

# Warming Up to Finite-Temperature Field Theory

Michael Shamma  
UC Santa Cruz

March 2016

# Overview

- Motivations
- Quantum statistical mechanics (quick!)
- Path integral representation of partition function in quantum mechanics
  - Quantum harmonic oscillator
- Path integral representation of partition function in quantum field theory
  - Partition function for free scalar field and its free energy density
  - Partition function for interacting scalar fields and free energy density

# Motivations

- QFT formulated at zero-temperature
  - What are the effects of heating up QFTs?
- Initial motivations found in condensed matter physics
- Later applications to quantum chromodynamics, cosmology, and astrophysics
  - Quark-gluon plasmas (QGPs), heavy-ion collisions, baryogenesis, inflation and phase transitions in the early universe, stellar emission of neutrinos, etc.
- Advantages over kinetic/many-body theory
  - Path integral
  - Non-Abelian gauge interactions
  - Lorentz invariant

# Quantum Statistical Mechanics

- For quantum system described by Hamiltonian  $H$  and set of conserved number operators  $\hat{N}_i$  that commute with  $H$ , the density matrix:

$$\hat{\rho} = \exp[-\beta(H - \mu_i \hat{N}_i)]$$

(natural units used throughout  $\hbar = c = k_B = 1$ )

- Can calculate expectation values of observables by

$$\langle \hat{A} \rangle = \frac{\text{Tr}[\hat{A}\hat{\rho}]}{\text{Tr}[\hat{\rho}]}$$

- The grand canonical partition function can be used to calculate thermodynamic properties of a system:

$$Z = \text{Tr}[\hat{\rho}]$$

- Pressure, entropy, particle number and free energy:  $P = \frac{\partial(T \ln Z)}{\partial V}$ ,  
 $N_i = \frac{\partial(T \ln Z)}{\partial \mu_i}$ ,  $S = \frac{\partial(T \ln Z)}{\partial T}$ ,  $F = -T \ln Z$

# Partition Function: Harmonic Oscillator

- For the (bosonic) quantum harmonic oscillator, the number operator is  $\hat{N} = a^\dagger a$  and the Hamiltonian is  $H = \omega(\hat{N} + \frac{1}{2})$
- The partition function (with  $\mu = 0$ ) can be written as

$$\begin{aligned} Z &= \exp\left[-\frac{\beta\omega}{2}\right] \text{Tr}[\exp[-\beta(\omega)\hat{N}]] = \exp\left[-\frac{\beta\omega}{2}\right] \sum_{n=0}^{\infty} \langle n | \exp[-\beta(\omega)\hat{N}] | n \rangle \\ &= \exp\left[-\frac{\beta\omega}{2}\right] \sum_{n=0}^{\infty} \exp[-\beta(\omega)n] = \exp\left[-\frac{\beta\omega}{2}\right] (1 - \exp[-\beta\omega])^{-1} \\ &= \left[2 \sinh\left(\frac{\omega\beta}{2}\right)\right]^{-1} \end{aligned}$$

# Path Integral for Partition Function in QM

- There are few systems for which the partition function can be calculated exactly.
- A more useful representation is that of the path integral
- Start here, translate results to QFTs
- The partition function can be written as

$$Z = \text{Tr}[\exp(-\beta H)] = \int dx \langle x | \exp(-\beta H) | x \rangle$$

Defining  $\beta = \epsilon N$  the exponential is split into a product of  $N$  pieces

$$Z = \int dx \langle x | \exp(-\epsilon H) \cdots \exp(-\epsilon H) | x \rangle$$

- Inserting  $\mathbb{1} = \int \frac{dp_i}{2\pi} |p_i\rangle \langle p_i|$  on the left of each exponential and  $\mathbb{1} = \int dx |x_i\rangle \langle x_i|$  on the right ( $i$  increasing from right to left in both cases with  $i = 1, \dots, N$ )

# Path Integral for Partition Function in QM

the partition function becomes

$$Z = \int \frac{dx dx_i dp_i}{2\pi} \langle x | p_N \rangle \langle p_N | \exp(-\epsilon H) | x_N \rangle \langle x_N | p_{N-1} \rangle \\ \times \langle p_{N-1} | \exp(-\epsilon H) | x_{N-1} \rangle \langle x_{N-1} | p_{N-2} \rangle \cdots \langle x_2 | p_1 \rangle \langle p_1 | \exp(-\epsilon H) | x_1 \rangle \langle x_1 | x \rangle \quad (1)$$

- On the very left of equation (1) above with  $\langle x |$  playing role of  $\langle x_{i+1} |$  we have:

$$\begin{aligned} \langle x_{i+1} | p_i \rangle \langle p_i | \exp(-\epsilon H) | x_i \rangle &= \exp(ip_i x_{i+1}) \langle p_i | \exp(-\epsilon H) | x_i \rangle \\ &= \exp(ip_i x_{i+1}) \exp(ip_i x_i) \exp[-\epsilon(\frac{p_i^2}{2m} + V(x_i) + \mathcal{O}(\epsilon))] \\ &= \exp[-\epsilon(\frac{p_i^2}{2m} - ip_i \frac{x_{i+1} - x_i}{\epsilon} + V(x_i) + \mathcal{O}(\epsilon))] \end{aligned}$$

- Using the delta function at the very right of equation (1), the integral can be carried out over  $x$  yielding the partition function

# Path Integral for Partition Function in QM

$$Z = \lim_{N \rightarrow \infty} \int \left[ \prod_{i=1}^N \frac{dx_i dp_i}{2\pi} \right] \exp \left[ - \sum_{j=1}^N \epsilon \left( \frac{p_j^2}{2m} - ip_j \frac{x_{j+1} - x_j}{\epsilon} + V(x_j) + \mathcal{O}(\epsilon) \right) \right] \Bigg|_{x_{N+1}=x_1} \quad (2)$$

- The momentum integral is Gaussian and can be easily evaluated to be

$$\int_{-\infty}^{\infty} \frac{dp_i}{2\pi} \exp \left[ -\epsilon \left( \frac{p_i^2}{2m} - ip_i \frac{x_{i+1} - x_i}{\epsilon} \right) \right] = \sqrt{\left( \frac{m}{2\pi\epsilon} \right)} \exp \left( -\frac{m(x_{i+1} - x_i)^2}{2\epsilon} \right) \quad (3)$$



# Path Integral for Partition Function in QM

Thus the partition function becomes

$$Z = \lim_{N \rightarrow \infty} \int \left[ \prod_{i=1}^{\infty} \frac{dx_i}{\sqrt{\frac{2\pi\epsilon}{m}}} \right] \exp \left[ - \sum_{j=1}^{\infty} \epsilon \left( \frac{m}{2} \left( \frac{x_{j+1} - x_j}{\epsilon} \right)^2 + V(x_j) \right) \right] \Bigg|_{x_{N+1}=x_1}$$

- In the continuum limit, defining  $(\frac{m}{2\pi\epsilon})^{N/2} = C$ , where  $C$  is infinite, the above equation becomes

$$Z = C \int_{x(\beta)=x(0)} \mathcal{D}[x] \exp \left[ - \int_0^\beta \left( \frac{m}{2} \left( \frac{dx}{d\tau} \right)^2 + V(x) \right) d\tau \right]$$

- Compare to zero-temperature QM counterpart:

$$Z_0 = C \int \mathcal{D}[x] \exp(i \int dt \mathcal{L}_0)$$

- Finite-temp partition function can be achieved in four steps:

- Wick rotation using  $\tau = it$
  - Introduce “finite-temperature” Lagrangian:  $L = \mathcal{L}_0(\tau = it) = \frac{m}{2} \left(\frac{dx}{d\tau}\right)^2 + V(x)$
  - Restrict integration by  $\int dt \rightarrow \int_0^\beta d\tau$
  - Impose periodicity on  $x(\tau)$  by  $x(\beta) = x(0)$
- 
- This process is the imaginary time formalism of doing field theory
  - Can be used also in quantum field theory and can be used for fermions and bosons

# Path Integral for QHO

- To go to momentum space, write  $x(\tau)$  as Fourier series:  $x(\tau) = T \sum_{n=-\infty}^{\infty} x_n \exp(i\omega_n \tau)$
- Periodicity requires  $e^{i\omega_n \beta} = 1 \Rightarrow \omega_n = 2\pi n T$
- Impose reality on the  $x(\tau) \Rightarrow x^*(\tau) = x(\tau)$  making the  $x_n^* = x_{-n}$  and can now write  $x_n = a_n + ib_n \Rightarrow x_n^* = a_n - ib_n = x_{-n} = a_{-n} + ib_{-n} \Rightarrow a_n = a_{-n}, b_n = -b_{-n}$
- Letting  $b_0 = 0$  and putting this all together,

$$x(\tau) = T \left[ a_0 + \sum_{n=1}^{\infty} [(a_n + ib_n)e^{i\omega_n \tau} + (a_n - ib_n)e^{-i\omega_n \tau}] \right] \quad (4)$$

- Fourier representation allows quadratics of the form

$$\int_0^\beta d\tau x(\tau)y(\tau) = T^2 \sum_{m,n} x_n y_m \int_0^\beta d\tau e^{i(\omega_n + \omega_m)\tau}$$

$$= \sum_{m,n} x_n y_m \delta_{n,-m} = \sum_n x_n y_{-n}$$

- Next, using the four steps above for the action of the quantum harmonic oscillator:

$$\begin{aligned} & - \int_0^\beta d\tau \frac{m}{2} \left[ \frac{dx}{d\tau} \frac{dx}{d\tau} + \omega^2 x(\tau)x(\tau) \right] \\ &= - \frac{mT}{2} \sum_{n=-\infty}^{\infty} x_n (-\omega_n \omega_{-n} + \omega^2) x_{-n} \end{aligned}$$

and using  $\omega_{-n} = -\omega_n$  the action becomes:

$$S = - \frac{mT}{2} \omega^2 a_0^2 - mT \sum_{n=1}^{\infty} (\omega_n^2 + \omega^2) (a^2 + b^2)$$

- Turning our attention to the product of integrals  $\mathcal{D}[x]$  this can be rewritten by changing variables to  $a_n, b_n$  to obtain

$$\mathcal{D}[x] = \left| \det \left( \frac{\delta x(\tau)}{\delta x_n} \right) \right| da_0 \left[ \prod_{n \geq 1} da_n db_n \right]$$

- Defining a new constant  $C' = C|\det(\frac{\delta x(\tau)}{\delta x_n})|$  the harmonic oscillator partition function is

$$Z = C' \int_{-\infty}^{\infty} da_0 \int_{-\infty}^{\infty} \left[ \prod_{n \geq 1} da_n db_n \right] \times \exp \left[ -\frac{mT}{2} \omega^2 a_0^2 - mT \sum_{n \geq 1} (\omega_n^2 + \omega^2)(a_n^2 + b_n^2) \right] \quad (5)$$

- Solving the Gaussian integrals above (and solving for  $C'$ ) yields

$$Z = \frac{1}{\omega\beta} \prod_{n=1}^{\infty} \frac{\omega_n^2}{\omega_n^2 + \omega^2}$$

## Remarks

- The partition function as well as other extensive quantities like volume, temperature, and pressure are all finite, but with the path integral, this is not immediately clear.

- In quantum field theory, however, these divergences may remain.
- When calculating most physically relevant variables, this infinite constant falls out.

# Free Scalar Fields

- For free scalar fields, we can follow essentially the same procedure taking  $x(\tau) \rightarrow \phi(\tau, \vec{x})$
- Why can we do this?
  - The Lagrangian for a scalar field, given by  $\mathcal{L} = \frac{1}{2}\partial_\mu\phi\partial^\mu\phi - V(\phi) = (\partial_t\phi)^2 - \frac{1}{2}(\partial_i\phi)(\partial_i\phi) - V(\phi)$  which is a collection of near-independent harmonic oscillators (with  $m=1$ ).
  - Interact through spatial derivatives, approximately given

$$\partial_i\phi \approx \frac{\phi(t, \vec{x} + \epsilon\vec{e}_i) - \phi(t, \vec{x})}{\epsilon}$$

and since the Hamiltonian depends only on terms quadratic in canonical momentum, this coupling cannot change the previous derivation fundamentally

- Taking derivation from previous section

$$Z = \int_{\phi(\beta, \vec{x}) = \phi(0, \vec{x})} \prod_x \mathcal{CD}[\phi] \exp\left[-\int_0^\beta d\tau \int d^3L\right]$$

where

$$L = -\mathcal{L}(t = i\tau) = \frac{1}{2} \left(\frac{\partial\phi}{\partial\tau}\right)^2 + \sum_{i=1}^3 \frac{1}{2} \left(\frac{\partial\phi}{\partial x_i}\right)^2 + V(\phi)$$

- As with standard QM case, expand the fields in a Fourier series:

$$\phi(\tau, \vec{x}) = T \sum_{n=-\infty}^{\infty} \tilde{\phi}(\omega_n, \vec{x}) e^{i\omega_n \tau}$$

- In this case, it is convenient to let the directions of the spatial coordinates be momentarily finite. Denoting these directions as  $L_i$  and using

$$f(x_i) = \frac{1}{L_i} \sum_{n_i=-\infty}^{\infty} \tilde{f}(n_i) e^{ik_i x_i}$$



where  $k_i = \frac{2\pi n_i}{L_i}$

- Taking the volume to infinity gives

$$\frac{1}{L_i} \sum_{n_i} = \frac{1}{2\pi} \sum_{n_i} \Delta k_i \rightarrow \int \frac{dk_i}{2\pi}$$

where  $\Delta k_i = \frac{2\pi}{L_i}$  and

$$\phi(\tau, \vec{x}) = \frac{T}{V} \sum_{\omega_n} \sum_{\vec{k}} \tilde{\phi}(\tau, \vec{k}) \exp(i\omega_n \tau - i\vec{k} \cdot \vec{x})$$

- For brevity, will quote result (follow similar steps as previous section)

$$Z = \exp\left(-\int_0^\beta d\tau \int L\right) = \prod_{\vec{k}} \left[ \exp\left[-\frac{T}{2V} \sum_{\omega_n} (\omega_n^2 + \vec{k}^2 + m^2) |\tilde{\phi}(\omega_n, \vec{k})|^2\right] \right] \quad (6)$$

- Looks like product of harmonic oscillator partition functions
- Comparing to the quantum harmonic oscillator  $\omega^2 \rightarrow \vec{k}^2 + m^2 = E_k^2$ ,  $m \rightarrow \frac{1}{V}$ ,  $|x_n|^2 \rightarrow |\tilde{\phi}(\omega_n, \vec{k})|^2$
- Continuing with the analogies to QHO,

$$Z = \prod_{\vec{k}} \left[ T \prod_{n=-\infty}^{\infty} (\omega_n^2 + E_k^2)^{-1/2} \prod_{n \neq 0} (\omega_n^2)^{1/2} \right]$$

$$= \exp \left[ \sum_{\vec{k}} \ln(T) + \frac{1}{2} \sum_{n \neq 0} \ln(\omega_n^2) - \frac{1}{2} \sum_n \ln(\omega_n^2 + E_k^2) \right] = \exp\left(-\frac{F}{T}\right)$$

- In order to obtain the free energy density, take limit at volume goes to infinity to find

$$\lim_{V \rightarrow \infty} \frac{F}{V} = \int \frac{d^3k}{(2\pi)^3} \left[ T \sum_{\omega_n} \frac{1}{2} \ln(\omega_n^2 + E_k^2) - T \sum_{\omega_n \neq 0} \frac{1}{2} \ln(\omega_n^2) - T \ln(T) \right]$$

# Interacting Theory

- As in zero temperature QFT, free theory is only exactly solvable theory
- But because of the way the thermal theory is built, we can use perturbative techniques in much the same way to approximate interactions
- In much the same way as was done in this course, we can write the partition function as

$$Z = \int \mathcal{D}[\phi] \exp[-S_0 + S_I]$$

where  $S_0$  is the action of the free scalar field theory and  $S_I$  is the action of the interacting theory

- This can be written in the form

$$Z = C \int \mathcal{D}[\phi] e^{-S_0} \left[ 1 - S_I + \frac{1}{2!} S_I^2 - \frac{1}{3!} S_I^3 \right]$$

and using

$$\langle S_I^n \rangle = \frac{\int \mathcal{D}[\phi] S_I^n e^{-S_0}}{\int \mathcal{D}[\phi] e^{-S_0}}$$

$$\Rightarrow Z = Z_0(1 - \langle S_I \rangle + \frac{1}{2!} \langle S_I^2 \rangle + \dots)$$

- Taking the logarithm, it becomes obvious that the first term in the above equation corresponds to a term proportional to the free energy density and the terms following correspond to the corrections due to interactions

# Feynman Rules for $\phi^4$ -theory

- Taking the logarithm of the the partition function for interacting fields

$$\ln Z = \ln Z_0 + \ln(1 - \langle S_I \rangle + \frac{1}{2!} \langle S_I^2 \rangle + \dots)$$

- The second logarithm corresponds to the interacting fields,  $\ln Z_I = \ln(1 - \langle S_I \rangle + \frac{1}{2!} \langle S_I^2 \rangle + \dots)$  and can be expanded using  $\ln(1 - x) \approx -x - \frac{x^2}{2} - \frac{x^3}{3} - \dots$  to find the first order correction:

$$\ln Z_1 \approx -\langle S_I \rangle_0 = \frac{\lambda}{4} \left[ \frac{\int_0^\beta d\tau \int d^3x \int \mathcal{D}[\phi] \exp(-S_0) \phi^4}{\int \mathcal{D}[\phi] \exp(-S_0)} \right]$$

- Skipping the steps of evaluating the above formula, which involve Fourier expanding the fields, the first order correction for a  $\phi^4$ -theory is

$$\ln Z_1 = -\frac{3}{4} \lambda \beta V \left[ T \sum_n \int \frac{d^3p}{(2\pi)^3} \frac{1}{\omega_n^2 + \vec{k}^2 + m^2} \right]^2$$

- As in zero-temperature QFT, this has a diagrammatic representation

$$\ln Z_1 = 3 \text{ (two circles connected at a vertex)} \\ (\mathbf{p}_1, \omega_{n_1})(\mathbf{p}_2, \omega_{n_2})$$

- “Finite-Temperature Feynman Rules” for  $\phi^4$ -theory
  - Draw all connected diagrams
  - Determine the combinatoric factor for each diagram
  - Include a factor of  $T \sum_n \int \left[ \frac{d^3 p}{(2\pi)^3} \right] \mathcal{D}_0(\omega_n, \vec{p})$
  - Include a factor of  $-\lambda$  for each vertex
  - Include a factor of  $(2\pi)^3 \delta(\vec{p}_{in} - \vec{p}_{out}) \beta \delta_{\omega_{in}, \omega_{out}}$  for each vertex, corresponding to energy-momentum conservation. There will be one factor of  $\beta(2\pi)^3 \delta^{\vec{0}} = \beta V$  left over.

## Summary/Next Steps

- Calculated the partition function of the QHO using a path integral
- Developed the general tools necessary for calculating observable quantities
- Were able to extend this to a simple scalar QFT
- Somethings missing: chemical potential, physical behavior discussions
- Extend to more concepts in QFTs: gauge theories, symmetry breaking, lattice-QCD/QGP calculations...

# References

[1] Altherr, T. “Introduction to Thermal Field Theory” (1993). arXiv:hep-ph/9307277v1

[2] Laine, M.;Vourinen, A. “Basics of Thermal Field Theory: A tutorial on perturbative calculations” (2016). Springer International Publishing. ISBN 978-3-319-31933-9

[3] Kapusta, J.; Gale, C. “Finite-Temperature Field Theory Principles and Applications” (2006). Cambridge Monographs on Mathematical Physics, Cambridge University Press. ISBN:978-0-521-82082-0

[4] Bellac, M.L. “Thermal Field Theory” (2000). Cambridge Monographs on Mathematical Physics, Cambridge University Press. ISBN: 978-0-521-65477-7

[5] Zinn-Justin, J. “Quantum Field Theory at Finite Temperature: An Introduction” (2000). arXiv:hep-ph/0005272v1



[6] Yang, Yuhao. "An Introduction to Thermal Field Theory". Imperial College London, (2011). Thesis. Accessed online: <https://workspace.imperial.ac.uk/yuhao.yang/>