta + i \sin \theta$ , which has absolute value 1. Let's denote counterclockwise rotation around the origin by  $\theta$  by  $r_{\theta}$ :

(A.1) 
$$r_{\theta}(z) = (\cos \theta + i \sin \theta)z, \quad |\cos \theta + i \sin \theta| = 1.$$

Every rotation  $r_{\theta}$  preserves distances:

$$|r_{\theta}(z) - r_{\theta}(z')| = |(\cos \theta + i \sin \theta)(z - z')| = |(\cos \theta + i \sin \theta)||z - z'| = |z - z'|.$$

Composing rotations around the origin amounts to adding angles:  $r_{\theta} \circ r_{\varphi} = r_{\theta+\varphi}$ . In particular,  $r_{\theta}^{-1} = r_{-\theta}$  since  $r_{\theta} \circ r_{-\theta} = r_0$ , which is the identity  $(r_0(z) = z)$ .

Now let's think about some reflections besides complex conjugation. Let  $s_{\theta}$  be the reflection across the line through the origin making an angle of  $\theta$  with the positive x-axis. (In particular, complex conjugation is  $s_0$ .) Draw some pictures to convince yourself visually the reflection  $s_{\theta}$  is the composite of

- rotation of the plane by an angle of  $-\theta$  to bring the line of reflection onto the x-axis,
- reflection across the *x*-axis,
- rotation of the plane by  $\theta$  to return the line to its original position.

This says

(A.2) 
$$s_{\theta} = r_{\theta} s r_{-\theta} = r_{\theta} s r_{\theta}^{-1}.$$

So we see, in this algebraic formula, that a reflection across any line through the origin is *conjugate*, in the group of isometries of the plane, to reflection across the x-axis. The conjugating isometry is the rotation  $r_{\theta}$  that takes the line through the origin at angle  $\theta$  to the x-axis.

In order to compare complex conjugation to reflection across an arbitrary line, which need not pass through the origin, we bring in additional isometries: translations. A translation in the plane can be viewed as adding a particular complex number, say w, to every complex number:  $t_w(z) = z + w$ . This is an isometry since

$$|t_w(z) - t_w(z')| = |(z+w) - (z'+w)| = |z-z'|.$$

Note  $t_w \circ t_{w'} = t_{w+w'}$ , and the inverse of  $t_w$  is  $t_{-w}$ :  $t_w^{-1} = t_{-w}$ .

In order to describe reflection across an arbitrary line in terms of complex conjugation, we need to describe an arbitrary line. A line makes a definite angle with respect to the positive x-direction (how far it tilts). Call that angle  $\theta$ . Now pick a point on the line. Call it, say, w. Our line is the only line in the plane that passes through w at an angle of  $\theta$  relative to the positive x-direction.

We can carry out reflection across this line in terms of reflection across the line parallel line to it through the origin by using translations, in 3 steps:

- translate *back* by w (that is, apply  $t_{-w}$ ) to carry the original line to a line through the origin at the same angle  $\theta$ ,
- reflect across this line through the origin (apply  $s_{\theta}$ ),
- translate by w to return the line to its original position (apply  $t_w$ ).

Putting this all together, with (A.2), reflection across the line through w that makes an angle of  $\theta$  with the positive x-direction is the composite

(A.3) 
$$t_w s_\theta t_{-w} = t_w (r_\theta s r_\theta^{-1}) t_w^{-1} = t_w r_\theta s (t_w r_\theta)^{-1}.$$

This is a *conjugate* of complex conjugation s in the group of isometries in the plane.

Let's summarize what we have shown.

**Theorem A.2.** In the group of isometries of the plane, reflection across any line is conjugate to reflection across the x-axis.

**Example A.3.** Reflection across the horizontal line y = b corresponds to  $\theta = 0$  and w = bi. That is, this reflection is  $t_{bi}st_{-bi}$ : translate down by b, reflect across the x-axis, and then translate up by b.

#### CONJUGATION IN A GROUP

## APPENDIX B. BOUNDING SIZE BY NUMBER OF CONJUGACY CLASSES

Obviously there are only a finite number of groups, up to isomorphism, with a given size. What might be more surprising is that there is also a finite number of groups, up to isomorphism, with a given number of conjugacy classes.

**Theorem B.1.** The size of a finite group can be bounded above from knowing the number of its conjugacy classes.

*Proof.* When there is only one conjugacy class, the group is trivial. Now fix a positive integer k > 1 and let G be a finite group with k conjugacy classes represented by  $g_1, \ldots, g_k$  (this includes  $g_i$ 's in the center). We exploit the class equation, written as

(B.1) 
$$\#G = \sum_{i=1}^{k} \frac{\#G}{\#Z(g_i)}.$$

Dividing (B.1) by #G,

(B.2) 
$$1 = \frac{1}{n_1} + \frac{1}{n_2} + \dots + \frac{1}{n_k},$$

where  $n_i = \#Z(g_i)$ . Note each  $n_i$  exceeds 1 when G is nontrivial. We write the  $n_i$ 's in increasing order:

$$n_1 \leq n_2 \leq \cdots \leq n_k.$$

Since each  $n_i$  is at least as large as  $n_1$ , (B.2) implies

$$1 \le \frac{k}{n_1},$$

 $\mathbf{SO}$ 

 $(B.3) n_1 \le k.$ 

Then, using  $n_i \ge n_2$  for  $i \ge 2$ ,

$$1 \le \frac{1}{n_1} + \frac{k-1}{n_2}.$$

Thus  $1 - 1/n_1 \leq (k - 1)/n_2$ , so

(B.4) 
$$n_2 \le \frac{k-1}{1-1/n_1}.$$

By induction,

(B.5) 
$$n_m \le \frac{k+1-m}{1-(\frac{1}{n_1}+\dots+\frac{1}{n_{m-1}})}$$

for  $m \geq 2$ .

Since (B.3) bounds  $n_1$  by k and (B.5) bounds each of  $n_2, \ldots, n_k$  in terms of earlier  $n_i$ 's, there are only a finite number of such k-tuples. The ones that satisfy (B.2) can be tabulated. The largest value of  $n_k$  is #G (since 1 has centralizer G), so the solution with the largest value for  $n_k$  gives an upper bound on the size of a finite group with k conjugacy classes.  $\Box$ 

**Example B.2.** Taking k = 2, the only solution to (B.2) satisfying (B.3) and (B.5) is  $n_1 = 2$ ,  $n_2 = 2$ . Thus  $G \cong \mathbb{Z}/(2)$ .

**Example B.3.** When k = 3, the solutions  $n_1, n_2, n_3$  to (B.2) satisfying (B.3) and (B.5) are 2,4,4 and 2,3,6. Thus  $\#G \leq 6$  and  $S_3$  has size 6 with 3 conjugacy classes.

**Example B.4.** When k = 4, there are 14 solutions, such as 4,4,4,4 and 2,3,7,42. The second 4-tuple is actually the one with the largest value of  $n_4$ , so a group with 4 conjugacy classes has size at most 42. In actuality, the groups with 4 conjugacy classes are  $\mathbf{Z}/(4)$ ,  $(\mathbf{Z}/(2))^2$ ,  $D_{10}$ , and  $A_4$ .

**Example B.5.** When k = 5, there are 148 solutions, and the largest  $n_5$  that occurs is 1806. Groups with 5 conjugacy classes include  $\mathbf{Z}/(5)$ ,  $D_4$ ,  $Q_8$ , Aff $(\mathbf{Z}/(5))$ , the nonabelian group of size 21,  $S_4$ , and  $A_5$ . I'm not sure if these are all the possibilities.

### References

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