

# QCD and the Parton Model

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# Outline

- Motivation and classical history
- Parton model
- Field theory parton model
- DGLAP evolution equations

# Motivation

- Although the proton's properties and existence can be explained via QCD its existence cannot be proven
- We have only been able to apply QCD to colored particles not hadrons
- The two other methods presented in Schwartz, chiral perturbation theory and lattice QCD, have problems as well
- The Chiral Lagrangian is not renormalizable and lattice QCD is computationally expensive and ill fit for calculations such as scattering amplitudes

# Motivation

- Intuitively we should be able to use QCD for high energy proton scattering as the strong force is weak at short distance scales
- At very high energies the scattering interactions are mainly those involving free quarks and gluons
- In order to study the proton, we will use  $e^-p^+$  scattering to probe the proton's properties

# Classical Experiment

- The proton was discovered by Rutherford, Geiger and Marsden after they fired  $\alpha$  particles at gold and later aluminum foil
- To their surprise they measured scattering angles greater than  $90^\circ$
- Assuming a central coulomb potential, Rutherford calculated

$$\frac{d\sigma}{d\Omega} = \left( \frac{Ze^2}{4\pi mv^2} \right)^2 \frac{1}{\sin^4 \frac{\theta}{2}}$$

which agreed with the data

# Classical Experiment

- using conservation of energy at zero impact parameter Rutherford derived an  $r_{max}$  given by the formula

$$\frac{1}{2}mv^2 = \frac{2Ze^2}{4\pi r_{max}}$$

which gives  $r_{max} = 4.8 \times 10^{15}m$  for the proton

# Elastic Scattering

- Called coulomb scattering at low energies
- Analogous to  $e^- \mu^+$  scattering
- In the lab frame (proton rest frame) the Relativistic cross section for two spin 1/2 particles is given by

$$\left(\frac{d\sigma}{d\Omega}\right)_{lab} = \frac{\alpha_e^2}{4E^2 \sin^4 \frac{\theta}{2}} \frac{E'}{E} \left( \cos^2 \frac{\theta}{2} - \frac{q^2}{2m_p^2} \sin^2 \frac{\theta}{2} \right)$$

- Where we let  $m_e \rightarrow 0$  and  $q^\mu = k^\mu - k'^\mu$
- The relation  $q^2 = -2k \cdot k' = -(4E'E \sin^2 \frac{\theta}{2})_{lab} = 2m_p(E - E')$  is also useful

# Elastic Scattering

- When the electron is not considered massless it is easy to see that our result reduces to the classical one in the low energy limit
- Our result depends only on the electron's initial and final state properties
- If we were ignorant to QCD we would expect our result to hold up to arbitrarily short distances



# Elastic Scattering

- Similar to in QED we remove the electron and consider the interaction of the proton with an off shell photon of spacelike momentum  $q^\mu$
- Again as in QED the most general vertex can be written in the form  $\bar{u}(p')(ie\Gamma^\mu)u(p)$  where as before

$$\Gamma^\mu = F_1(q^2)\gamma^\mu + \frac{i\sigma^{\mu\nu}}{2m_p}q_\nu F_2(q^2)$$

- This confirms the proton charge  $Q = +1$  at large distances
- It is experimentally known that  $g_p = 5.58 \implies$  the proton is not a pointlike particle

# Elastic Scattering

- Repeating the elastic scattering calculation with the general form of the vertex gives

$$\left(\frac{d\sigma}{d\Omega}\right)_{lab} = \frac{\alpha_e^2}{4E^2 \sin^4 \frac{\theta}{2}} \frac{E'}{E} \\ \times \left[ \left( F_1^2 - \frac{q^2}{4m_p^2} F_2^2 \right) \cos^2 \frac{\theta}{2} - \frac{q^2}{2m_p^2} (F_1 + F_2)^2 \sin^2 \frac{\theta}{2} \right]$$

- Rosenbluth formula

# Elastic Scattering

- Because  $m_\tau = 1.7\text{GeV} \sim m_p$  consider  $e^-\tau^+$  scattering as an example
- $|q^2| \gg m_\tau^2 \implies F_2 \rightarrow 0$  and  $F_1 \sim \log(\text{Energy})$
- This contradicts the protons observed behavior

$$F_1 \sim \frac{1}{\left(1 - \frac{q^2}{0.71\text{GeV}^2}\right)^2}$$

where a definite scale has appeared

- Up to multiplicative factors  $F_1$  is just the fourier transform of the Born scattering potentials yielding  $V(r) = \frac{m^3}{4\pi} e^{-mr} \sim e^{-r/r_0}$  where  $r_0 \sim (0.84\text{GeV})^{-1} \sim 1\text{fm}$

# Inelastic Scattering

- Slightly inelastic:  $e^- p^+ \rightarrow e^- p^+ \pi^0$
- Deep inelastic scattering (DIS):  $e^- p^+ \rightarrow e^- X$  where  $X$  represents anything the proton can break into
- Instead of parameterizing the vertex in terms of form factors, we now the  $\gamma p^+ \rightarrow X$  interactions  $\implies$  we parameterize the cross section
- Before integrating over the electrons final state energy the cross section can be written in the form

$$\left( \frac{d\sigma}{d\Omega dE'} \right)_{lab} = \frac{\alpha_e^2}{4\pi m_p q^4} L^{\mu\nu} W_{\mu\nu}$$

# Inelastic Scattering

- $L_{\mu\nu}$  is the leptonic tensor and  $W_{\mu\nu}$  is the hadronic tensor

- $L^{\mu\nu} = \frac{1}{2} \text{tr} [k' \gamma^\mu k \gamma^\nu] = 2(k'^\mu k^\nu - k'^\nu k^\mu - k \cdot k' g^{\mu\nu})$

$$e^2 \epsilon_\mu \epsilon_\nu^* W^{\mu\nu} = \frac{1}{2} \sum_{X, spin} \int d\Pi_X (2\pi)^4 \delta^4(q + P - p_X) |\mathcal{M}(\gamma p^+ \rightarrow X)|^2$$

- Because final states are integrated over we know  $W^{\mu\nu}$  can only depend on  $P^\mu$  and  $q^\mu$
- The ward identity and the fact that unpolarized scattering must be symmetric further constrains the form of  $W^{\mu\nu}$

# Inelastic Scattering

- The most general form of  $W^{\mu\nu}$  we can write is

$$W^{\mu\nu} = W_1 \left( -g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) + W_2 \left( P^\mu - \frac{P \cdot q}{q^2} q^\mu \right) \left( P^\nu - \frac{P \cdot q}{q^2} q^\nu \right)$$

- $W_1$  and  $W_2$  only depend on the scalars  $P^2, q^2$  and  $P \cdot q$
- We now define  $Q \equiv \sqrt{-q^2} > 0$ ,  $\nu \equiv \frac{P \cdot q}{m_p} = (E - E')_{lab}$  and  $x = \frac{Q^2}{2P \cdot q}$  called the Bjorken  $x$

# Inelastic Scattering

- Contracting  $L^{\mu\nu}$  and  $W_{\mu\nu}$  gives

$$\left( \frac{d\sigma}{d\Omega dE'} \right)_{lab} = \frac{\alpha_e^2}{8\pi E^2 \sin^4 \frac{\theta}{2}} \times \left[ \frac{m_p}{2} W_2(x, Q) \cos^2 \frac{\theta}{2} + \frac{1}{m_p} W_1(x, Q) \sin^2 \frac{\theta}{2} \right]$$

- As we had before,  $W_1$  and  $W_2$  can be completely determined by measuring the electron's properties
- The cross section's approximate independence of  $Q$  for fixed  $x$  is called Bjorken scaling

# Bjorken Scaling

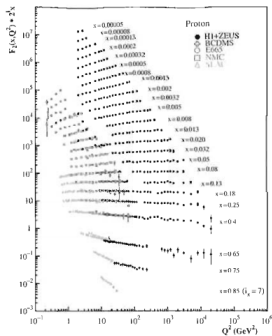


Figure 1: Data confirming Bjorken scaling



# The Parton Model

- Assume objects within the proton called "partons" are free
- Parton refers to quarks, gluons and less formally antiquarks, photons and the rest of the SM particles
- Assume some of the partons are charged
- We will determine  $W_1$  and  $W_2$  via elastic scattering off of a parton of mass  $m_q$
- $p_i^\mu + q^\mu = p_f^\mu \implies \frac{Q^2}{2p_i \cdot q} = 1$  is a relation we will use

# The Parton Model

- Assume  $p_i^\mu = \xi P^\mu$  where  $\xi$  is called the momentum fraction
- $x = \frac{Q^2}{2P \cdot q} = \xi$
- Measuring  $x$  is measuring the fraction of  $P$  involved in the parton scattering

## Parton Distribution Functions (PDF's)

- $f_i(\xi)d\xi$  is the probability of photon hitting parton  $i$  with momentum fraction  $\xi$
- Intuitively this is allowed because momentum is exchanged between partons on time scales  $\sim m_p^{-1}$  which are much slower than  $Q^{-1}$  which is relevant to the photon
- Rigorously  $Q \gg \Lambda_{QCD} \implies$  de-coherence of the parton wave functions allowing for a probabilistic interpretation

# The Parton Model

- We can now write the cross section in terms of the partonic cross sections

$$\sigma(e^- P^+ \rightarrow e^- X) = \sum_i \int_0^1 d\xi f_i(\xi) \hat{\sigma}(e^- p_i \rightarrow e^- X)$$

- At lowest order we can use the Rosenbluth formula with  $F_1 = 1$  and  $F_2 = 0$  for the partonic cross section
- Plugging into the formula above gives

$$\left( \frac{d\sigma(e^- P^+ \rightarrow e^- X)}{d\Omega dE'} \right) = \sum_i f_i(x) \frac{\alpha_e^2 e_i^2}{4E^2 \sin^4 \frac{\theta}{2}} \times \left[ \frac{2m_p x^2}{Q^2} \cos^2 \frac{\theta}{2} + \frac{1}{m_p} \sin^2 \frac{\theta}{2} \right]$$

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- We can now read off

$$W_1(x, Q) = 2\pi \sum_i e_i^2 f_i(x)$$

$$W_2(x, Q) = 8\pi \frac{x^2}{Q^2} \sum_i e_i^2 f_i(x)$$

- We have also derived the Callan-Gross relation

$$W_1(x, Q) = \frac{Q^2}{4x^2} W_2(x, Q)$$

# Sum Rules

- Because PDF's are probabilities they must obey certain properties
- For example down quark number is conserved within a proton so

$$\int d\xi (f_d(\xi) - f_{\bar{d}}(\xi)) = 1$$

- Each rule corresponds to a classically conserved current
- Numerically evaluating  $\int d\xi \xi (f_u(\xi) + f_d(\xi)) \approx 0.38$
- The valence quarks only contribute 38% of the protons momentum
- the rest is comprised of gluons and sea quarks

# DGLAP Equations

- Structure functions should have weak logarithmic on  $Q^2$
- Want to combine parton model with perturbative QCD
- Assume parton model holds
- Define the partonic version of the hadronic tensor in terms of  $|\mathcal{M}(\gamma q \rightarrow X)|^2$  and also define  $z \equiv \frac{Q^2}{2p_i \cdot q} \implies x = z\xi$

# DGLAP Equations

- Integrating over  $\xi$  we get

$$\begin{aligned}W^{\mu\nu}(x, Q) &= \sum_i \int_0^1 dz \int_0^1 d\xi f_i(\xi) \hat{W}^{\mu\nu}(z, Q) \delta(x - z\xi) \\ &= \sum_i \int_x^1 \frac{d\xi}{\xi} f_i(\xi) \hat{W}^{\mu\nu}\left(\frac{x}{\xi}, Q\right)\end{aligned}$$

- At leading order only  $\gamma q \rightarrow q$  contributes and we have

$$\begin{aligned}\hat{W}^{\mu\nu}(z, Q) &= 2\pi e_i^2 \delta(1 - z) \\ &\times \left[ \left( -g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) + \frac{4z}{Q^2} \left( p_i^\mu - \frac{p_i \cdot q}{q} q^\mu \right) \left( p_i^\nu - \frac{p_i \cdot q}{q} q^\nu \right) \right]\end{aligned}$$



# DGLAP Equations

- We can now read off

$$\hat{W}_1 = 2\pi e_i^2 \delta(1-z) = \frac{Q^2}{4z} \hat{W}_2$$

confirming the Callan-Gross relation at leading order

- Plugging this into our formula for the hadronic tensor gives

$$W^{\mu\nu}(x, Q) = 2\pi \sum_i e_i^2 f_i(x) \times \left[ \left( -g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) + \frac{4x^2}{Q^2} \left( P^\mu - \frac{P \cdot q}{q} q^\mu \right) \left( P^\nu - \frac{P \cdot q}{q} q^\nu \right) \right]$$

# DGLAP Equations

- Now consider  $W_0 \equiv -g^{\mu\nu}W_{\mu\nu}$
- When  $Q \gg m_p$ ,

$$W_0 = 2W_1 = 4\pi \sum_i e_i^2 f_i(x)$$

- We will use  $W_0$  to define PDF's at higher orders
- Similarly for the partonic version we get

$$\hat{W}_0^{LO} = 4\pi e_i^2 \delta(1-z)$$

# NLO

- At NLO there are three diagrams, one corresponding to the virtual correction to  $\gamma q \rightarrow q$  and two for the process  $\gamma q \rightarrow qg$
- All have divergences and require renormalization or dimensional regulation (Too long to display in one slide, the full expression for  $\hat{W}_0$  can be found on Schwartz p. 679)

$$P_{qq}(z) = C_F \left[ (1+z^2) \left[ \frac{1}{1-z} \right]_+ + \frac{3}{2} \delta(1-z) \right]$$

- $P_{qq}(z)$  is called DGLAP splitting function

# NLO

- Taking a difference at two different scale  $Q$  and  $Q_0$  gives

$$W_0(x, Q) - W_0(x, Q_0) = 4\pi \sum_i \int_x^1 \frac{d\xi}{\xi} f_i(\xi) \left[ \frac{\alpha_s}{2\pi} P_{qq}\left(\frac{x}{\xi}\right) \log \frac{Q^2}{Q_0} \right]$$

- It is clear that Bjorken scaling is violated by the logarithmic dependence on  $Q^2$

# DGLAP Evolution equation

- Now if we define

$$W_0(x, Q) \equiv 4\pi \sum_i e_i^2 f_i(x, \mu = Q)$$

for any scale  $Q$  and plug into the previous equation we arrive at the result

$$\mu \frac{d}{d\mu} f_i(x, \mu) = \frac{\alpha_s}{\pi} \int_x^1 \frac{d\xi}{\xi} f_i(\xi, \mu) P_{qq}\left(\frac{x}{\xi}\right)$$

- DGLAP evolution equation

# References

- [1] M.D. Schwartz, Quantum Field Theory and the Standard Model.