

GROUP STRUCTURE OF SPONTANEOUSLY BROKEN GAUGE THEORIES

Joseph Connell

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Phys 251, Group Theory, Dr. Howard Haber, UCSC

- Global Symmetries
 - ϕ^4 Theory
 - ϕ_i Linear Sigma Model
- Goldstone's Theorem
- Local Symmetries (Gauge Theories)
 - Abelian Higgs Mechanism
 - Non-abelian Gauge Theories
 - Electroweak Theory
 - QCD
 - Grand Unification

Spacetime:

- Translations
- Rotations
- Boosts

All QFT's have these \rightarrow Poincaré symmetry

Internal:

- Non-spacetime
- Quantum Numbers
- Noether Charge

Symmetry breaking can be:

Explicit: \mathcal{L} does not respect the symmetry, but the perturbation is small \Rightarrow approximate symmetry.

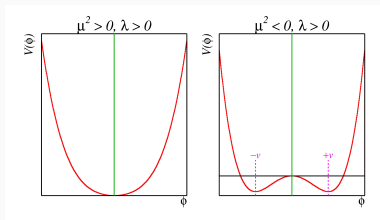
Spontaneous: \mathcal{L} does respect the symmetry, but the ground state breaks it \Rightarrow vacuum expectation value (vev) = v

In a Lagrangian with one scalar field $\phi(t, x, y, z)$

$$\mathcal{L} = T(\partial_\mu \phi) - V(\phi, \tau)$$

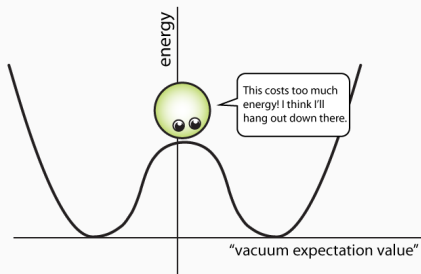
$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi)^2 - \frac{1}{2}m^2\phi^2 - \frac{\lambda}{4!}\phi^4$$

m^2 and λ are τ dependent. For $\tau < \tau_c$, $m^2 \rightarrow -m^2$



\mathcal{L} is invariant under $\phi \rightarrow -\phi \Rightarrow \mathbb{Z}_2$ symmetry $\{I, -I\}$

SPONTANEOUS SYMMETRY BREAKING



However, the system falls into one of its new ground states at $V_{min} = V(\phi_0)$, where $\phi_0 = \pm\sqrt{\frac{6m^2}{\lambda}} = \pm v$

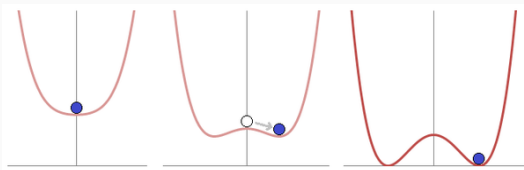
Shifting the origin to ϕ_0 , we define a new field

$$\phi(x) = v + \sigma(x)$$

Expanding \mathcal{L} about the classical minimum V_{min} :

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \sigma)^2 - \frac{1}{2}2m^2\sigma^2 - \sqrt{\frac{\lambda}{6}}m\sigma^3 - \frac{\lambda}{4!}\sigma^4$$

- The \mathbb{Z}_2 **symmetry is spontaneously broken** by the σ^3 term
- This \mathcal{L} now describes $\sigma(x)$, a **scalar field with mass** $= \sqrt{2}m$



LINEAR SIGMA MODEL

Generalizing to N fields $\phi_i, i = 1, \dots, N$, the symmetry becomes continuous.

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi^i)^2 + \frac{1}{2}m^2(\phi^i)^2 - \frac{\lambda}{4}[(\phi^i)^2]^2$$

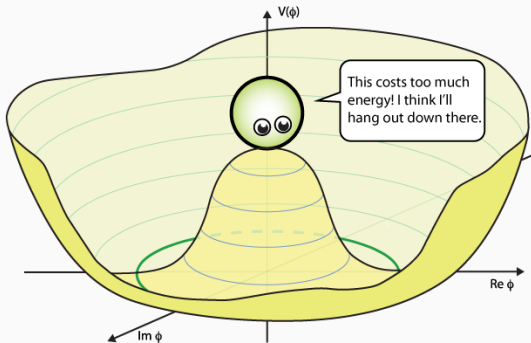
This \mathcal{L} is invariant under the rotation group $O(N)$:

$$\phi^i \rightarrow R^{ij} \phi^j \tag{1}$$

Now V_{min} is satisfied for any ϕ_o^i vector with length $\phi_o^i = \sqrt{\frac{m^2}{\lambda}} = v$ and **arbitrary direction**.

LINEAR SIGMA MODEL

N=2 Visualization



Circular minima: $\phi_1^2 + \phi_2^2 = \frac{m^2}{\lambda^2}$

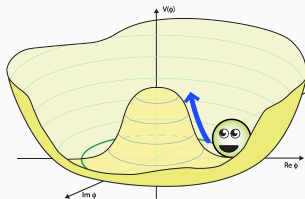
LINEAR SIGMA MODEL

Pick the N^{th} field to minimize $V(\phi)$:

$$\phi_o^i = (0, 0, \dots, 0, v)$$

Define shifted fields:

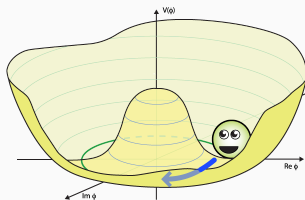
$$\phi^i(x) = (\pi^k(x), v + \sigma(x)) \quad k = 1, \dots, N - 1$$



The radial curvature of $V(\phi)$ makes $\sigma(x)$ a **massive field** (mass term in \mathcal{L}).

LINEAR SIGMA MODEL

- \mathcal{L} will still have an unbroken $O(N-1)$ symmetry.
- $O(N-1)$ is the little group H that leaves ϕ_o invariant.
- The $\pi^k(x)$ fields rotate in an $(N-1)$ -dimensional trough.



For $N=4$, the 3 massless "pion" fields correspond to the real particles:

Pions π^+, π^0 , and π^-

Spontaneous breaking of continuous global symmetries implies the existence of massless, spinless particles.

Massless Nambu-Goldstone bosons occur for each generator of the broken symmetry.

Ex: $N=4$, $O(N-1)$ has 3 generators \Rightarrow 3 massless pions: $\pi^{\pm,0}$

GOLDSTONE'S THEOREM

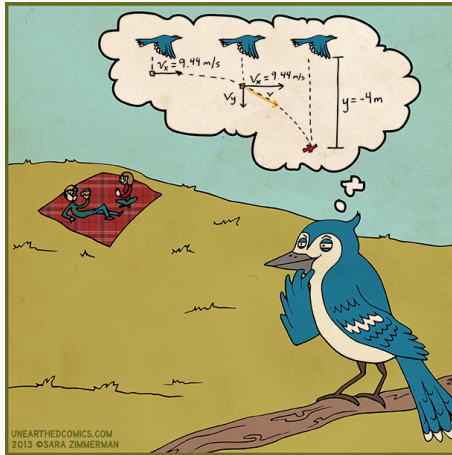
\mathcal{L} remains invariant under the little group $O(N-1)$

$$\phi'_i = e^{i\alpha^a T^a_{ij}} \phi_j = (I + i\alpha^a T^a_{ij} + \dots) \phi_j$$

where the T^a are generators of the Lie group that satisfy the Lie algebra:

$$[T^a, T^b] = i f^{abc} T^c$$

A massless Goldstone boson arises for each generator T .



A "gauged" transformation has **local spacetime dependence**.

$$\alpha \rightarrow \alpha(x)$$

For example, a \mathcal{L} can be invariant under an abelian U(1) gauge transformation G:

$$\phi \rightarrow e^{i\alpha(x)}\phi(x) \quad \text{and} \quad A_\mu(x) \rightarrow A_\mu(x) - \frac{1}{e}\partial_\mu\alpha(x)$$

where A_μ is a gauge field.

To keep \mathcal{L} invariant under G , we need a covariant derivative D_μ :

$$\partial_\mu \rightarrow D_\mu = \partial_\mu + ieA_\mu$$

For a complex scalar field coupled to itself and an EM field:

$$\mathcal{L} = |D_\mu \phi|^2 - V(\phi) - \frac{1}{4}(F_{\mu\nu})^2$$

where $F_{\mu\nu}$ is the EM field-strength tensor.

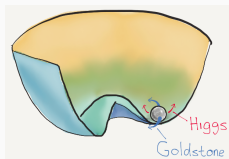
What happens if we combine local gauge invariance and symmetry breaking?

ABELIAN HIGGS MODEL

Take a **complex** scalar field with the ϕ^4 potential:

The $U(1)$ global symmetry is broken by $\phi_o = v$
Expanding about v :

$$\phi(x) = \phi_o + \frac{1}{\sqrt{2}}(\phi_1(x) + i\phi_2(x))$$



The rewritten \mathcal{L} describes a massive ϕ_1 field and ϕ_2 is a massless Goldstone boson.

ABELIAN HIGGS MODEL

The kinetic term, with a gauged transformation, D_μ , and SSB:

$$|D_\mu \phi|^2 = \frac{1}{2}(\partial_\mu \phi_1)^2 + \frac{1}{2}(\partial_\mu \phi_2)^2 + (\sqrt{2}e\phi_0)A_\mu \partial^\mu \phi_2 + e^2 \phi_0^2 A_\mu A^\mu + \dots$$

A_μ is a massive gauge field coupled to ϕ_2 .

ABELIAN HIGGS MODEL

Unitary Gauge: Pick $\alpha(x)$ such that ϕ_2 is eliminated from the theory.

$$\mathcal{L}_{\text{unitary}} = (\partial_\mu \phi)^2 + e^2 \phi^2 A_\mu A^\mu - V(\phi) - \frac{1}{4}(F_{\mu\nu})^2$$

Higgs Mechanism: If $V(\phi)$ favors $\phi_0 = v$, this \mathcal{L} describes a **massive gauge boson** A_μ and a massive field ϕ_1 :

$$\phi_1 = h = \text{Higgs Boson}$$

NON-ABELIAN GAUGE THEORIES

Generalize to local gauge symmetries with non-commuting generators.

Non-abelian examples:

- QCD
- Electoweak
- Standard Model
- Grand Unified Theories

NON-ABELIAN GAUGE THEORIES

Quantum Chromodynamics:

Gauge Group: $SU(3)$

The strong interactions can be described by an unbroken $SU(3)$ color gauge theory with a scalar field in the adjoint representation.

The adjoint rep. has $n^2 - 1$ generators.

$$SU(3) \Rightarrow 3^2 - 1 = 8 \text{ massless gluons}$$

Electroweak:

$$SU(2)_L \otimes U(1)_Y$$

- $SU(2)_L$: weak isospin, 3 generators
- $U(1)_Y$: weak hypercharge, 1 generator

The symmetry is broken below the unification energy (246 GeV):

$$SU(2) \otimes U(1)_Y \Rightarrow U(1)_{EM}$$

- 3 massive bosons: $W^\pm, Z^0 \rightarrow$ weak force
- 1 massless boson: γ photon \rightarrow EM force

Standard Model:

$$SU(3) \otimes SU(2)_L \otimes U(1)_Y$$

- $SU(3)$: strong force
- $SU(2) \otimes U(1)_Y$: electroweak force

If we break the second of these in a more complicated way, we get an extended **Higgs sector**, which is necessary for SUSY, MSSM, and CPV for early universe baryon-antibaryon asymmetry.

NON-ABELIAN GAUGE THEORIES

Grand Unified Theories:

Georgi-Glashow Model, $SU(5)$:

$$SU(5) \supset SU(3) \otimes SU(2)_L \otimes U(1)_Y$$

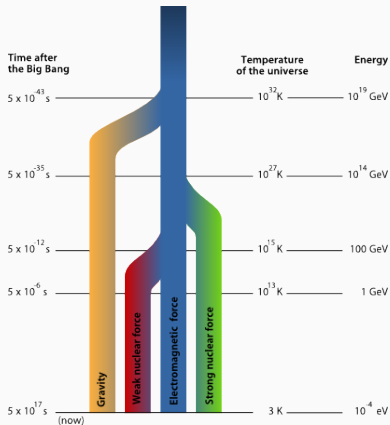
*Predicts proton decay (never observed)

Other candidates:

- $SO(10)$
- $SU(8)$
- $O(16)$

NON-ABELIAN GAUGE THEORIES

Grand Unified Theories:



REFERENCES

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QUESTIONS?

