# Accommodating hints of new heavy scalars in the framework of the flavor-aligned two-Higgs-doublet model

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(Received 14 March 2023; accepted 18 August 2023; published 28 September 2023)

Searches for new neutral Higgs bosons of an extended Higgs sector at the LHC can be interpreted in the framework of the two-Higgs doublet model. By employing generic flavor-aligned Higgs-fermion Yukawa couplings, we propose an analysis that uses experimental data to determine whether flavor alignment is a consequence of a symmetry that is either exact or at most softly broken. We illustrate our proposal in two different scenarios based on a few 3 sigma (local) excesses observed by the ATLAS and CMS Collaborations in their searches for heavy scalars. In scenario 1, an excess of events is interpreted as  $A \to ZH \to \ell^+\ell^-b\bar{b}$  (where  $\ell=e$  or  $\mu$ ), with the CP-odd and CP-even neutral scalar masses given by  $m_A=610$  GeV and  $m_H=290$  GeV, respectively. In scenario 2, an excess of events in the production of  $t\bar{t}$  and  $\tau^+\tau^-$  final states is interpreted as decays of a CP-odd scalar of mass  $m_A=400$  GeV. Scenario 1 is consistent with type-I Yukawa interactions, which can arise in a 2HDM subject to a softly-broken  $\mathbb{Z}_2$  discrete symmetry. Scenario 2 is inconsistent with a symmetry-based flavor alignment, but can be consistent with more general flavor-aligned Higgs-fermion Yukawa couplings.

DOI: 10.1103/PhysRevD.108.055031

#### I. INTRODUCTION

After ten years of Higgs boson studies, the LHC data show no significant deviations from the predictions of the Standard Model (SM). The phenomenological profile of the Higgs boson resembles that of the SM with precisions approaching 10% in some channels [1,2]. One may be left wondering whether we have reached the end of our exploration of the theory of elementary particles and their interactions.

However, the Standard Model is known to be incomplete (e.g., it cannot accommodate dark matter, baryogenesis, and neutrino masses, while providing no explanation for the origin of the electroweak energy scale). Whether departures will first be revealed at the TeV scale, perhaps in future LHC experiments, or whether physics beyond the SM enters at a much higher energy scale remains to be seen. Nevertheless, independently of whether the more profound questions associated with the incompleteness of the SM can

Published by the American Physical Society under the terms of the Creative Commons Attribution 4.0 International license. Further distribution of this work must maintain attribution to the author(s) and the published article's title, journal citation, and DOI. Funded by SCOAP<sup>3</sup>. be directly addressed at the LHC, one can pose the following pedestrian query. Given the nonminimal nature of the matter and gauge multiplets that comprise the SM, should one also expect a nonminimal scalar sector as well? If yes, is it possible (and perhaps even likely) that additional particles beyond the SM not yet discovered will eventually emerge from future LHC data? Examples of such additional states could be new gauge bosons [implying that the gauge group relevant for TeV scale physics is larger than  $SU(3) \times SU(2) \times U(1)$ ], new fermionic states (such as vectorlike quarks and leptons), new scalar states (e.g., an extended Higgs sector), supersymmetric partners of SM particles, or even more exotic objects such as leptoquarks.

In this work, we focus on the possibility that the scalar sector includes additional color singlet neutral and charged scalars beyond the SM Higgs boson. It is certainly an important experimental question to ask whether such states exist in a mass range accessible to the LHC. Indeed, the ATLAS and CMS Collaborations have performed numerous searches for such new scalar states using the run 1 and run 2 datasets, and such searches will continue and will be expanded during run 3 and beyond.

So far, no definitive signals of new scalar states have been announced. From time to time, small excesses of events emerge in some search channels, as one would expect based on fluctuations of data from the size of the data samples. Nevertheless, if new scalar states do exist in Nature in a range that can be probed by the LHC, then the

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initial signal of these states will often resemble the excesses due to expected fluctuations in the data. Of course, increasing the size of the data samples as more data is collected will reveal which of these two possibilities is the correct interpretation.

In searching for evidence for new scalar states, one often is required to make model assumptions in developing the search strategies and in interpreting the results. The more specific the model assumptions are, the less flexible the data analysis. On the other hand, the more generic the model, the more difficult it is to focus on specific experimental signatures. In proposing searches for extended Higgs sector phenomena, we find it convenient to focus on the two Higgs doublet extension of the Standard Model (2HDM) [3]. This model possesses the main ingredients for new phenomena that one expects in most extended Higgs sectors. These include charged scalars, CP-odd scalars (if the neutral scalar sector is *CP*-conserving) or neutral scalars of indefinite *CP* (if the scalar sector is CP-violating), and the possibility of Higgsmediated flavor changing neutral currents (FCNCs), which if present must be small enough to avoid conflict with present experimental data.

The most general 2HDM adds a significant number of new parameters to the Standard Model. Recall that the Standard Model (where neutrino masses are zero and are not counted as separate parameters) is governed by 19 parameters, which include three gauge couplings,  $\Theta_{\text{OCD}}$ , nine quark and lepton masses, three Cabibbo-Kobayashi-Maskawa (CKM) angles, one CKM phase, and two parameters of the Higgs sector that can be taken to be the Higgs vacuum expectation value,  $v \simeq 246$  GeV and the Higgs mass (e.g., see Ref. [4]). In the most general 2HDM, the two Higgs sector parameters of the SM are expanded to eleven, and new Higgs-fermion Yukawa matrix couplings arise that are in principle independent of the quark and lepton masses. In light of Eq. (19), these new Yukawa matrix couplings correspond to the six  $3 \times 3$ hermitian matrices  $\rho_R^F$  and  $\rho_I^F$  (where F = U, D, E refers to the couplings to up-type quarks, down-type quarks and charged leptons, respectively) and yield 54 new parameters. Thus, the most general two-Higgs-doublet extension of the SM is governed by 82 parameters!

It is not practical to devise search strategies that scan over all 82 parameters of the general 2HDM. Moreover, a generic point in this 82-dimensional space would be immediately ruled out due to scalar-mediated FCNCs that can already be experimentally ruled out. In the literature, the standard practice is to eliminate tree-level Higgs-mediated FCNCs by imposing an appropriate discrete symmetry [5,6]. By considering all possible symmetries of this type, one finds four classes of 2HDM Yukawa couplings, which are called types I, II, X, and Y in the literature [7–9]. From a purely phenomenological point of view, this assumption is too strong as it reduces the size of the 2HDM parameter space more strictly than necessary.

Indeed, it is sufficient to simply require that the Hermitian matrices  $\rho_R^F$  and  $\rho_I^F$  are diagonal. One can reduce the number of 2HDM parameters even further by assuming that  $\rho_R^F$  and  $\rho_I^F$  are each proportional to the 3 × 3 identity matrix (with coefficients, called flavor-alignment parameters, that depend on F), which yields the flavor-aligned 2HDM (A2HDM) [10]. Although there are theoretical arguments for favoring the stricter types I, II, X, and Y structures (which are renormalization group stable [17] and hence can be realized without an artificial fine-tuning of parameters in the 82-dimensional 2HDM parameter space), ultimately it will be experiment that will determine the structure of the Yukawa interactions. Indeed, if potential signals of an extended Higgs sector arise, we believe that it is prudent to employ the less restrictive A2HDM framework in order to test the validity of the 2HDM interpretation of the data.

Likewise, one must decide whether to include new sources of *CP*-violation in the 2HDM when confronting potential signals of extended Higgs sector phenomenology. Experimental constraints exist due to the absence of evidence for an electric dipole moment of the electron [18,19]. In this work, we choose to assume a CP-conserving scalar sector for simplicity to reduce the number of parameters of the model and simplify the subsequent analysis. This is accomplished by taking the flavor-alignment parameters to be real and demanding the existence of a Higgs basis in which all the scalar potential parameters are real. It is quite likely that any initial discovery of new scalars at the LHC will be insensitive to assumptions regarding possible CP-violating parameters associated with the extended Higgs sector. However, it is certainly worth considering the phenomenological implications of scalar sector CP violation, which we will leave for a future work.

In Sec. II, we review the theoretical structure of the 2HDM. We explicitly specify the parameters that govern the A2HDM parameter space, specializing to the case where no CP-violating parameters (beyond the CKM phase) are present. In particular, the flavor-alignment parameters are defined such that they are manifestly basis-independent quantities and hence directly related to physical observables. In Sec. III, we survey the search for non-SM-like Higgs bosons at the LHC and discuss a few excesses in different search channels that have been reported by the ATLAS and CMS Collaborations [20–23]. We propose to analyze two different A2HDM scenarios that could yield excesses of events that would be compatible with the reported LHC data. In scenario 1, we take  $m_A = 610$  GeV and  $m_H = 290$  GeV, motivated by an

<sup>&</sup>lt;sup>1</sup>Flavor-aligned extended Higgs sectors can naturally arise from symmetries of ultraviolet completions of low-energy effective theories of flavor as shown in Refs. [11–14]. In such models, departures from exact flavor alignment due to renormalization group running down to the electroweak scale are typically small enough [15,16] to be consistent with all known experimental FCNC bounds.

ATLAS excess of events with a local (global) significance of  $3.1(1.3)\sigma$  [20], which is compatible with the interpretation of  $gg \to A \to ZH$ , where  $H \to b\bar{b}$  and  $Z \to \ell^+\ell^-$  (where  $\ell = e, \mu$ ). Scenario 2 is based on an ATLAS excess of  $\tau^+\tau^-$  events with an invariant mass of around 400 GeV, with local significances of  $2.2\sigma$  in the gg fusion production channel and  $2.7\sigma$  in the b-associated production channel [21–23]. The CMS Collaboration sees no excess in the  $\tau^+\tau^-$  channel [24], but still leaves some room for a possible signal. However, the CMS Collaboration observes an excess of  $t\bar{t}$  events with an invariant mass of around 400 GeV, with a local (global) significance of  $3.5(1.9)\sigma$ , that favors identifying the excess with A production [23].

We propose to examine whether scenarios 1 or 2 is compatible with an A2HDM interpretation. In Sec. IV, we discuss the details of our A2HDM parameter scan and the relevant theoretical and experimental constraints that must be applied to the A2HDM parameter space. Some of the indirect experimental constraints that we have applied (such as the oblique T-parameter and the constraints due to the observed  $b \to s\gamma$  and  $B_s - \bar{B}_s$  mixing) are based on theoretical calculations that are reviewed in three appendices. In Sec. V, we exhibit the regions of the A2HDM parameter scans that are consistent with scenario 1. We show that these regions include a subregion that coincides with type-I Yukawa couplings. In order to facilitate future experimental heavy Higgs boson searches at the LHC, we provide two specific A2HDM benchmarks for scenario 1. One benchmark is specifically targeted to a type-I 2HDM, whereas a second benchmark corresponds to a more general A2HDM parameter point that is incompatible with a type I, II, X, or Y structure.

In Sec. VI, we exhibit the regions of the A2HDM parameter scans that are consistent with scenario 2. We demonstrate that none of A2HDM parameter regions favored by scenario 2 are consistent with type I, II, X, or Y Yukawa couplings. We construct one A2HDM benchmark for scenario 2 that can be used to suggest additional heavy scalar channels that might be probed in future runs at the LHC.

In Sec. VII, we summarize our results and suggest other heavy scalar signatures that could be used to confirm the presence of new scalars beyond the SM-like Higgs boson, should any of the small excesses that have been previously reported by the ATLAS and CMS collaborations turn out to be something more than a statistical fluctuation.

#### II. THEORETICAL BACKGROUND

In this section, we briefly review the theoretical structure of the 2HDM. The scalar fields of the 2HDM consist of two identical complex hypercharge-one, SU(2) doublets  $\Phi_i(x) \equiv (\Phi_i^+(x), \Phi_i^0(x))$ , labeled by the "Higgs flavor" index  $i \in \{1,2\}$ . The most general renormalizable SU(2)<sub>L</sub> × U(1)<sub>Y</sub> invariant scalar potential is given in the so-called  $\Phi$ -basis by

$$\mathcal{V} = m_{11}^{2} \Phi_{1}^{\dagger} \Phi_{1} + m_{22}^{2} \Phi_{2}^{\dagger} \Phi_{2} - [m_{12}^{2} \Phi_{1}^{\dagger} \Phi_{2} + \text{H.c.}] 
+ \frac{1}{2} \lambda_{1} (\Phi_{1}^{\dagger} \Phi_{1})^{2} + \frac{1}{2} \lambda_{2} (\Phi_{2}^{\dagger} \Phi_{2})^{2} + \lambda_{3} (\Phi_{1}^{\dagger} \Phi_{1}) (\Phi_{2}^{\dagger} \Phi_{2}) 
+ \lambda_{4} (\Phi_{1}^{\dagger} \Phi_{2}) (\Phi_{2}^{\dagger} \Phi_{1}) + \left\{ \frac{1}{2} \lambda_{5} (\Phi_{1}^{\dagger} \Phi_{2})^{2} \right. 
+ [\lambda_{6} (\Phi_{1}^{\dagger} \Phi_{1}) + \lambda_{7} (\Phi_{2}^{\dagger} \Phi_{2})] \Phi_{1}^{\dagger} \Phi_{2} + \text{H.c.} \right\},$$
(1)

where  $m_{11}^2$ ,  $m_{22}^2$ , and  $\lambda_1, ..., \lambda_4$  are real parameters and  $m_{12}^2$ ,  $\lambda_5$ ,  $\lambda_6$ , and  $\lambda_7$  are potentially complex parameters. We assume that the parameters of the scalar potential are chosen such that the minimum of the scalar potential respects the U(1)EM gauge symmetry. Then, the scalar field vacuum expectations values (vevs) are of the form<sup>2</sup>

$$\langle \Phi_i \rangle = \frac{v}{\sqrt{2}} \begin{pmatrix} 0 \\ \hat{v}_i \end{pmatrix}, \tag{2}$$

where  $\hat{v}$  is a complex vector of unit norm,

$$\hat{v} = (\hat{v}_1, \hat{v}_2) = (c_\beta, s_\beta e^{i\xi}), \tag{3}$$

 $c_{\beta} \equiv \cos \beta$  and  $s_{\beta} \equiv \sin \beta$  (such that  $0 \le \beta \le \frac{1}{2}\pi$ ,  $0 \le \xi < 2\pi$ ), and v is determined by the Fermi constant,

$$v \equiv \frac{2m_W}{g} = (\sqrt{2}G_F)^{-1/2} \simeq 246 \text{ GeV}.$$
 (4)

## A. The Higgs basis

One is always free to redefine the scalar field basis by a unitary transformation,  $\Phi_i \to U_{ij}\Phi_j$ . The  $\Phi$ -basis that was used to define the scalar potential given in Eq. (1) has no physical significance in a generic 2HDM. However, starting from an arbitrary  $\Phi$ -basis, one can always transform to the so-called Higgs basis [25–30], where the Higgs basis fields, denoted by  $\mathcal{H}_1$  and  $\mathcal{H}_2$  are defined by the linear combinations of  $\Phi_1$  and  $\Phi_2$  such that  $\langle \mathcal{H}_1^0 \rangle = v/\sqrt{2}$  and  $\langle \mathcal{H}_2^0 \rangle = 0$ . In particular [31,32],

$$\mathcal{H}_1 = (\mathcal{H}_1^+, \mathcal{H}_1^0) \equiv \hat{v}_i^* \Phi_i, \quad \mathcal{H}_2 = (\mathcal{H}_2^+, \mathcal{H}_2^0) \equiv e^{i\eta} \epsilon_{ij} \hat{v}_i \Phi_j,$$

$$(5)$$

where  $\epsilon_{12} = -\epsilon_{21} = 1$  and  $\epsilon_{11} = \epsilon_{22} = 0$ , and there is an implicit sum over repeated indices. The phase factor  $e^{i\eta}$  indicates that the Higgs basis is not unique, since one can always rephase the Higgs basis field  $\mathcal{H}_2$  while maintaining  $\langle \mathcal{H}_2^0 \rangle = 0$ .

<sup>&</sup>lt;sup>2</sup>Without loss of generality  $\hat{v}_1$  can be chosen to be nonnegative by applying a suitable  $\mathrm{U}(1)_Y$  transformation that has no effect on the scalar potential parameters.

In terms of the Higgs basis fields defined in Eq. (5), the scalar potential is given by,

$$\mathcal{V} = Y_{1} \mathcal{H}_{1}^{\dagger} \mathcal{H}_{1} + Y_{2} \mathcal{H}_{2}^{\dagger} \mathcal{H}_{2} + [Y_{3} e^{-i\eta} \mathcal{H}_{1}^{\dagger} \mathcal{H}_{2} + \text{H.c.}] + \frac{1}{2} Z_{1} (\mathcal{H}_{1}^{\dagger} \mathcal{H}_{1})^{2} + \frac{1}{2} Z_{2} (\mathcal{H}_{2}^{\dagger} \mathcal{H}_{2})^{2} + Z_{3} (\mathcal{H}_{1}^{\dagger} \mathcal{H}_{1}) (\mathcal{H}_{2}^{\dagger} \mathcal{H}_{2}) + Z_{4} (\mathcal{H}_{1}^{\dagger} \mathcal{H}_{2}) (\mathcal{H}_{2}^{\dagger} \mathcal{H}_{1}) + \left\{ \frac{1}{2} Z_{5} e^{-2i\eta} (\mathcal{H}_{1}^{\dagger} \mathcal{H}_{2})^{2} + [Z_{6} e^{-i\eta} (\mathcal{H}_{1}^{\dagger} \mathcal{H}_{1}) + Z_{7} e^{-i\eta} (\mathcal{H}_{2}^{\dagger} \mathcal{H}_{2})] \mathcal{H}_{1}^{\dagger} \mathcal{H}_{2} + \text{H.c.} \right\}.$$
(6)

The minimization of the scalar potential in the Higgs basis yields

$$Y_1 = -\frac{1}{2}Z_1v^2, \qquad Y_3 = -\frac{1}{2}Z_6v^2.$$
 (7)

Note that under a change of scalar field basis,  $\Phi_i \rightarrow U_{ij}\Phi_j$  (where U is a unitary  $2\times 2$  matrix), the parameters  $Y_1, Y_2$  and  $Z_1, ... Z_4$  are invariant whereas

$$\begin{split} [Y_3,Z_6,Z_7,e^{i\eta}] &\to (\det U)^{-1}[Y_3,Z_6,Z_7,e^{i\eta}] \quad \text{and} \\ Z_5 &\to (\det U)^{-2}Z_5. \end{split} \tag{8}$$

It follows that the Higgs basis fields  $\mathcal{H}_1$  and  $\mathcal{H}_2$  and the coefficients appearing in Eq. (6) [as well as the scalar potential  $\mathcal{V}$ ] are invariant quantities with respect to U(2) transformations.

## B. Scalar mass eigenstates

Given the scalar potential and its minimization conditions, one can determine the masses of the neutral scalars. After removing the massless Goldstone boson,  $G^0 = \sqrt{2} \text{Im} \mathcal{H}^0_1$  from the  $4 \times 4$  neutral scalar squared-mass matrix, the physical neutral scalar mass-eigenstate fields are obtained by diagonalizing the resulting  $3 \times 3$  neutral scalar squared-mass matrix,

$$\mathcal{M}^{2} = v^{2} \begin{pmatrix} Z_{1} & \operatorname{Re}(Z_{6}e^{-i\eta}) & -\operatorname{Im}(Z_{6}e^{-i\eta}) \\ \operatorname{Re}(Z_{6}e^{-i\eta}) & \frac{1}{2}[Z_{34} + \operatorname{Re}(Z_{5}e^{-2i\eta})] + Y_{2}/v^{2} & -\frac{1}{2}\operatorname{Im}(Z_{5}e^{-2i\eta}) \\ -\operatorname{Im}(Z_{6}e^{-i\eta}) & -\frac{1}{2}\operatorname{Im}(Z_{5}e^{-2i\eta}) & \frac{1}{2}[Z_{34} - \operatorname{Re}(Z_{5}e^{-2i\eta})] + Y_{2}/v^{2} \end{pmatrix},$$
(9)

which is expressed with respect to the  $\{\sqrt{2}\text{Re}\mathcal{H}_1^0 - v, \sqrt{2}\text{Re}\mathcal{H}_2^0, \sqrt{2}\text{Im}\mathcal{H}_2^0\}$  basis, where

$$Z_{34} \equiv Z_3 + Z_4. \tag{10}$$

The squared masses of the physical neutral scalars, denoted by  $m_k^2$  (k = 1, 2, 3) with no implied mass ordering, are the eigenvalues of  $\mathcal{M}^2$ , which are independent of the choice of  $\eta$ .

The real symmetric squared-mass matrix  $\mathcal{M}^2$  can be diagonalized by a real orthogonal transformation of unit determinant,

$$R\mathcal{M}^2 R^{\mathsf{T}} = \operatorname{diag}(m_1^2, m_2^2, m_3^2),$$
 (11)

where  $R \equiv R_{12}R_{13}R_{23}$  is the product of three rotation matrices parametrized by  $\theta_{12}$ ,  $\theta_{13}$  and  $\theta_{23}$ , respectively [31]. Since the matrix elements of  $\mathcal{M}^2$  are independent of the scalar field basis [in light of Eq. (8)], it follows that the mixing angles  $\theta_{ij}$  are basis-invariant parameters. The physical neutral mass-eigenstate scalar fields are

$$h_k = q_{k1}(\sqrt{2}\text{Re}\mathcal{H}_1^0 - v) + \frac{1}{\sqrt{2}}(q_{k2}^*\mathcal{H}_2^0e^{i\theta_{23}} + \text{H.c.}),$$
 (12)

where  $q_{k1}$  and  $q_{k2}$  are exhibited in Table I. The charged scalar mass eigenstates are defined by,

$$G^{\pm} = \mathcal{H}_1^{\pm}, \qquad H^{\pm} \equiv e^{\pm i\theta_{23}} \mathcal{H}_2^{\pm}, \tag{13}$$

where  $G^{\pm}$  are the massless charged Goldstone fields. Note that we have rephased the charged Higgs fields as a matter of convenience. The mass of the charged Higgs scalar is given by,

$$m_{H^{\pm}}^2 = Y_2 + \frac{1}{2}Z_3v^2. (14)$$

TABLE I. The basis-invariant quantities  $q_{k\ell}$  are functions of the neutral Higgs mixing angles  $\theta_{12}$  and  $\theta_{13}$ , where  $c_{ij} \equiv \cos \theta_{ij}$  and  $s_{ij} \equiv \sin \theta_{ij}$ .

k	$q_{k1}$	$q_{k2}$
1	$c_{12}c_{13}$	$-s_{12} - ic_{12}s_{13}$
2	$s_{12}c_{13}$	$c_{12} - is_{12}s_{13}$
3	s <sub>13</sub>	$ic_{13}$

Inverting Eq. (12), one can now express the Higgs basis fields in terms of the mass eigenstate fields,

$$\mathcal{H}_{1} = \begin{pmatrix} G^{+} \\ \frac{1}{\sqrt{2}} \left( v + iG + \sum_{k=1}^{3} q_{k1} h_{k} \right) \end{pmatrix},$$

$$e^{i\theta_{23}} \mathcal{H}_{2} = \begin{pmatrix} H^{+} \\ \frac{1}{\sqrt{2}} \sum_{k=1}^{3} q_{k2} h_{k} \end{pmatrix}.$$
(15)

Although  $\theta_{23}$  is an invariant parameter, it has no physical significance since it can be eliminated by rephasing  $\mathcal{H}_2 \to e^{-i\theta_{23}}\mathcal{H}_2$ . Thus, without loss of generality, we henceforth set  $\theta_{23}=0$ .

### C. 2HDM Yukawa couplings

Given the most general Yukawa Higgs-quark Lagrangian involving the scalar fields of the 2HDM and the interaction eigenstate quark fields, one can derive expressions for the 3 × 3 complex up-type and down-type quark mass matrices by setting the neutral Higgs fields to their vacuum expectation values. Each of the two quark mass matrices can then be diagonalized via singular value decomposition, which yields a pair of unitary matrices that are then employed in defining the left-handed and right-handed quark mass-eigenstate fields, respectively.

After determining the quark mass eigenstate fields and the Higgs mass eigenstate fields, the resulting 2HDM Yukawa couplings in their most general form are (cf. Eq. (58) of Ref. [32]),

$$\begin{split} -\mathcal{L}_{Y} &= \frac{1}{\sqrt{2}} \bar{U} \{ q_{k1} \kappa^{U} + q_{k2}^{*} \rho^{U} P_{R} + q_{k2} \rho^{U\dagger} P_{L} \} U h_{k} \\ &+ \frac{1}{\sqrt{2}} \bar{D} \{ q_{k1} \kappa^{D\dagger} + q_{k2} \rho^{D\dagger} P_{R} + q_{k2}^{*} \rho^{D} P_{L} \} D h_{k} \\ &+ \{ \bar{U} [K \rho^{D\dagger} P_{R} - \rho^{U\dagger} K P_{L}] D \mathcal{H}^{+} \\ &+ \bar{U} [K \kappa^{D\dagger} P_{R} - \kappa^{U} K P_{L}] D G^{+} + \text{H.c.} \}, \end{split}$$
(16)

where there is an implicit sum over  $k \in \{1, 2, 3\}$ ,  $P_{R,L} \equiv \frac{1}{2}(1 \pm \gamma_5)$ , K is the CKM matrix, the mass-eigenstate down-type and up-type quark fields are  $D = (d, s, b)^{\mathsf{T}}$  and  $U \equiv (u, c, t)^{\mathsf{T}}$ , respectively, and the  $3 \times 3$  Yukawa coupling matrices  $\kappa^U$  and  $\kappa^D$  are related to the corresponding up-type and down-type diagonal quark mass matrices,

$$M_U = \frac{v}{\sqrt{2}} \kappa^U = \operatorname{diag}(m_u, m_c, m_t),$$

$$M_D = \frac{v}{\sqrt{2}} \kappa^{D\dagger} = \operatorname{diag}(m_d, m_s, m_b). \tag{17}$$

The Yukawa coupling matrices  $\rho^U$  and  $\rho^D$  are independent basis-invariant complex  $3 \times 3$  matrices.

It is convenient to rewrite the Higgs-quark Yukawa couplings in terms of the following two  $3 \times 3$  hermitian matrices that are invariant with respect to the rephasing of the Higgs basis field  $\mathcal{H}_2$ ,

$$\rho_R^F \equiv \frac{v}{2\sqrt{2}} M_F^{-1/2} (\rho^F + \rho^{F\dagger}) M_F^{-1/2}, 
\rho_I^F \equiv \frac{v}{2\sqrt{2}i} M_F^{-1/2} (\rho^F - \rho^{F\dagger}) M_F^{-1/2},$$
(18)

for F = U, D, where the  $M_F$  are the diagonal fermion mass matrices [cf. Eq. (17)]. Then, the Yukawa couplings take the following form<sup>3</sup>:

$$-\mathcal{L}_{Y} = \frac{1}{v} \bar{U} \sum_{k=1}^{3} M_{U}^{1/2} \{q_{k1} \mathbb{1} + \text{Re}(q_{k2}) [\rho_{R}^{U} + i\gamma_{5}\rho_{I}^{U}] + \text{Im}(q_{k2}) [\rho_{I}^{U} - i\gamma_{5}\rho_{R}^{U}] \} M_{U}^{1/2} U h_{k}$$

$$+ \frac{1}{v} \bar{D} \sum_{k=1}^{3} M_{D}^{1/2} \{q_{k1} \mathbb{1} + \text{Re}(q_{k2}) [\rho_{R}^{D} - i\gamma_{5}\rho_{I}^{D}] + \text{Im}(q_{k2}) [\rho_{I}^{D} + i\gamma_{5}\rho_{R}^{D}] \} M_{D}^{1/2} D h_{k}$$

$$+ \frac{\sqrt{2}}{v} \{ \bar{U} [K M_{D}^{1/2} (\rho_{R}^{D} - i\rho_{I}^{D}) M_{D}^{1/2} P_{R} - M_{U}^{1/2} (\rho_{R}^{U} - i\rho_{I}^{U}) M_{U}^{1/2} K P_{L}] D H^{+} + \text{H.c.} \},$$
(19)

where 1 is the  $3\times3$  identity matrix. The appearance of unconstrained hermitian  $3\times3$  Yukawa matrices  $\rho_{R,I}^F$  in Eq. (19) indicates the presence of potential flavor-changing neutral Higgs-quark and lepton interactions. If the off-diagonal elements of  $\rho_{R,I}^F$  are unsuppressed, they will generate tree-level Higgs-mediated flavor changing neutral currents (FCNCs) that are incompatible with the strong suppression of FCNCs observed in Nature.

The flavor-aligned 2HDM (often denoted by A2HDM) posits that the Yukawa matrices  $\kappa^F$  and  $\rho^F$  [cf. (16)] are proportional. In light of Eq. (17),  $\kappa^F = \sqrt{2}M_F/v$  is diagonal. Thus in the A2HDM, the  $\rho^F$  are likewise

diagonal, which implies that tree-level Higgs-mediated FCNCs are absent. We define the basis-invariant *flavoralignment parameters*  $a^F$  via,

$$\rho^F = a^F \kappa^F, \quad \text{for } F = U, D, E, \tag{20}$$

 $<sup>^3</sup>$ Eq. (19) is easily extended to include the Higgs boson couplings to leptons. Since neutrinos are massless in the two-Higgs doublet extension of the Standard Model, one simply replaces  $D \to E = (e, \mu, \tau)^\mathsf{T}$  and  $U \to N = (\nu_e, \nu_\mu, \nu_\tau)^\mathsf{T}$ , with  $M_E = \mathrm{diag}(m_e, m_\mu, m_\tau)$  and  $M_N = 0$  in Eqs. (16) and (19).

where the (potentially) complex numbers  $a^F$  are invariant under the rephasing of the Higgs basis field  $\mathcal{H}_2 \to e^{i\chi}\mathcal{H}_2$ . It follows from Eq. (18) that

$$\rho_R^F = (\operatorname{Re}a^F)\mathbb{1}, \qquad \rho_I^F = (\operatorname{Im}a^F)\mathbb{1}. \tag{21}$$

Inserting the above results into Eq. (19), the Yukawa couplings take the following form:

$$-\mathcal{L}_{Y} = \frac{1}{v} \bar{U} M_{U} \sum_{k=1}^{3} \{ q_{k1} + q_{k2}^{*} a^{U} P_{R} + q_{k2} a^{U*} P_{L} \} U h_{k} + \frac{1}{v} \sum_{F=D,E} \left\{ \bar{F} M_{F} \sum_{k=1}^{3} (q_{k1} + q_{k2} a^{F*} P_{R} + q_{k2}^{*} a^{F} P_{L}) F h_{k} \right\}$$

$$+ \frac{\sqrt{2}}{v} \{ \bar{U} [a^{D*} K M_{D} P_{R} - a^{U*} M_{U} K P_{L}] D H^{+} + a^{E*} \bar{N} M_{E} P_{R} E H^{+} + \text{H.c.} \}.$$

$$(22)$$

Special cases of the A2HDM arise if the flavor alignment is the consequence of a symmetry. For Yukawa couplings of type-I, II, X and Y, one imposes a  $\mathbb{Z}_2$  symmetry on the dimension-4 terms of the Higgs Lagrangian in the  $\Phi$ -basis, where the  $\mathbb{Z}_2$  charges are exhibited in Table 2 [9]. It then follows that  $\lambda_6 = \lambda_7 = 0$ . One can show that there exists a scalar field basis where  $\lambda_6 = \lambda_7 = 0$  if and only if the following two conditions are satisfied [32]:

$$(Z_1-Z_2)[(Z_3+Z_4)|Z_{67}|^2-Z_2|Z_6|^2-Z_1|Z_7|^2-(Z_1+Z_2)\operatorname{Re}(Z_6^*Z_7)+\operatorname{Re}(Z_5^*Z_{67}^2)]-2|Z_{67}|^2(|Z_6|^2-|Z_7|^2)=0, \quad (23)$$

$$(Z_1 - Z_2)\operatorname{Im}(Z_6^* Z_7) + \operatorname{Im}(Z_5^* Z_{67}^2) = 0, (24)$$

where  $Z_{67} \equiv Z_6 + Z_7$ . In models with type I and II Yukawa couplings, the matrices  $\rho^U$  and  $\rho^D$  are diagonal and fixed as follows.

Type I: 
$$\rho^U = \frac{e^{i(\xi + \eta)}\sqrt{2}M_U \cot \beta}{v}, \qquad \rho^D = \frac{e^{i(\xi + \eta)}\sqrt{2}M_D \cot \beta}{v}, \tag{25}$$

Type II: 
$$\rho^U = \frac{e^{i(\xi+\eta)}\sqrt{2}M_U\cot\beta}{v}, \qquad \rho^D = -\frac{e^{i(\xi+\eta)}\sqrt{2}M_D\tan\beta}{v}, \tag{26}$$

where  $\tan \beta = |\hat{v}_2/\hat{v}_1|$  [cf. Eq. (3)]. In type X models, the quarks possess type-I Yukawa couplings whereas the leptons possess type-II Yukawa couplings. In type Y models, the quarks possess type-II Yukawa couplings whereas the leptons possess type-I Yukawa couplings.

The type-I, II, X and Y 2HDMs are indeed special cases of the A2HDM, where we can identify the corresponding complex flavor-alignment parameters as follows,

- (1) Type-I:  $a^U = a^D = a^E = e^{i(\xi + \eta)} \cot \beta$ .
- (2) Type-II:  $a^U = e^{i(\xi+\eta)}\cot\beta$  and  $a^D = a^E = -e^{i(\xi+\eta)}\tan\beta$ .
- (3) Type-Y:  $a^U = a^E = e^{i(\xi+\eta)} \cot \beta$  and  $a^D = -e^{i(\xi+\eta)} \tan \beta$ .
- (4) Type-X  $a^U = a^D = e^{i(\xi+\eta)} \cot \beta$  and  $a^E = -e^{i(\xi+\eta)} \tan \beta$ .

Note that in the generic A2HDM,  $\tan \beta$  is not a physical parameter, since the  $\Phi$ -basis has no physical significance. However, after imposing a  $\mathbb{Z}_2$  symmetry on the dimension-4 terms of the Higgs Lagrangian, the  $\Phi$ -basis where the  $\mathbb{Z}_2$  symmetry is manifestly realized becomes meaningful, in which case  $\tan \beta$  is promoted to a physical parameter of the model [31,32].

#### D. The CP-conserving 2HDM

In the case of a CP-conserving Higgs scalar potential and vacuum, the results of Secs. II B and II C simplify significantly. In particular, we can fix the Higgs basis up to a potential sign ambiguity by rephasing the Higgs basis field  $\mathcal{H}_2$  such that  $Y_3$ ,  $Z_5$ ,  $Z_6$ , and  $Z_7$  are all simultaneously real, which yields the so-called real Higgs basis. Following Refs. [31,32], we set  $s_{13}=0$ ,  $c_{13}=1$  and  $e^{i\eta}=\pm 1$ . The remaining ambiguity in defining the real Higgs basis is due to the possibility of transforming  $\mathcal{H}_2 \to -\mathcal{H}_2$ , in which case  $Y_3$ ,  $Z_6$ , and  $Z_7$  change sign (whereas all other scalar potential parameters in the real Higgs basis, including  $Z_5$  are unchanged). In particular,  $e^{i\eta}$  changes sign under  $\mathcal{H}_2 \to -\mathcal{H}_2$ . One can identify<sup>4</sup>

 $<sup>^4</sup>$ If  $Z_6=Z_7=0$ , then the sign of  $Z_5$  is no longer invariant with respect to transformations that preserve the real Higgs basis (since the sign of  $Z_5$  changes under  $\mathcal{H}_2 \to \pm i \mathcal{H}_2$ ). In this case, it would be more appropriate to define  $\varepsilon \equiv e^{2i\eta} = \mathrm{sgn} Z_5$ .

TABLE II. Four possible  $\mathbb{Z}_2$  charge assignments for scalar and fermion fields [9]. The  $\mathbb{Z}_2$  symmetry is employed to constrain the Higgs-fermion Yukawa couplings, thereby implementing the conditions for the natural absence of tree-level Higgs-mediated FCNCs.

	$\Phi_1$	$\Phi_2$	$U_R$	$D_R$	$E_R$	$U_L, D_L, N_L, E_L$
Type I	+	_	_	_	_	+
Type II	+	_	_	+	+	+
Type X	+	_	_	_	+	+
Type Y	+	_	_	+	_	+

$$\varepsilon \equiv e^{i\eta} = \begin{cases} \operatorname{sgn} Z_6, & \text{if } Z_6 \neq 0, \\ \operatorname{sgn} Z_7, & \text{if } Z_6 = 0 \text{ and } Z_7 \neq 0. \end{cases}$$
 (27)

The corresponding  $q_{kj}$  given in Table I simplify to the results given in Table III.

To make contact with the standard notation of the *CP*-conserving 2HDM, under the assumption that the lighter of the two neutral *CP*-even Higgs bosons is SM-like, we make the following identifications,<sup>5</sup>

$$h = h_1, \quad H = -\varepsilon h_2, \quad A = \varepsilon h_3, \quad H^{\pm} \to \varepsilon H^{\pm}, \quad (28)$$

where the neutral CP-odd Higgs mass eigenstate is related to the Higgs basis fields by  $A = \varepsilon \sqrt{2} \text{Im} \mathcal{H}_2^0$ . In a real  $\Phi$ -basis of scalar fields, the mixing angle that diagonalizes the CP-even Higgs squared-mass matrix is denoted by  $\alpha$ . However, in the generic CP-conserving 2HDM, the  $\Phi$ -basis has no physical meaning, which implies that the angles  $\alpha$  and  $\beta$  are not (separate) physical quantities. It is therefore more convenient to analyze the CP-even Higgs squared-mass matrix in the real Higgs basis [cf. Eq. (9)],

$$\mathcal{M}^2 = \begin{pmatrix} Z_1 v^2 & \varepsilon Z_6 v^2 \\ \varepsilon Z_6 v^2 & m_A^2 + Z_5 v^2 \end{pmatrix}, \tag{29}$$

where

$$m_A^2 = Y_2 + \frac{1}{2}(Z_3 + Z_4 - Z_5)v^2.$$
 (30)

The *CP*-even Higgs mass eigenstates, h and H (with  $m_h \le m_H$ ), are then related to the neutral fields of the Higgs basis via

$$\begin{pmatrix} H \\ h \end{pmatrix} = \begin{pmatrix} c_{\beta-\alpha} & -s_{\beta-\alpha} \\ s_{\beta-\alpha} & c_{\beta-\alpha} \end{pmatrix} \begin{pmatrix} \sqrt{2} \operatorname{Re} \mathcal{H}_1^0 - v \\ \varepsilon \sqrt{2} \operatorname{Re} \mathcal{H}_2^0 \end{pmatrix}. \tag{31}$$

TABLE III. Basis-invariant combinations  $q_{kj}$  defined in Table I in the *CP*-conserving limit, corresponding to a real Higgs basis where  $\varepsilon=\pm 1$  with the choice of sign defined by Eq. (27).

$\overline{k}$	$h_k$	$q_{k1}$	$q_{k2}$
1	h	$s_{\beta-\alpha}$	$\varepsilon c_{\beta-\alpha}$
2	$-\varepsilon H$	$s_{eta-lpha} - \varepsilon c_{eta-lpha}$	$s_{\beta-\alpha}$
3	$\varepsilon A$	Ó	i

Comparing with Eq. (12) after setting  $s_{13} = \theta_{23} = 0$ , we can then identify,

$$c_{12} = s_{\beta-\alpha}, \qquad s_{12} = -\varepsilon c_{\beta-\alpha}. \tag{32}$$

The angle  $\beta - \alpha$  is defined modulo  $\pi$ . It is conventional to take  $0 \le \beta - \alpha \le \pi$ , in which case  $0 \le s_{\beta-\alpha} \le 1$ . Note that the signs of  $c_{\beta-\alpha}$  and  $\varepsilon$  are not physical as they change when redefining the Higgs basis field  $\mathcal{H}_2 \to -\mathcal{H}_2$ . However, the product  $\varepsilon c_{\beta-\alpha}$  is invariant with respect to this sign change and hence is a physical quantity. Moreover, if  $s_{\beta-\alpha}c_{\beta-\alpha} \ne 0$  then Eq. (37) implies that  $Z_6 \ne 0$  and  $\varepsilon c_{\beta-\alpha} < 0$  in the convention for  $\beta - \alpha$  adopted above. In this case, Eq. (27) yields  $\varepsilon = \operatorname{sgn} Z_6$ , and it follows that

$$\varepsilon c_{\beta-\alpha} = -|c_{\beta-\alpha}|. \tag{33}$$

Given the values of  $\beta - \alpha$  and the masses of h, H, A, and  $H^{\pm}$ , four of the seven real Higgs basis parameters  $Z_i$  are determined<sup>7</sup>:

$$Z_1 v^2 = m_h^2 s_{\beta - \alpha}^2 + m_H^2 c_{\beta - \alpha}^2, \tag{34}$$

$$Z_4 v^2 = m_h^2 c_{\beta-\alpha}^2 + m_H^2 s_{\beta-\alpha}^2 + m_A^2 - 2m_{H^{\pm}}^2, \qquad (35)$$

$$Z_5 v^2 = m_h^2 c_{\beta-\alpha}^2 + m_H^2 s_{\beta-\alpha}^2 - m_A^2, \tag{36}$$

$$Z_6 v^2 = -(m_H^2 - m_h^2) s_{\beta - \alpha} c_{\beta - \alpha}. \tag{37}$$

Formally, the CP-conserving 2HDM is defined such that the only source of CP violation enters via the nontrivial phase of the CKM matrix K that appears in the respective interactions of the  $W^{\pm}$  and the  $H^{\pm}$  with fermion pairs. In particular, in the CP-conserving 2HDM, the flavoralignment parameters are real. The Yukawa couplings given in Eq. (22) then take the following form,

<sup>&</sup>lt;sup>5</sup>This means that the signs of the fields H and A and the sign of  $c_{\beta-\alpha}$  all flip under the redefinition of the Higgs basis field  $\mathcal{H}_2 \to -\mathcal{H}_2$ . In the CP-conserving 2HDM literature, in models in which the choice of the Φ-basis is physically meaningful (e.g., due to the presence of a discrete  $\mathbb{Z}_2$  symmetry of the scalar potential), it is traditional to impose one further restriction that  $\tan \beta$  is real and positive. This removes the final sign ambiguity in defining the real Higgs basis.

<sup>&</sup>lt;sup>6</sup>Although it is possible to tune the parameters of the 2HDM such that  $m_h = m_H$ , this parameter choice does not yield a phenomenologically viable scenario, and is thus excluded from further consideration.

<sup>&</sup>lt;sup>7</sup>Note that Eq. (37) is consistent with the condition  $\varepsilon c_{\beta-\alpha} < 0$  in the convention for  $\beta - \alpha$  adopted above where  $0 \le s_{\beta-\alpha} \le 1$ .

$$-\mathcal{L}_{Y} = \frac{1}{v} \sum_{F=U,D,E} \bar{F} M_{F} [s_{\beta-\alpha} - a^{F} | c_{\beta-\alpha}|] Fh - \frac{1}{v} \sum_{F=U,D,E} \varepsilon \bar{F} M_{F} [|c_{\beta-\alpha}| + a^{F} s_{\beta-\alpha}] FH$$

$$-\frac{i}{v} \sum_{F=U,D,E} \varepsilon_{F} \varepsilon a^{F} \bar{F} M_{F} \gamma_{5} FA + \frac{\sqrt{2}}{v} \varepsilon \{ \bar{U} [a^{D} K M_{D} P_{R} - a^{U} M_{U} K P_{L}] DH^{+} + a^{E} \bar{N} M_{E} P_{R} EH^{+} + \text{H.c.} \}, \quad (38)$$

where  $\varepsilon$  is defined in Eq. (27) and we have introduced the notation,

$$\varepsilon_F = \begin{cases} +1 & \text{for } F = U, \\ -1 & \text{for } F = D, E. \end{cases}$$
 (39)

In this paper, we shall interpret LHC searches for new scalar states in terms of the CP-conserving A2HDM. Thus, we shall employ the Yukawa couplings of Eq. (38) in which the real parameters  $a^U$  and  $a^D$  can take either sign and only the absolute value of  $c_{\beta-\alpha}$  is physical, in light of Eq. (33).

If the absence of neutral Higgs mediated FCNCs is enforced naturally via a symmetry (which may be softly broken by dimension-2 squared-mass terms), then one should impose a  $\mathbb{Z}_2$  symmetry on the dimension-4 terms of the Higgs Lagrangian in the  $\Phi$ -basis as specified in Table II, which implies that  $\lambda_6 = \lambda_7 = 0$ . It is convenient to define the quantity,

$$T_{Z_2} \equiv |(Z_1 - Z_2)[Z_1 Z_7 + Z_2 Z_6 - (Z_3 + Z_4 + Z_5)(Z_6 + Z_7)] + 2(Z_6 + Z_7)^2 (Z_6 - Z_7)|.$$

$$(40)$$

Applying Eq. (24) to the real Higgs basis of a *CP*-conserving 2HDM, it follows that the real Higgs basis parameters satisfy  $T_{Z_2} = 0$  if and only if a  $\mathbb{Z}_2$  symmetry is present in some scalar field basis.

Moreover, it is conventional to rephase the  $\Phi$ -basis scalar fields such that  $\xi=0$  (i.e., the vevs are real and nonnegative), in which case one can identify  $e^{i(\xi+\eta)}=\varepsilon$  and  $\tan\beta\equiv\langle\Phi_2^0\rangle/\langle\Phi_1^0\rangle$  [cf. Eq. (3)]. In particular, the *CP*-conserving type-I, II, X and Y 2HDMs are special cases of the A2HDM, where we can identify the corresponding real flavor-alignment parameters as follows,

Type-I: 
$$a^U = a^D = a^E = \varepsilon \cot \beta$$
. (41)

Type-II: 
$$a^U = \varepsilon \cot \beta$$
 and  $a^D = a^E = -\varepsilon \tan \beta$ . (42)

Type-Y: 
$$a^U = a^E = \varepsilon \cot \beta$$
 and  $a^D = -\varepsilon \tan \beta$ . (43)

Type-X: 
$$a^U = a^D = \varepsilon \cot \beta$$
 and  $a^E = -\varepsilon \tan \beta$ . (44)

Inserting the *CP*-conserving type I or type II values of the flavor-alignment parameters in Eq. (38) and writing the Yukawa couplings of h and H in terms of  $c_{\beta-\alpha}$  rather than its absolute value [using Eq. (33)], we see that the factors of  $\varepsilon$  now cancel exactly, as they must since there is no remaining two-fold ambiguity in defining the real Higgs basis once the ratio of vevs has been chosen to be nonnegative. In particular, in the conventions of the type-I, II, X and Y 2HDMs adopted above (where  $\xi=0$ ), the sign of  $c_{\beta-\alpha}$  is now a physical parameter. Moreover, the sign  $\varepsilon$  is now fixed as determined by Eq. (33). We shall adopt this approach in the type-I 2HDM benchmark presented in Sec. V B.

In contrast, a less common approach for examining the type-I, II, X and Y limits of the *CP*-conserving A2HDM is to allow for both values of  $e^{i\xi}=\pm 1$ . In this case, one can extend the definition of  $\beta$  such that  $-\frac{1}{2}\pi \leq \beta \leq \frac{1}{2}\pi$ , in which case the parameter  $\tan\beta$  can be of either sign. Eqs. (41)–(44) remain valid, but now we see that neither  $\tan\beta$  nor  $c_{\beta-\alpha}$  is physical (since both change sign when redefining the Higgs basis field  $\mathcal{H}_2 \to -\mathcal{H}_2$ ), although the product  $c_{\beta-\alpha}\tan\beta$  is physical.

## III. HINTS FOR HEAVY NEUTRAL SCALARS

The ATLAS and CMS Collaborations have initiated dedicated searches for new elementary scalar bosons, which if discovered would signal the existence of an extended Higgs sector beyond the SM. If evidence for new scalar states were discovered, then it would be tempting to provide a theoretical framework in interpreting the discoveries. For example, if both neutral and (singly) charged scalars were observed, a compelling framework for the extended Higgs sector would be to posit the existence of multiple generations of hypercharge one electroweak doublets. In this paper, we shall employ the 2HDM in the analysis of evidence for the presence of new scalar states.

However, despite its relative simplicity, the 2HDM in its most general form has too many new parameters. Two simplifications can be invoked to reduce the number of parameters to a more practical number. First, we shall

 $<sup>^8</sup>$ Of course, the explicit factors of  $\varepsilon$  are not physical as previously noted. Indeed,  $\varepsilon$  can always be absorbed into the definitions of the H, A, and  $H^\pm$  fields.

<sup>&</sup>lt;sup>9</sup>In this case, one could adopt a convention where  $c_{\beta-\alpha}$  is always negative while allowing for both signs of  $\tan \beta$ , which is equivalent to employing Eq. (38) with  $\varepsilon = +1$  [in light of Eq. (33)].

impose flavor-aligned Yukawa couplings as discussed in Sec. II C. The requirement of approximate flavor-aligned Yukawa couplings is a consequence of the experimentally observed suppression of FCNCs. Note that we shall not assume *a priori* that the flavor alignment is a consequence of a symmetry, which is often imposed as a theoretical requirement to avoid unnatural fine tuning in the Yukawa sector. In our view, it is better to allow this question to be addressed by future experimental studies if the existence of an extended Higgs sector is confirmed. Second, we shall impose a *CP* symmetry on the scalar potential, as discussed in Sec. II D, and on the Yukawa interactions of the neutral scalars.

Given the wide ranging search for new scalars at the LHC, one expects from the statistical fluctuations inherent in the data that a few  $3\sigma$  "signals" should appear that *naïvely* could be interpreted as evidence for new scalar states. Any such fluctuation can be interpreted as a local excess above the expected SM backgrounds. But, due to the look elsewhere effect [33], the interpretation of the events above background as a global excess is much less significant (typically in the range of  $1\sigma$  to  $2\sigma$ ).

Nevertheless, if new scalar states exist in a mass range accessible to the LHC, then they should show up initially as small excesses in the ATLAS and CMS searches. Of course, if any such excesses truly represent physics beyond the Standard Model, then the significance of the initially observed small excesses will grow with the accumulation of more data. In our view, focusing on any one particular excess is most likely to reveal a statistical fluctuation rather than a true signal. It is likely to be more fruitful to focus on multiple excesses that can be interpreted within a single theoretical framework.

Following this strategy, we have examined a variety of excesses that have been reported in searches for new scalars by ATLAS and CMS. Based on a number of excesses (with local significances around  $3\sigma$ ), we have constructed two scenarios that have a natural interpretation within the CP conserving flavor-aligned A2HDM framework. We view this exercise as instructive rather than making a claim for an actual discovery. One particular benefit of our approach is that, by imposing the more generic flavor aligned Yukawa couplings (as opposed to the more constrained types I, II, X, or Y scenarios), we can let the data decide whether the more constrained versions of the parameter space are viable.

One noteworthy excess has been reported by the ATLAS Collaboration (based on 139 fb<sup>-1</sup> of data), which is interpreted as the simultaneous observation of two heavy scalars [20]. First, a heavy CP-odd scalar A is produced by gluon-gluon fusion. It then decays to a Z boson and a heavy CP-even scalar H (i.e.,  $A \rightarrow ZH$ ), where the Z is detected via its leptonic decay  $(e^+e^-$  and  $\mu^+\mu^-)$  and the H decays directly via  $H \rightarrow b\bar{b}$ . The ATLAS Collaboration reports that "the most significant excess for the gluon-gluon fusion

production signal assumption is at the  $(m_A, m_H) = (610, 290)$  GeV signal point, for which the local (global) significance is 3.1 (1.3) standard deviations." The ATLAS heat plots provided in Fig. 9 of Ref. [20] yield a 95% confidence limit (C.L.) upper limit of  $\sigma \times \text{BR}(A \to ZH) \times \text{BR}(H \to b\bar{b}) \lesssim 0.08$  [0.03] pb observed [expected] for  $(m_A, m_H) = (610, 290)$  GeV. This result suggests a scenario of interest, which we denote as scenario 1. In this scenario, we shall interpret the ATLAS excess in the  $\ell^+\ell^-b\bar{b}$  channel as having arisen in the A2HDM where  $\sigma \times \text{BR}(A \to ZH) \times \text{BR}(H \to b\bar{b}) \simeq 0.06 \pm 0.02$  pb for  $m_A = 610$  GeV and  $m_H = 290$  GeV. In this case, all neutral Higgs boson masses are fixed, whereas the charged Higgs mass will be taken as a free parameter to be scanned.

The ATLAS Collaboration has also searched for evidence of  $A \to ZH$  via the  $\ell^+\ell^-b\bar{b}$  channel, where the A is produced in association with  $b\bar{b}$ . In contrast to the gluon fusion channel, only a small excess is seen for  $(m_A, m_H)$  = (610, 290) GeV [20] in the b-associated production channel, corresponding to a 95% C.L. upper limit of  $\sigma \times \text{BR}(A \to ZH) \times \text{BR}(H \to b\bar{b}) \lesssim 0.05[0.03] \text{ pb observed}$ [expected]. Indeed, we shall impose this 95% C.L. upper limit constraint on our A2HDM interpretation of scenario 1. On the other hand, the ATLAS Collaboration reports that "for b-associated production, the most significant excess is at the  $(m_A, m_H) = (440, 220)$  GeV signal point, for which the local (global) significance is 3.1 (1.3) standard deviations." The heat plots provided in Fig. 9 of Ref. [20] yield a 95% C.L. upper limit of  $\sigma \times BR(A \rightarrow BR(A)))))))))))))))$ ZH) × BR( $H \rightarrow b\bar{b}$ )  $\lesssim 0.15[0.07]$  pb observed [expected] for  $(m_A, m_H) = (440, 220)$  GeV. However, if one were to interpret this latter excess as an A2HDM signal where  $m_A = 440 \text{ GeV}$  and  $m_H = 220 \text{ GeV}$  with a cross section of order 0.1 pb, then in the A2HDM parameter regime of interest one would predict a production cross section for qq fusion production of A that is excluded by Fig. 9(b) of Ref. [20]. Thus, we shall henceforth assume that any ATLAS excess in the b-associated production channel reported in Ref. [20] is a statistical fluctuation.

Finally, in a recent paper, the ATLAS Collaborations reports on a search for  $gg \to A \to ZH$  where  $H \to hh$  and both final state Higgs bosons decay to  $b\bar{b}$  [34]. No excess is seen in this channel for  $(m_A, m_H) = (610, 290)$  GeV, corresponding to a 95% C.L. upper limit of  $\sigma \times \text{BR}(A \to ZH \to Zhh \to Zb\bar{b}b\bar{b}) \lesssim 10$  [8] fb observed [expected]. Again, we shall assume that the most significant excess

 $<sup>^{10}</sup>$ The ATLAS  $\sigma \times$  BR limits quoted here were obtained with the help of the Digital Color Meter application that resides on all Mac computers.

<sup>&</sup>lt;sup>11</sup>These results are obtained from the heat plots provided in Fig. 9 of Ref. [34], under the assumption that the width of *A* is narrow compared to the experimental mass resolution.

TABLE IV. Scenario 1 is based on an ATLAS excess of events with a local (global) significance of  $3.1(1.3)\sigma$ , where the observed lepton is  $\ell=e,\mu$ . Scenario 2 is based on an ATLAS excess of  $\tau^+\tau^-$  events with an invariant mass of around 400 GeV, with local significances of  $2.7\sigma$  in the gg fusion production channel and  $2.2\sigma$  in the b-associated production channel. The CMS Collaboration sees no excess, but still leaves some room for a possible signal. The ATLAS data does not distinguish between H and A production. However, the CMS excess of  $t\bar{t}$  events with an invariant mass of around 400 GeV, with a local (global) significance of  $3.5(1.9)\sigma$ , favors identifying the excess at 400 GeV with A production.

	$m_H$	$m_A$	A2HDM interpretation of the excess of events
Scenario 1	290 GeV	610 GeV	$gg \to A \to ZH$ , where $H \to b\bar{b}$ and $Z \to \ell^+\ell^-$ [20]
Scenario 2	>450 GeV	400 GeV	$gg \rightarrow A \rightarrow \tau^+ \tau^- [22]$ $gg \rightarrow b\bar{b}A$ , where $A \rightarrow \tau^+ \tau^- [22]$
			$gg \to A \to t\bar{t}$ [23]

observed for a somewhat higher value of  $m_A$  in this search channel is a statistical fluctuation.

Other interesting excesses have also been observed in the search for a scalar mass around 400 GeV. For example, the ATLAS Collaboration conducted a search for a heavy scalar that decays into  $\tau^+\tau^-$  [21]. Two possible production mechanisms were considered: gluon-gluon fusion (ggF) into a heavy scalar (singly produced) and the production of a heavy scalar in association with a  $b\bar{b}$  pair ( $bb\phi$ ). Employing 139 fb<sup>-1</sup> of data and scanning over possible scalar masses, the ATLAS Collaboration concluded that "for ggF, the lowest local  $p_0$ , the probability that the background can produce a fluctuation greater than the excess observed in data, is 0.014 (2.2 $\sigma$ ) at  $m_{\phi} = 400$  GeV, while for  $bb\phi$  production it is 0.003 (2.7 $\sigma$ ) at  $m_{\phi} = 400$  GeV." The best fit point at  $m_{\phi} = 400$  GeV corresponds roughly to [22]:

$$\sigma_{\rm ggF} \times {\rm BR}(\phi \rightarrow \tau^+ \tau^-) \simeq 20^{+37}_{-20}~{\rm fb}, \eqno(45)$$

$$\sigma_{bb\phi} \times {\rm BR}(\phi \to \tau^+ \tau^-) \simeq 38^{+30}_{-29} \ {\rm fb},$$
 (46)

where the error bars correspond to a region of 68% C.L.<sup>12</sup> The ATLAS analysis does not distinguish between a *CP*-even scalar (*H*) and a *CP*-odd scalar (*A*).

The CMS Collaboration has also searched for a heavy scalar that decays into  $\tau^+\tau^-$  and does not see any excess [24]. Employing 138 fb<sup>-1</sup> of data, maximum likelihood estimates and 95% C.L. contours obtained from scans of the signal likelihood are provided in Fig. 11 of Ref. [24] for selected values of the scalar mass. Although the 95% C.L. contours for  $m_{\phi} = 400$  GeV are not given, we can interpolate between two mass values provided ( $m_{\phi} = 250$  and 500 GeV) by making use of the observed 95% C.L. upper limits on the product of the cross sections (ggF and  $bb\phi$ ) and branching fraction for  $\phi \to \tau^+\tau^-$  as a function of  $m_{\phi}$  shown in Fig. 10 of Ref. [24]. We then obtain an approximate 95% C.L. exclusion contour in the

plane of  $\sigma_{\rm ggF} {\rm BR}(\phi \to \tau^+ \tau^-)$  vs.  $\sigma_{bb\phi} {\rm BR}(\phi \to \tau^+ \tau^-)$  for  $m_\phi = 400$  GeV, which is exhibited by the dashed contour in Fig. 10 (see Sec. VI). In particular, the 95% C.L. exclusion contours intersect the x and y axis at the following values <sup>13</sup>:

$$\sigma_{\rm ggF} \times {\rm BR}(\phi \to \tau^+ \tau^-) \lesssim 40 \text{ fb}$$
 at 95% C.L., (47)

$$\sigma_{bb\phi} \times BR(\phi \to \tau^+ \tau^-) \lesssim 40 \text{ fb}$$
 at 95% C.L. (48)

These results still leave room for the possibility that the ATLAS excess in the  $\tau^+\tau^-$  channel could correspond to a real signal.

Meanwhile, the CMS Collaboration has also searched for a heavy CP-even scalar and/or a heavy CP-odd scalar decaying into  $t\bar{t}$  [23]. Based on 35.9 fb<sup>-1</sup> of data and scanning over a range of masses, the CMS Collaboration concludes that "a moderate signal-like deviation compatible with an A boson with a mass of 400 GeV is observed" with a local significance of  $3.5 \pm 0.3$  standard deviations. Taking the look-elsewhere effect into consideration, the CMS Collaboration quotes a global significance of 1.9 standard deviations, which corresponds to a p-value of 0.028.

Taken together, the ATLAS and CMS results quoted above suggest a second scenario of interest, which we denote as scenario 2. In this scenario, we interpret the ATLAS excess in the  $\tau^+\tau^-$  channel and the CMS excess in the  $t\bar{t}$  channel as having arisen in the A2HDM where  $m_A=400$  GeV. Note that in scenario 2, we take  $m_H>450$  GeV to avoid the possibility of both H and A contributing to the  $\tau^+\tau^-$  and  $t\bar{t}$  excesses. Smaller values of  $m_H$  are excluded based on the ATLAS search for  $gg\to A\to ZH$  [20]. The two A2HDM scenarios introduced above are summarized in Table IV.

Other extended Higgs model interpretations of the  $\tau^+\tau^-$  and  $t\bar{t}$  excesses described above have been previously considered in the literature. Both the  $\tau^+\tau^-$  and  $t\bar{t}$  excesses

<sup>&</sup>lt;sup>12</sup>These results are more correctly represented (as shown in Fig. 08 of Ref. [22]) as ellipses in a two dimensional plane of  $\sigma_{\rm ggF} \times {\rm BR}(\phi \to \tau^+ \tau^-)$  vs.  $\sigma_{bb\phi} \times {\rm BR}(\phi \to \tau^+ \tau^-)$ .

<sup>&</sup>lt;sup>13</sup>To obtain Eqs. (47) and (48) from Fig. 10 of Ref. [24], we have multiplied the latter results by  $(5.99/3.84)^{1/2}$  (see Table 40.2 of Ref. [35]), which yields the 95% C.L. values for the x and y axis intercepts of the two-dimensional contour.

were suggested as possible evidence for a CP-odd Higgs boson of mass 400 GeV in Refs. [36–38]. In particular, the analysis of Ref. [36] interprets the  $\tau^+\tau^-$  and  $t\bar{t}$  excesses without specifying the extended Higgs sector model (along with a comment that these excesses cannot be accommodated by the Higgs sector of the MSSM). The authors of Ref. [37] employ an enlarged composite Higgs sector where the observed Higgs boson is identified as a pseudo-Goldstone boson state. Finally, the CP-odd scalar of mass 400 GeV is interpreted in the context of an extended Higgs sector consisting of two  $SU(2)_L$  doublets and an  $SU(2)_L$  singlet. More recently, the authors of Ref. [41] assert that it is possible to accommodate the ATLAS  $\tau^+\tau^-$  excess in a type I 2HDM while at the same time explaining the muon g-2 anomaly [42].

#### IV. PARAMETER SCANS

We performed parameter scans to probe the *CP*-conserving A2HDM parameter space for regions which predict the excess of events for scenarios 1 and 2 and are otherwise consistent with other relevant, measured Higgs observables. A generic point in the *CP*-conserving A2HDM parameter space is uniquely specified by the following set of real parameters,

$$v, m_h, m_H, m_A, m_{H^{\pm}}, |c_{\beta-\alpha}|, Z_2, Z_3, Z_7, a^U, a^D, a^E.$$
 (49)

As indicated in Eqs. (34)–(37), the masses of h, H, and A can then be used to obtain  $Z_1$ ,  $Z_4$ ,  $Z_5$ , and  $|Z_6|$ . In scenario 1, after fixing v,  $m_h$ ,  $m_H$ , and  $m_A$ , we scan over the remaining parameters. In scenario 2,  $m_H$  is not fixed so we must scan over this parameter as well.

Given the dimensionality of the parameter space, we can perform a more efficient scan by first imposing a variety of preemptive conditions before calculating observables of interest. The following theoretical and experimental constraints are applied:

(1) The scalar potential is bounded from below.

By imposing the conditions given by Ivanov in Refs. [43,44], one obtains inequalities involving combinations of the quartic couplings  $Z_i$  so that the potential cannot be negative when the scalar fields assume arbitrarily large values.

(2) Tree-level unitarity and perturbativity

Imposing tree-level unitarity on scattering processes involving the scalar bosons and the gauge bosons yields upper bounds on various combinations of the  $Z_i$  [45–47]. These conditions are best imposed numerically, as the corresponding algebraic constraints are quite complicated. Perturbativity is a less well-defined constraint. In the interest of performing

a more efficient scan (without removing a significant number of viable parameter points), we shall first restrict the values of the quartic couplings such that  $|Z_i| \leq 4\pi$  before applying the tree-level unitarity conditions.

## (3) The lightest Higgs scalar is SM-like

In scenarios 1 and 2, the lightest scalar state of the A2HDM is identified with the observed Higgs boson with mass 125 GeV. The LHC Higgs data imply that the experimental properties of the 125 GeV mass scalar closely approximate those of the SM Higgs boson [1,2]. That is, the observed Higgs signal strengths  $\mu_i^X$ , each of which is defined as the ratio of the measured value of the Higgs cross section  $\sigma_i(h)$  times the branching ratio into particular final states  $X\bar{X}$  and the product of the corresponding SM predicted values, are close to unity. In the A2HDM, the Higgs signal strengths are given by

$$\mu_i^X \equiv \frac{\sigma_i(h)_{\text{A2HDM}} \times \text{BR}(h \to X\bar{X})_{\text{A2HDM}}}{\sigma_i(h)_{\text{SM}} \times \text{BR}(h \to X\bar{X})_{\text{SM}}} \sim 1. \quad (50)$$

We have employed the values of the  $\mu_i^X$  defined by Eq. (50) to place bounds on the magnitudes of the A2HDM coupling modifiers  $|f_{\phi,F}|$  [cf. Eqs. (53)–(55)] by demanding that the observed Higgs signal strengths are within the  $1\sigma$  experimental errors for  $X = \gamma$  and Z, and within two times the experimental errors for X = W,  $\tau$ , and D. As a secondary check, we have independently verified that the bounds obtained on magnitudes of the coupling modifiers are sensible in light of the results obtained from the public code HiggsSignals [48].

(4) Precision electroweak constraints on the oblique parameters

The experimentally measured oblique parameter T provides the most stringent constraint on the A2HDM parameters [49]. In particular, we impose the requirement that T is within  $2\sigma$  of its central value as reported in Ref. [35]. Imposing this constraint in our parameter scans restricts the possible values of the charged Higgs mass and forces  $m_{H^\pm}$  to be within about  $\pm 60$  GeV of either  $m_A$  or  $m_H$ . See Appendix A for further details.

(5) Heavy flavor constraints

A comprehensive treatment of flavor constraints on the A2HDM parameters has been provided in

<sup>&</sup>lt;sup>14</sup>See also Ref. [39] for a follow-up to the results initially reported in Ref. [36], which invokes an extended Higgs sector with  $SU(2)_L$  triplets [40].

<sup>&</sup>lt;sup>15</sup>The public code HiggsSignals makes use of experimental data consisting of n=131 Higgs boson observables and obtains the  $\chi^2$  for a particular theoretical model. For example, a comparison of the experimental data with the SM yields  $\chi^2/n \simeq 0.94$ . We have checked that for scenarios 1 and 2, HiggsSignals yields  $0.93 \lesssim \chi^2/n \lesssim 1.02$  for the A2HDM parameter points that are consistent with the constraints imposed in this section.

TABLE V. Parameter intervals scanned in the analysis of scenarios 1 and 2.

Parame	Parameter intervals scanned				
$ c_{\beta-\alpha} $	0, 0.45				
$Z_2$	0, 4.5				
$Z_3$	-2, 12				
$Z_7$	-10, 10				
$m_{H^\pm}$	200, 1000 GeV				
$a^U$	-1.5, 1.5				
$a^D$	-50, 50				
$a^E$	-50, 50				

Ref. [50]. We ensure that these constraints are satisfied in our scans. In a large fraction of the parameter space, the most significant constraint derives from the observed  $\bar{B} \to X_s \gamma$  decay, which is derived from a computation of the ratio of rates for  $b \to s \gamma$  in the A2HDM and the SM, respectively. The results of the latter are summarized in Appendix B, and the implications for the A2HDM parameter space are discussed there.

Among the other flavor observables discussed in Ref. [50], we found that the  $B_s - \bar{B}_s$  mixing data provided an additional constraint that limited the value of  $|a^U|$  as a function of the charged Higgs mass. 16 In particular, within the parameter intervals exhibited in Table V, a rough upper bound of  $|a^U| \lesssim$ 1 was obtained [although this value can be lower for charged Higgs masses below 500 GeV as shown in Fig. 19(a)], whereas no constraint on  $a^D$  was obtained in the scanning region of interest. As a result, the excluded region of the A2HDM parameter space due to the constraint on  $\Delta M_{B_s}$  roughly coincides with the corresponding excluded region of the type-I 2HDM in the  $m_{H^{\pm}}$  vs.  $\tan \beta$  plane exhibited in Fig. 8 of Ref. [52] after identifying  $\tan \beta = 1/|a^U|$ . In particular, imposing the  $\Delta M_{B_a}$ constraint can eliminate regions of the 2AHDM parameter space with values of  $|a^U| \gtrsim 1$  that otherwise would be allowed by the  $b \rightarrow s\gamma$  constraints shown in Fig. 16. Further details can be found in Appendix C.

(6) Searches for new elementary scalar states at the LHC Numerous other searches for new elementary scalar states at the LHC have been performed by the ATLAS and CMS Collaborations. We have checked that the 95% C.L. upper limits obtained in all these searches are consistent with the data excesses that we have identified in scenarios 1 and 2, respectively.<sup>17</sup>

Having imposed all the conditions listed above, we scan values of  $|c_{\beta-\alpha}|$  from 0 to 0.45 to keep the Yukawa couplings roughly within 20% of their SM model values (in light of the precision LHC Higgs data). The parameter  $Z_7$  only enters Hhh and  $HH^+H^-$  couplings multiplied by  $c_{\beta-\alpha}^2$  which is extremely small for most of the valid points in the scan. Hence, our results are quite insensitive to the choice of  $Z_7$ . As noted above, the charged Higgs mass must be nearly degenerate in mass with  $m_A$  or  $m_H$  due to the Tparameter constraint. We also require that  $m_{H^{\pm}} > m_t + m_b$ (thereby avoiding the possibility of an on-shell  $t \to H^+ b$ decay, which is not observed at the LHC). Hence, we initially allow for values of  $m_{H^{\pm}}$  in the range of [200,1000] GeV. We scan over up-type Yukawa coupling parameters  $a^U$  between -1.5 and 1.5 and down- and leptontype Yukawa coupling parameters  $a^D$  and  $a^E$  between -50and 50, which ensures the absence of Landau poles significantly below the Planck scale [16]. A summary of the parameter intervals employed in our scans is presented in Table V.

We compute cross sections and branching ratios in the CP-conserving A2HDM for a large number of randomly generated parameter combinations. Cross sections for the CP-even scalars h (125 GeV) and H (290 GeV) and for the CP-odd scalar A (610 or 400 GeV) are calculated utilizing the public code SusHi [53]. We employ the SM mode of SusHi to compute gluon-gluon fusion and b-associated production cross sections as in the SM but with  $m_h$  replaced with a specified Higgs mass  $m_\phi$  (where  $\phi=h,\ H,\ A$ ), and with the top or bottom quark couplings switched on or off. We also make use of the SusHi "pseudoscalar" setting for computing the A production cross sections—these processes insert an  $i\gamma_5$  into the SM calculation.

For the gluon-gluon fusion production mechanism we neglect the first and second generations of quarks due to their insignificant couplings to the Higgs bosons and separately compute the contributions from top loops, bottom loops, and the interference term. The interference term  $\sigma_{\rm int}$  is then extracted by subtracting the contributions from top loops  $\sigma_t$  and bottom loops  $\sigma_b$  from the total cross section  $\sigma_{\rm tot}$  for each mass eigenstate  $\phi$ ,

$$\sigma(gg\phi)_{\rm int} = \sigma(gg\phi)_{\rm tot} - \sigma(gg\phi)_t - \sigma(gg\phi)_b.$$
 (51)

<sup>&</sup>lt;sup>16</sup>In Ref. [51], the observed value of  $\Delta M_{B_s} = 17.241(20) \text{ ps}^{-1}$  obtained from  $B_s - \bar{B}_s$  oscillation data is compared with the Standard Model prediction, 17.94(69) ps<sup>-1</sup> based on a global fit of flavor observables. Since the error in the Standard Model prediction is still considerably larger than the precision of the measured value, we chose to identify the 2σ error in the theoretical prediction as the upper limit to the contribution to  $|\Delta M_{B_s}|$  of new physics beyond the Standard Model.

<sup>&</sup>lt;sup>17</sup>After completing this work, we used the public code HiggsBounds [48] to ensure that we had not missed any heavy scalar production channels at the LHC that would eliminate the scan points analyzed in Secs. V and VI.

The gluon-gluon fusion production cross sections for Higgs bosons in the *CP*-conserving A2HDM are then obtained by inserting the appropriate vertex modifications to the top, bottom, and interference terms,

$$\sigma(gg\phi)_{\text{A2HDM}} = \sigma(gg\phi)_t (f_{\phi,U})^2 + \sigma(gg\phi)_b (f_{\phi,D})^2 + \sigma(gg\phi)_{\text{int}} (f_{\phi,U}) (f_{\phi,D}),$$
 (52)

where the A2HDM coupling modifiers  $f_{\phi,F}$  (for F=U,D, E) are obtained from Eq. (38),

$$f_{h,F} = s_{\beta-\alpha} - |c_{\beta-\alpha}| a^F, \tag{53}$$

$$f_{H,F} = -|c_{\beta-\alpha}| + s_{\beta-\alpha}a^F, \tag{54}$$

$$f_{A,F} = -\epsilon_F a^F, \tag{55}$$

after absorbing the factors of  $\varepsilon$  into the definitions of the corresponding scalar fields.

The *b*-associated production cross sections are also computed using the SM mode of SusHi (which implements the five-flavor scheme) and adjusted to the A2HDM by inserting the coupling modifier,

$$\sigma(gg \to bb\phi)_{A2HDM} = \sigma(gg \to bb\phi)(f_{\phi,D})^2.$$
 (56)

We then compute branching ratios and check them with our modified version of the public code 2HDMC [54], which we have extended to handle the A2HDM Yukawa coupling matrices. Finally, for each parameter point, we use the above result to compute the number of events expected for the two scenarios exhibited in Table IV.

## V. SCENARIO 1: $m_A = 610 \text{ GeV}, m_H = 290 \text{ GeV}$

Consider the *CP*-conserving 2HDM with a *CP*-odd scalar mass of  $m_A = 610$  GeV and two *CP*-even scalars with masses  $m_h = 125$  GeV and  $m_H = 290$  GeV (where h is identified as the Higgs boson observed in LHC data). This scenario is motivated by a slight excess of events over the expected backgrounds in the ATLAS search for gluon fusion production of a *CP*-odd scalar A followed by its decay to ZH, with the subsequent decay of  $H \to b\bar{b}$  and  $Z \to \ell^+\ell^-$  ( $\ell = e, \mu$ ). Using the results of Ref. [20], we propose that the excess of events noted above lies in the range of

$$0.04 \leq \sigma(gg \rightarrow A) \mathrm{BR}(A \rightarrow ZH) \mathrm{BR}(H \rightarrow b\bar{b}) \leq 0.08 \; \mathrm{pb},$$

(57)

consistent with the 95% C.L. upper limit reported in Ref. [20]. In contrast, no significant excess was seen by the ATLAS Collaboration for  $(m_A, m_H) = (610, 290)$  GeV in the  $Zb\bar{b}b\bar{b}$  final state that can arise either via the *b*-associated production process  $qq \rightarrow b\bar{b}A$  where

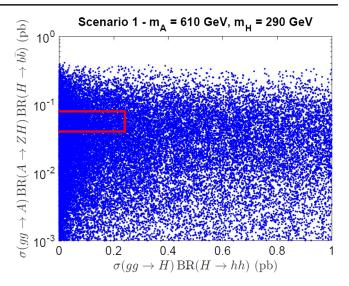


FIG. 1. Signal rates for the production of A and H in scenario 1. The A2HDM parameter generation complies with all theoretical and experimental constraints elucidated in Sec. IV. Events inside the rectangular box with red boundaries are consistent with the conditions specified in Eqs. (57)–(60), which correspond to a small excess of events reported in Ref. [20] and interpreted as  $gg \rightarrow A \rightarrow ZH \rightarrow b\bar{b}\ell^+\ell^-$  (with no significant excess in the corresponding b-associated production of A), and with the nonobservation of  $gg \rightarrow H \rightarrow hh$  derived from the 95% C.L. upper limits obtained in Refs. [55,56].

 $A \to ZH \to Zb\bar{b}$ , or via  $gg \to A \to ZH \to Zhh \to Zb\bar{b}b\bar{b}$ , as discussed in Sec. III.

A separate search for the gluon fusion production of a *CP*-even scalar H also did not yield any significant excesses. For example, the ATLAS Collaboration reported in Ref. [55] a 95% C.L. upper limit for  $\sigma(gg \to H \to hh) < 0.241$  pb for  $m_H \sim 290$  GeV. In this section, we shall probe the A2HDM parameter space that is consistent with the scenario 1 interpretation of the ATLAS excess specified in Eq. (57), subject to the following conditions,

$$\sigma(qq \to Ab\bar{b})BR(A \to ZH)BR(H \to b\bar{b}) < 0.05 \text{ pb},$$
 (58)

$$\sigma(gg \to A) \text{BR}(A \to ZH) \text{BR}(H \to hh \to b\bar{b}b\bar{b}) \le 0.01 \text{ pb},$$
(59)

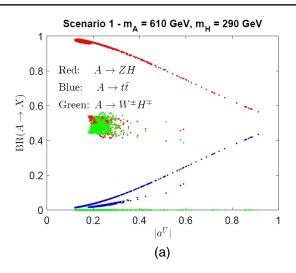
$$\sigma(qq \to H) BR(H \to hh) \le 0.241 \text{ pb},$$
 (60)

derived from the 95% C.L. upper limits reported in Refs. [20,34,55], respectively.

## A. A2HDM interpretation of scenario 1

In Fig. 1, we exhibit the scenario 1 cross sections for  $gg \rightarrow A \rightarrow ZH \rightarrow Zb\bar{b}$  and  $gg \rightarrow H \rightarrow hh$  obtained by a

<sup>&</sup>lt;sup>18</sup>The corresponding limit obtained by the CMS Collaboration in Ref. [56] is nearly identical to the quoted ATLAS result.



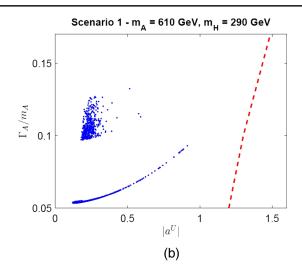


FIG. 2. Results of a scan over A2HDM parameter points in scenario 1 that satisfy the theoretical and experimental constraints elucidated in Sec. IV and the constraints of Eqs. (57)–(60). Panel (a) shows the branching ratios for the decay of A into its dominant final state channels, and panel (b) shows the total A width divided by its mass, as functions of  $|a^U|$ . Applying the 95% C.L. upper limit for  $\sigma(gg \to A \to t\bar{t})$  reported in Ref. [23] eliminates points to the right of the dashed line shown in panel (b) from further consideration. The two distinct branches of a given color of points correspond to the cases where the decay  $A \to W^{\pm}H^{\mp}$  is either kinematically allowed or disallowed.

scan of the A2HDM parameter space, while respecting the theoretical and experimental constraints elucidated in Sec. IV. By imposing the conditions specified in Eqs. (57)–(60), the points of the A2HDM parameter scan are restricted to lie inside the rectangular box with red boundaries.

To provide some understanding of the expected magnitudes of the various relevant cross sections, it is straightforward to apply the program SusHi [53] to the A2HDM cross sections for  $m_A=610$  GeV and  $m_H=290$  GeV as a function of the flavor-alignment parameters  $a^U$  and  $a^D$ . By rescaling the cross sections obtained by SusHi for a SM-like Higgs boson with the masses indicated above (and employing the SusHi switch for a pseudoscalar  $Af\bar{f}$  coupling) with the appropriate squared flavor-alignment parameter, and neglecting the interference of the t and t quark loops in t0 t1 (which is less than a 5% effect), we obtain

$$\sigma(gg \to A) \simeq 2.85 |a^U|^2 \text{ pb}, \quad \sigma(gg \to H) \simeq 10.28 |a^U|^2 \text{ pb},$$
 (61)

$$\sigma(gg \to b\bar{b}A) \simeq 1.11 |a^D|^2 \text{ fb}, \quad \sigma(gg \to b\bar{b}H) \simeq 26.98 |a^D|^2 \text{ fb}. \tag{62}$$

In Fig. 2(a), we exhibit the three main decay channels of A in scenario 1. One of the experimental constraints that has been applied derives from the search for  $A \rightarrow t\bar{t}$  reported by the CMS Collaboration in Ref. [23]. Indeed, the latter constraint rules out any points to the right of the dashed line shown in Fig. 2(b). In light of Fig. 2(a),  $A \rightarrow ZH$  is a

dominant decay channel for the *CP*-odd scalar in scenario 1 if  $|a^U| \lesssim 1$ . In Fig. 3, we exhibit four dominant H decay channels:  $H \to b\bar{b}$  (blue),  $H \to W^+W^-$  (red),  $H \to \tau^+\tau^-$  (green), and  $H \to hh$  (yellow). For example, using Eq. (61) it follows that if  $|a^U| \sim 1$  then BR( $H \to hh$ ) cannot be larger than about 2% in light of Eq. (60), as shown in Fig. 3(a). For example, Eq. (61) implies that for values of  $|a^U| \sim 1$ , a signal of about 0.06 pb in the channel  $gg \to A \to ZH \to Zb\bar{b}$  can be achieved with BR( $H \to b\bar{b}$ )  $\sim 5\%$ .

We now proceed to analyze more closely the A2HDM parameter space in scenario 1. The scatter plot exhibited in Fig. 4(a) indicates that  $|c_{\beta-\alpha}| \lesssim 0.07$  (i.e., close to the Higgs alignment limit) as a consequence of the precision Higgs data as discussed in Sec. IV. Moreover, there is no preference in scenario 1 for a specific sign of the alignment parameter  $a^D$ . The region of  $a^D$  consistent with the ATLAS excess in the production of A via gluon fusion at  $(m_A, m_H) = (610, 290)$  GeV is roughly  $0.18 \lesssim |a^D| \lesssim 8.2$ . In particular, both very small and very large values of  $|a^D|$  are excluded. Both regimes can be understood as follows. From Eq. (38), we see that the down-quark coupling modifier for H is

$$f_{H,d} = -\varepsilon(|c_{\beta-\alpha}| + s_{\beta-\alpha}a^D). \tag{63}$$

This means that in the approximate Higgs alignment limit of the A2HDM, where  $|c_{\beta-\alpha}| \ll 1$ , the coupling modifier  $f_{H,d}$  will grow with  $a^D$ . Consequently,  $a^D \sim 0$  is excluded, since

<sup>&</sup>lt;sup>19</sup>The  $H \to ZZ$  channel would also have a significant decay fraction, but it suffices to show results for  $W^+W^-$ , since both branching ratios are related via constant phase space factors so that  $BR(H \to WW) \simeq 2.23BR(H \to ZZ)$ .

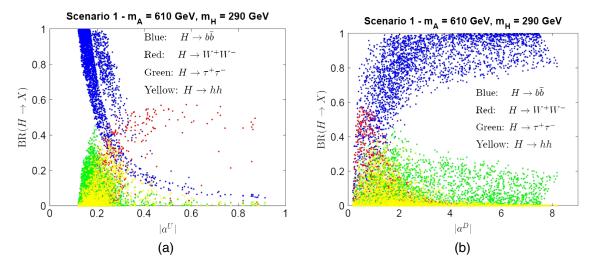


FIG. 3. Results of a scan over A2HDM parameter points in scenario 1 that satisfy the theoretical and experimental constraints elucidated in Sec. IV and the constraints of Eqs. (57)–(60). Panels (a) and (b) exhibit the branching ratios of the four main H decay channels as functions of  $|a^U|$  (allowing  $a^D$  to vary) and  $|a^D|$  (allowing  $a^U$  to vary), respectively.

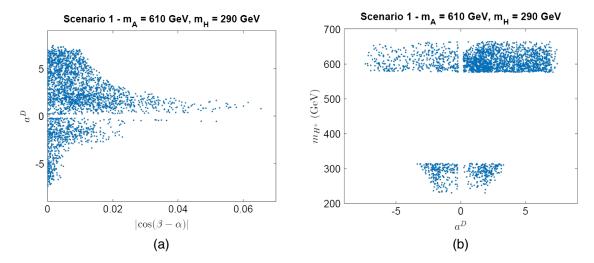


FIG. 4. Results of a scan over A2HDM parameter points in scenario 1 that satisfy the theoretical and experimental constraints elucidated in Section IV and the constraints of Eqs. (57)–(60). Panel (a) show the allowed values of the flavor-alignment parameter  $a^D$  as a function of  $|\cos(\beta - \alpha)|$  and panel (b) shows the charged Higgs mass as a function of  $a^D$ .

the A signal excess depends on a branching ratio of the decay  $H \to b\bar{b}$  that is not too small. On the other hand, for very large absolute values of  $|a^D|$ , both BR $(H \to b\bar{b})$  and  $\sigma(gg \to Ab\bar{b})$  become too large to be consistent with the constraints exhibited in Eqs. (57)–(60).

The allowed regions of the charged Higgs mass are exhibited Fig. 4(b). The charged Higgs mass is constrained to lie either in the interval  $575 \lesssim m_{H^\pm} \lesssim 665$  GeV or  $220 \lesssim m_{H^\pm} \lesssim 330$  GeV, as anticipated in the discussion of precision electroweak constraints in Sec. IV. In particular, since there is a sizable mass splitting between H and A in scenario 1, in order for the oblique T parameter to be suitably small in the approximate Higgs alignment limit, it is necessary for the charged Higgs mass to be roughly

degenerate in mass with either A or H, as explained in Appendix A.

The parameter points in scenario 1 must also be consistent with the nonobservation of H and A production and subsequent decay in other search channels examined by the ATLAS collaboration in their analysis of the run 2 LHC data. In particular, for  $(m_A, m_H) = (610, 290)$  GeV, there is no experimental evidence (yet) for H and A production followed by the subsequent decay of the scalar into other final states, such as ZZ,  $W^+W^-$ ,  $\tau^+\tau^-$  and  $\gamma\gamma$ .

The ditau channel is one of the main search channels for new scalars, and there are stringent constraints from LHC run 2 data. In particular, we can use the results of the ATLAS Collaboration reported in Fig. 08 of Ref. [22] to

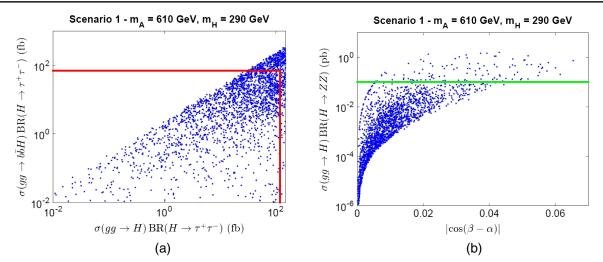


FIG. 5. Results of a scan over A2HDM parameter points in scenario 1 that satisfy the theoretical and experimental constraints elucidated in Sec. IV and the constraints of Eqs. (57)–(60). Panel (a) shows the cross sections for gluon fusion production and b-associated production of H multiplied by BR( $H \to \tau^+\tau^-$ ), and panel (b) shows  $\sigma(gg \to H) \times \text{BR}(H \to ZZ)$  as a function of  $|\cos(\beta - \alpha)|$ . The vertical and horizontal red lines shown in panel (a) correspond to the  $1\sigma$  exclusion limit (for  $m_H = 300 \text{ GeV}$ ) exhibited in Eqs. (64) and (65), respectively [22]. The blue points in panel (b) correspond to the points in panel (a) that lie below the red horizontal line and to the left of the red vertical line, and the horizontal green line shown in panel (b), which corresponds to Eq. (66), reflects the 95% C.L. upper bound reported in Ref. [57].

determine whether  $m_H \sim 290$  GeV and/or  $m_A \sim 610$  GeV might not have already been excluded by existing ditau data.

We begin by examining the implications of the ditau data for the production of H. In Fig. 5(a), we show the results of a scan over A2HDM parameter points in scenario 1 that satisfy the theoretical and experimental constraints elucidated in Section IV and the constraints of Eqs. (57)–(60). Although most of these points survive, some of them are excluded by the ATLAS search for  $H \to \tau^+ \tau^-$ . In particular, applying the limits reported by the ATLAS Collaboration in Ref. [22] for  $m_H = 300$  GeV, we find that at the  $1\sigma$  exclusion level,

$$\sigma(gg \to H) BR(H \to \tau^+ \tau^-) \le 120 \text{ fb},$$
 (64)

$$\sigma(gg \to b\bar{b}H)BR(H \to \tau^+\tau^-) \le 70 \text{ fb.}$$
 (65)

The exclusion limits of Eqs. (64) and (65) correspond to the vertical and the horizontal red lines, respectively, of Fig. 5(a). Thus, all points exhibited in Fig. 5(a) that lie below the horizontal red line and to the left of the vertical red line are consistent with the exclusion limits obtained in Ref. [22]. After excluding the points that lie above the horizontal red line and/or to the right of the vertical red line in Fig. 5(a), we increased the scanning statistics to obtain the plot of  $\sigma(gg \rightarrow H) \times BR(H \rightarrow ZZ)$  as a function of  $\cos(\beta - \alpha)$  shown in Fig. 5(b). The horizontal green line shown in Fig. 5(b) corresponds to the 95% C.L. exclusion limit,

$$\sigma(gg \to H)BR(H \to ZZ) \le 0.1 \text{ pb},$$
 (66)

reported by the ATLAS Collaboration in Ref. [57]. Note that the points that lie below the horizontal green line of Fig. 5(b), which take into account the constraint of Eq. (66), satisfy  $\cos(\beta - \alpha) \sim 0.045$ . Imposing similar constraints from the exclusion limit for  $\sigma(gg \to H \to W^+W^-)$  obtained in Ref. [58] does not eliminate additional points from the A2HDM scan exhibited in Fig. 5.

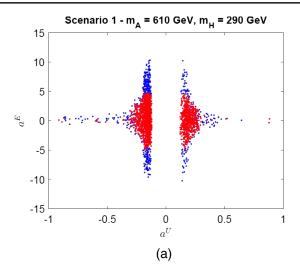
The ditau exclusion limits of Eqs. (64) and (65) have implications for the flavor-alignment parameter  $a^E$ , as exhibited in Fig. 6(a). In particular, after applying all the relevant constraints,  $|a^E| \lesssim 5$ , where the precise upper bound can be even more restrictive depending on the value of  $|a^U|$ . Note that the A2HDM parameter space of interest is insensitive to the sign of  $a^U$ . This is a consequence of the fact that precision Higgs data is compatible with either sign of  $a^U$ . In light of the top quark Yukawa modifier,

$$f_{h,t} = s_{\beta-\alpha} - |c_{\beta-\alpha}| a^U, \tag{67}$$

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we see that due to the constraint on  $c_{\beta-\alpha}$  exhibited in Fig. 5(a) and the upper bound of  $|a^U| \lesssim 1.0$ ,  $^{20}$  it follows that  $f_{h,t} \simeq 1$ , regardless of the sign of  $a^U$ . A second feature that is evident from Fig. 6(a) is the existence of a lower bound,  $|a^U| \gtrsim 0.11$ . This arises from the fact that the gluon fusion production cross section of A relies on the  $At\bar{t}$ 

 $<sup>^{20}</sup>$ We scanned over values of  $a^U$  such that  $|a^U| \lesssim 1.5$  in order to avoid a Landau pole significantly below the Planck scale. As noted in Sec. V, the constraint of  $\Delta M_{B_s}$  reduces this upper bound to roughly  $|a^U| \lesssim 1$  (with a weak dependence on the charged Higgs mass).



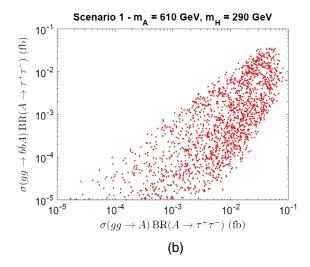


FIG. 6. Results of a scan over A2HDM parameter points in scenario 1 that satisfy the theoretical and experimental constraints elucidated in Sec. IV and the constraints of Eqs. (57)–(60). The values of the flavor-alignment parameters  $a^E$  and  $a^U$  are then plotted as blue points in panel (a). The red points of panel (a) correspond to those that survive the additional constraints of Eqs. (64)–(66). The values of the cross sections for gluon fusion production and b-associated production of A multiplied by  $BR(H \to \tau^+\tau^-)$ , subject to the same constraints as the red points of panel (a), are plotted as red points in panel (b).

coupling that is proportional to  $a^U$  [cf. Eq. (61)]. Thus, to be consistent with Eq. (57),  $|a^U|$  cannot be arbitrarily small.

Next, consider the implications of the ditau data for the production of A. In particular, applying the limits reported by the ATLAS Collaboration in Ref. [22] for  $m_A = 600$  GeV, we find that at the  $2\sigma$  exclusion level,

$$\sigma(gg \to A) BR(A \to \tau^+ \tau^-) \le 12.5 \text{ fb},$$
 (68)

$$\sigma(gg \to b\bar{b}A)$$
BR $(A \to \tau^+\tau^-) \le 4.5$  fb. (69)

No further reduction of the A2HDM parameter space follows after imposing the  $A \to \tau^+ \tau^-$  exclusion limits of Eqs. (68) and (69). In particular, the red parameter points of Fig. 6(b) lie considerably below the ATLAS exclusion limits quoted above, which is not surprising given that the  $\tau^+\tau^-$  decay mode is subdominant. Indeed, in light of Fig. 2(a), if  $m_{H^{\pm}} \sim m_A$  then the dominant A decay channels are  $A \to ZH$  and  $A \to t\bar{t}$ , which account for more than 99% of all A decays. As expected, the branching ratio for  $A \rightarrow t\bar{t}$ increases with  $|a^U|$ , since the  $At\bar{t}$  coupling is proportional to  $a^U$ . Moreover, as illustrated in Fig. 2(b), the total width of A also increases with  $|a^U|$ . In scenario 1, an experimental upper limit on  $|a^U|$  can be deduced based solely on the absence of evidence for  $A \rightarrow t\bar{t}$  for  $m_A = 610$  GeV, as reported in Ref. [23] by the CMS Collaboration, where the region of  $|a^U| \gtrsim 1.2$  is excluded. However, after applying the full constraints of the scenario 1 parameter scan, the results of Fig. 6(a) imply a somewhat stronger constraint of  $|a^U| \lesssim 0.9$ . Nevertheless there is still room for a large enough value of  $|a^U|$  to yield a sizable  $A \to t\bar{t}$  signal rate, which therefore remains a tantalizing possibility to be probed in the near future at the LHC.

Having successfully accommodated the proposed signal of scenario 1 [cf. Eq. (57)] in the framework of the CP-conserving 2HDM, one can now propose additional Higgs signals that could be discovered in future LHC searches. As an example, we can provide the possible values of the cross section that are consistent with the ATLAS data excess given in Eq. (57) for the gluon fusion production and the b-associated production of H, followed by its decay into a pair of Higgs bosons, which are exhibited in the plots shown in Fig. 7. In particular, given that  $\mathrm{BR}(h \to b\bar{b}) \simeq 58\%$ , the b-associated production process would yield spectacular events with six b-quarks in the final state.

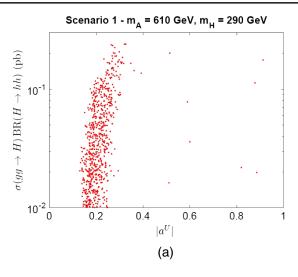
Although the proposed signal of  $A \rightarrow ZH$  specified in Eq. (57) can be successfully accommodated in the framework of the A2HDM, one can now ask whether this signal is viable in two Higgs doublet models with natural flavor conservation. In light of Eqs. (41)–(44), the following four special cases of the A2HDM are of interest:

type-I: 
$$a^U = a^D = a^E$$
, type-X:  $a^U = a^D = -\frac{1}{a^E}$ , (70)

type-II: 
$$-\frac{1}{a^U} = a^D = a^E$$
, type-Y:  $a^U = -\frac{1}{a^D} = a^E$ . (71)

In all four cases above, the corresponding conditions are a consequence of a  $\mathbb{Z}_2$  symmetry that is preserved by all dimension-4 terms of the Higgs Lagrangian. Consequently, the condition  $T_{Z_2} = 0$  must also be satisfied [cf. Eq. (40)].

To investigate whether the surviving points of the A2HDM parameter scans presented above are consistent



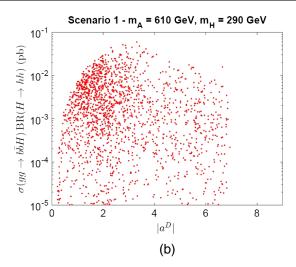


FIG. 7. Results of a scan over A2HDM parameter points in scenario 1 that satisfy the theoretical and experimental constraints elucidated in Sec. IV and the constraints of Eqs. (57)–(60) and Eqs. (64)–(66). In panel (a) the values of the cross section for gluon fusion production of H multiplied by BR( $H \rightarrow hh$ ) are plotted as a function of  $|a^U|$ . In panel (b), the values of the cross section for b-associated production of H multiplied by BR( $H \rightarrow hh$ ) are plotted as a function of  $|a^D|$ .

with any of the relations given in Eqs. (70) and (71), we introduce the following four quantities,

$$T_{I} \equiv |1 - a^{D}/a^{U}| + |1 - a^{E}/a^{U}| + T_{Z_{2}},$$

$$T_{X} \equiv |1 - a^{D}/a^{U}| + |1 + a^{E}a^{U}| + T_{Z_{2}},$$
(72)

$$T_{II} \equiv |1 + a^D a^U| + |1 - a^E/a^U| + T_{Z_2},$$
  

$$T_Y \equiv |1 + a^D a^U| + |1 - a^E/a^U| + T_{Z_2}.$$
(73)

By design,  $T_I = 0$  only for the type-I 2HDM,  $T_{II} = 0$  only for the type-II 2HDM,  $T_Y = 0$  only for the type-Y 2HDM, and  $T_X = 0$  only for the type-X 2HDM.

In light of Fig. 4(b),  $m_{H^{\pm}} \lesssim 670$  GeV for the A2HDM scan points that satisfy all of the scenario 1 constraints. However, as shown in Ref. [59], the constraint imposed by the  $b \to s\gamma$  measurement yields  $m_{H^{\pm}} \gtrsim 800$  GeV in the type-II 2HDM, and the same rough upper bound applies to the type-Y 2HDM [52]. Hence, scenario 1 is incompatible with the type-II and type-Y 2HDM. In contrast, the range of charged Higgs masses shown in Fig. 4(b) are not excluded by the  $b \to s\gamma$  measurement in the type I and X 2HDM [52]. Thus, we now explore whether or not scenario 1 is compatible with some range of parameters within the type-I or X 2HDM.

In Fig. 8, we have plotted the values of  $T_I$  and  $T_X$  for those A2HDM scan points that survive the theoretical and experimental constraints elucidated in Sec. IV and the constraints of Eqs. (57)–(60) and Eqs. (64)–(66). Given that the scan points of Fig. 8 appear to be approaching the horizontal (type-X) or the vertical (type I) axes, it seems plausible (with a higher statistics scan) that scenario 1 could be compatible within the type-I and/or X 2HDM frameworks. To investigate this possibility in more detail, we

have performed dedicated type-I and type-X scans for scenario 1 and confirmed that it is indeed possible to fit all scenario 1 constraints in the type-I 2HDM but *not* in the type-X 2HDM.

The failure to find solutions within the type-X 2HDM framework can be attributed to the constraints of the  $H \to \tau^+\tau^-$  decays. For all points that satisfied the constraints of Eqs. (57)–(60), we obtained values of  $\sigma(gg \to H) \text{BR}(H \to \tau^+\tau^-)$  between 0.9 and 1.3 pb, in violation of the bound

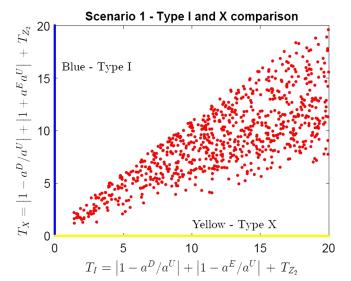


FIG. 8. Results of a scan over A2HDM parameter points in scenario 1 that satisfy the theoretical and experimental constraints elucidated in Sec. IV and the constraints of Eqs. (57)–(60) and Eqs. (64)–(66). We plot the values of  $T_I$  vs.  $T_X$  for all surviving scan points. Points that lie along the horizontal (yellow) axis would be consistent with a type-X 2HDM. Points that lie along the vertical (blue) axis would be consistent with a type-I 2HDM.

TABLE VI. In the parameter scans subjected to all scenario 1 constraints, the maximal values of  $\sigma \times BR$  is shown for four different production processes of neutral heavy scalars that decay to  $\tau^+\tau^-$ . Results in the case of the A2HDM are taken from Figs. 5(a) and 6(b). Results in the case of the type-I 2HDM are obtained from a dedicated scan.

	Type-I 2HDM	A2HDM
$\sigma(gg\to H){\rm BR}(H\to \tau^+\tau^-)$	≲90 fb	≲120 fb
$\sigma(gg\to b\bar b H) {\rm BR}(H\to \tau^+\tau^-)$	$\lesssim$ 0.26 fb	$\lesssim$ 70 fb
$\sigma(gg\to A){\rm BR}(A\to \tau^+\tau^-)$	$\lesssim 1.6 \times 10^{-3} \text{ fb}$	$\lesssim 0.1 \text{ fb}$
$\sigma(gg\to b\bar{b}A){\rm BR}(A\to \tau^+\tau^-)$	$\lesssim$ 6.1 $\times$ 10 <sup>-7</sup> fb	$\lesssim$ 0.05 fb

of Eq. (64). In particular, imposing the bounds of Eqs. (57)–(60) on the type-X (and type-I) scans require values of  $1/a^U = \tan \beta \gtrsim 2$ , which implies that in the type-X 2HDM we also have  $a^E = \tan \beta \gtrsim 2$ . That is, the decay rate for  $H \to \tau^+ \tau^-$  is enhanced by a factor of  $\tan^2 \beta$ , thereby producing values of  $\sigma(gg \to H \to \tau^+ \tau^-)$  that exceed the observed upper bound given in Eq. (64).

Although it is possible to accommodate scenario 1 in a type-I 2HDM, more flexibility is achieved by employing the generic A2HDM framework. For example, in Table VI, we exhibit the maximal  $\sigma \times BR$  for the production of H and A (either via gluon fusion or via b-associated production) followed by the decay into  $\tau^+\tau^-$ . If the scenario 1 data excesses persist, the detection of the  $\tau^+\tau^-$  decay mode in multiple channels would be inconsistent with a type-I interpretation but could be compatible in the more general A2HDM framework. This exercise illustrates the advantage of employing the A2HDM in analyzing evidence for the production of new scalar states. In particular, the larger parameter space (relative to the special cases of the A2HDM corresponding to type I, II, X, and Y Yukawa couplings) provides the freedom to independently vary the  $a^{U}$ ,  $a^{D}$ , and  $a^{E}$  flavor-alignment parameters, thereby providing the A2HDM with greater flexibility in interpreting different signals of heavy scalar production and decay.

If the proposed signal of Eq. (57) is confirmed, then one should expect to discover a charged Higgs boson either in the mass range of [220, 320] GeV or in the range of [570, 670] GeV, as indicated in Fig. 4. There is an extensive set of experimental results on searches for charged scalars in the literature. The most recent results for charged Higgs boson searches at the LHC yield upper bounds on  $\sigma(pp \to H^\pm \to tb)$  [60] and  $\sigma(pp \to H^\pm \to \tau\nu)$  [61]. We have computed the decay branching ratios for the charged scalar in the A2HDM, and we have used the results of the LHC Cross Section Working Group [62] to obtain the LHC production cross section for  $H^\pm$  as a function of the

TABLE VII. Parameters characterizing Benchmark B1a, for which  $m_h = 125$ ,  $m_A = 610$  and  $m_H = 290$  GeV. The corresponding A2HDM flavor-alignment parameters satisfy  $a^U = a^D = a^E = 1/\tan\beta \simeq 0.25$ . Note that  $\cos(\beta - \alpha) < 0$  in light of Eqs. (33) and (41). The parameter  $Z_3 = 11.89$  is obtained by imposing the condition for a softly broken  $\mathbb{Z}_2$  symmetric scalar potential by setting  $T_{Z_2} = 0$  [cf. Eq. (40)].

	Benchmark B1a-	—type-I 2H	DM	
$m_{H^{\pm}}$ (GeV)	$\cos(\beta - \alpha)$	$Z_2$	$Z_7$	$\tan \beta$
650	-0.0013	2.27	0.58	4.0

A2HDM flavor-alignment parameters. The A2HDM parameter space obtained in our analysis of scenario 1 is such that constraints derived from the nonobservation of the charged Higgs boson decaying to  $\tau\nu$  are satisfied. However, scans with higher values of  $|a^U|$  can produce values of  $\sigma(pp \to H^\pm \to tb)$  that lie above the observed bound obtained by the CMS Collaboration using 35.9 fb<sup>-1</sup> of data [60]. As a result, we obtain an upper bound on  $|a^U|$  that depends on the range of charged Higgs masses:  $|a^U| \lesssim 0.5$  for  $m_{H^\pm} \in [220, 320]$  GeV and  $|a^U| \lesssim 1$  for  $m_{H^\pm} \in [570, 670]$  GeV. These bounds on  $|a^U|$  will improve when the full run 2 dataset and future run 3 data are analyzed, with the real possibility of a discovery of the charged Higgs boson via its tb decay mode.

#### B. A2HDM benchmarks for scenario 1

We present two benchmarks for scenario 1 in Tables VII and X, chosen to illustrate A2HDM parameter sets that would yield other heavy scalar channels that could be probed in future runs at the LHC.

The parameters of the first benchmark (denoted B1a) corresponds to a CP-conserving type-I 2HDM, which is a special case of the A2HDM where the flavor-alignment parameters are related according to Eq. (41) and satisfy  $a^U = a^D = a^E = \varepsilon / \tan \beta$ , which defines  $\tan \beta$  of the type-I Yukawa sector. Adopting the convention where  $\tan \beta$  is positive then fixes  $\varepsilon$  to be the (common) sign of the flavoralignment parameters. The parameters of benchmark B1a are displayed in Table VII.

For the benchmark parameters shown in Table VII, the main production cross sections and some of the relevant branching ratios for H, A, and  $H^{\pm}$  are exhibited in Tables VIII and IX. The results of Tables VIII and IX suggest a number of additional channels that could yield possible discoveries in future LHC runs. The most promising channel for H would be production either indirectly via  $gg \rightarrow A \rightarrow ZH$  or directly via  $gg \rightarrow H$ , with the subsequent decay of  $H \rightarrow hh \rightarrow b\bar{b}b\bar{b}$ . Upper bounds on the former have been reported by the ATLAS Collaboration in Ref. [34], whereas upper bounds on the latter have been presented by the ATLAS and CMS Collaborations based on

 $<sup>^{21} \</sup>text{Here we use the notation } H^{\pm} \to tb \text{ to mean either } H^{+} \to t\bar{b}$  or  $H^{-} \to \bar{t}b$ . Likewise,  $H^{\pm} \to \tau\nu$  denotes  $H^{+} \to \tau^{+}\nu_{\tau}$  or  $H^{-} \to \tau^{-}\bar{\nu}_{\tau}$ .

TABLE VIII. Production cross sections and relevant decay branching ratios for H and A in benchmark B1a.

$\sigma(gg \to H) \text{ (pb)}$	0.65
$\sigma(gg \to b\bar{b}H) \text{ (pb)}$	$1.9 \times 10^{-3}$
$BR(H \to ZZ)$	0.0053
$BR(H \to b\bar{b})$	0.47
$BR(H \to \tau^+ \tau^-)$	0.053
$BR(H \to hh)$	0.023
$BR(H \to gg)$	0.41
$\Gamma_H$ (GeV)	$7 \times 10^{-4}$
$\sigma(gg \to A) \text{ (pb)}$	0.18
$\sigma(gg \to b\bar{b}A) \text{ (pb)}$	$6.9 \times 10^{-5}$
$BR(A \to ZH)$	0.94
$BR(A \to t\bar{t})$	0.057
$BR(A \to b\bar{b})$	$1.9 \times 10^{-5}$
$BR(A \to \tau^+ \tau^-)$	$2.0 \times 10^{-6}$
$\mathrm{BR}(A  o H^\pm W^\mp)$	0
$\Gamma_A$ (GeV)	31.99

TABLE IX. Production cross sections and relevant decay branching ratios for  $H^{\pm}$  in benchmark B1a.

$\sigma(gg \to tbH^{\pm}) \text{ (pb)}$	0.0078
$BR(H^{\pm} \to tb)$	0.040
${ m BR}(H^\pm o au^\pm u)$	$2.0 \times 10^{-6}$
$\mathrm{BR}(H^\pm  o HW^\pm)$	0.96
$\Gamma_{H^{\pm}}$ (GeV)	45.39

TABLE X. Parameters characterizing benchmark B1b, for which  $m_h = 125$ ,  $m_A = 610$  and  $m_H = 290$  GeV.

	Benchmark	B1b—	-gener	ic A2HI	OM		
$m_{H^{\pm}}$ (GeV)	$ \cos(\beta - \alpha) $	$Z_2$	$Z_3$	$Z_7$	$a^U$	$a^D$	$a^E$
600	0.013	1.51	9.79	-0.20	0.20	1.75	3.50

their resonant diHiggs searches [24,63–65]. The most promising alternative channel for A would be production via gluon fusion, with the subsequent decay to  $t\bar{t}$ . Finally, the most promising channel for  $H^{\pm}$  would be via tb associated production, with the subsequent decay to  $HW^{\pm}$ . Upper bounds for this process have already been established in Ref. [66].

To emphasize the difference between the type-I 2HDM and a generic A2HDM, we present a second benchmark in Table X. For the benchmark parameters shown in Table X,

TABLE XI. Production cross sections and relevant decay branching ratios for H and A in benchmark B1b.

$\sigma(gg \to H) \text{ (pb)}$	0.40
$\sigma(gg \to b\bar{b}H) \text{ (pb)}$	0.096
$BR(H \to ZZ)$	0.015
$\mathrm{BR}(H  o b ar{b})$	0.61
${ m BR}(H o au^+ au^-)$	0.28
$BR(H \to hh)$	0.053
$\Gamma_H$ (GeV)	0.027
$\sigma(gg \to A) \text{ (pb)}$	0.11
$\sigma(gg \to b\bar{b}A) \text{ (pb)}$	0.0034
$BR(A \to ZH)$	0.96
$BR(A \to t\bar{t})$	0.036
$BR(A \to b\bar{b})$	$9.47 \times 10^{-4}$
$BR(A \to \tau^+ \tau^-)$	$4.95 \times 10^{-4}$
$\Gamma_A$ (GeV)	31.31

TABLE XII. Production cross sections and relevant decay branching ratios for  $H^{\pm}$  in benchmark B1b.

$\sigma(gg \to tbH^\pm)$ (pb)	0.0069
$BR(H^{\pm} \to tb)$	0.035
${ m BR}(H^\pm o au^\pm u)$	$5.18 \times 10^{-4}$
${ m BR}(H^\pm o HW^\pm)$	0.96
$\Gamma_{H^{\pm}}$ (GeV)	29.38

the main production cross sections and some of the relevant branching ratios for H, A and  $H^{\pm}$  are exhibited in Tables XI and XII.

The results of Tables XI and XII suggest a number of additional channels that could yield possible discoveries in future LHC runs. In addition to  $gg \rightarrow A \rightarrow ZH$  and  $gg \rightarrow H$ , where  $H \rightarrow hh \rightarrow b\bar{b}b\bar{b}$ , as previously mentioned, it may be possible to detect  $gg \rightarrow b\bar{b}H$  followed by  $H \rightarrow b\bar{b}$ , which would also yield a  $b\bar{b}b\bar{b}$  final state but with different kinematics. The most promising alternative channel for A would be production via gluon fusion, with the subsequent decay to  $t\bar{t}$ , since there is no longer kinematic access to  $H^{\pm}W^{\mp}$ . Finally, the most promising channel for  $H^{\pm}$  would again be via tb associated production, with the subsequent decay to  $HW^{\pm}$ .

## VI. SCENARIO 2: $m_A = 400 \text{ GeV}$

Consider the *CP*-conserving 2HDM with a *CP*-odd scalar mass of  $m_A = 400$  GeV and two *CP*-even scalars with masses  $m_h = 125$  GeV and  $m_H > 450$  GeV (where h

is identified as the Higgs boson observed in LHC data). This scenario is motivated by a slight excess of events over the expected backgrounds in the ATLAS search for resonant  $\tau^+\tau^-$  production and in the CMS search for resonant  $t\bar{t}$  production due to the production and the subsequent decay of a heavy neutral scalar. The ATLAS Collaboration observes an excess of events in both gluon fusion and in b-associated production of a heavy scalar (where the CP quantum number is not determined). Although the CMS Collaboration observes no excess in a similar search, there remains some room for the ATLAS excess that is not yet excluded at the 95% C.L. by the CMS Collaboration, as discussed below Eq. (46). Meanwhile, the CMS Collaboration reports an excess of  $t\bar{t}$  pairs that are interpreted as the gluon fusion production of  $A \rightarrow t\bar{t}$  (in which an alternative interpretation of  $H \to t\bar{t}$  is excluded). As both data excesses are associated with the production of a scalar of mass 400 GeV, we shall assume that the scalar associated with the ATLAS excess is CP-odd. The CPeven scalar is taken to be heavier,  $m_H > 450$  GeV to avoid the possibility for it to contribute to the ATLAS  $\tau^+\tau^$ excess.<sup>22</sup> Note that this scenario is completely orthogonal to scenario 1 since the decay  $A \rightarrow ZH$  is kinematically excluded.

#### A. A2HDM interpretation of scenario 2

We have performed a scan of the A2HDM parameter space for scenario 2, while respecting the theoretical and experimental constraints elucidated in Sec. IV. To determine the range of interest for the flavor-alignment parameter  $a^U$ , we exhibit in Fig. 9 the width to mass ratio,  $\Gamma_A/m_A$ , as a function of  $a^U$  and consider the implications of the excess of  $t\bar{t}$  events reported by the CMS Collaboration in Ref. [23], which are interpreted as the production of  $A \rightarrow t\bar{t}$ via gluon fusion. The red and blue points of Fig. 9 represent the results of our scan prior to imposing the constraints of the  $A \rightarrow t\bar{t}$  constraints of Ref. [23]. The CMS Collaboration expected to obtain a 95% C.L. upper limit on the gluon fusion production of  $A \rightarrow t\bar{t}$  for  $m_A = 400$  GeV, which when translated into a limit on  $a^U$  yields the solid black line shown in Fig. 9.<sup>23</sup> However, due to an excess of events above background (with a local significance of  $3.5\sigma$ ), the actual 95% C.L. upper limit on the gluon fusion production of  $A \rightarrow t\bar{t}$  translated into a limit on  $a^U$  yields the dashed cyan line shown in Fig. 9. The points in our scan that lie between the black and cyan lines (colored red) will be the parameter points of interest for scenario 2 going forward.

A more sophisticated approach was undertaken in Ref. [38], in which a chi-squared analysis was performed

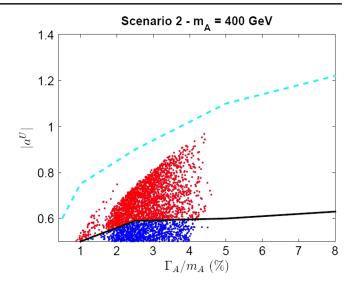


FIG. 9. The ratio of the A width to its mass (with  $m_A = 400 \text{ GeV}$ ) as a function of the flavor-alignment parameter  $a^U$  in scenario 2 obtained in a scan over A2HDM parameters, subject to the theoretical and experimental constraints elucidated in Sec. IV. The dashed cyan (solid black) line shows the observed (expected) 95% C.L. upper limit on the gluon fusion cross section for  $A \to t\bar{t}$  reported by the CMS Collaboration in Ref. [23], translated into an upper limit for  $a^U$  as a function of  $\Gamma_A/m_A$ . The points of the scan that lie between the dashed cyan and solid black curve are colored red, which constitute the proposed signal of scenario 2.

to determine the best fit value for the A coupling modifier to top quarks for each value of the A width. The chi-squared analysis of Ref. [38] only considered the CMS data excess in the  $t\bar{t}$  channel [23], whereas we wish to consider the implications of this data excess together with the ATLAS data excess in the  $\tau^+\tau^-$  channel [22]. Nevertheless, we have verified that the red points chosen in our Fig. 9 have  $\chi^2_{t\bar{t}} \lesssim 5$ , for the definition of  $\chi^2_{t\bar{t}}$  given in Ref. [38] and the results shown in their Fig. 1. Moreover, a substantial portion of the red points of our Fig. 9 have values of  $\chi^2_{t\bar{t}}$  well below 1.

Employing the same A2HDM scan described above, we consider the implications of the ATLAS ditau signal excess interpreted as the production of a CP-odd scalar with  $m_A = 400$  GeV. In Fig. 10, the best fit point for the ATLAS excess of Ref. [21] is indicated by the + sign; the solid yellow and black curves correspond to the corresponding  $1\sigma$  and  $2\sigma$  contours. The nonobservation of the ditau signal by the CMS collaboration [24] excludes (at the 95% C.L.) values of the A production cross section multiplied by  $BR(A \to \tau^+\tau^-)$  that lie outside the boundary of the dashed black ellipse. <sup>24</sup> The blue points of our initial scan are constrained to lie within the red region of Fig. 9 as

 $<sup>^{22}</sup>$ The possibility of  $m_H < 350$  GeV is less likely to survive the constraints of our model scans, and hence we discard this option in what follows.

<sup>&</sup>lt;sup>24</sup>The dashed black contour exhibited in Fig. 10 was obtained from the results of Ref. [24] by interpolation as explained above Eq. (47).

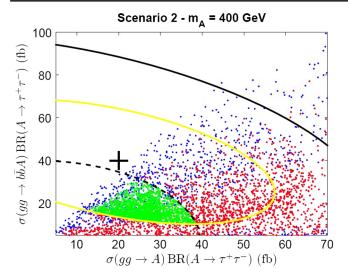


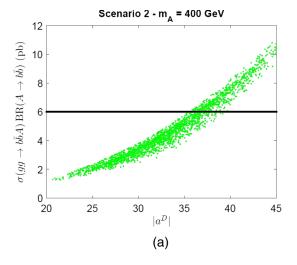
FIG. 10. Results of a scan over A2HDM parameter points in scenario 2 that satisfy the theoretical and experimental constraints elucidated in Sec. IV. The blue points exhibit the values of the cross sections for gluon fusion production and *b*-associated production of *A* multiplied by BR( $H \rightarrow \tau^+ \tau^-$ ). These points are colored red if the corresponding values of  $a^U$  and  $\Gamma/m_A$  lie in the red region of Fig. 9. The + indicates the best fit point for the ATLAS excess of Ref. [21] interpreted as *A* production with  $m_A = 400$  GeV; the solid yellow and black curves correspond to the corresponding  $1\sigma$  and  $2\sigma$  contours. Red points within the  $1\sigma$  contour are colored green. Finally, all points outside the boundary of the dashed black ellipse are excluded at the 95% C.L. by the ditau search of the CMS Collaboration [24].

discussed above. These point are colored red in Fig. 10. In addition, the green points are a subset of the red points that lie within the  $1\sigma$  contour. Finally, the green points that lie within the dashed black ellipse in the lower left hand corner

of Fig. 10 constitute the A2HDM parameter regime of interest for scenario 2. Note that despite of the more restrictive CMS exclusion limits, there are still a significant number of green scan points for scenario 2 that lie within the  $1\sigma$  ellipse of the ATLAS ditau data excess.

The sharp lower bound  $\sigma(gg \to A) \times \mathrm{BR}(A \to \tau^+\tau^-) \gtrsim 19$  fb exhibited by the green points of Fig. 10 is noteworthy. This lower bound is a consequence of requiring  $|a^U| \gtrsim 0.5$ , so as to be above the solid black line in Fig. 9 (in order to explain the observed CMS excess of  $A \to t\bar{t}$ ), while also imposing  $|a^E| \gtrsim 4.9$  (as illustrated in Fig. 12 below) in order that our scan points live within the  $1\sigma$  ellipse of Fig. 10. In particular, the lower bound on  $|a^U|$  forces  $\sigma(gg \to A)$  to be roughly above 7.1 pb, whereas the lower bound on  $|a^E|$  yields a minimum branching ratio of  $\mathrm{BR}(A \to \tau^+\tau^-) \gtrsim 1.2 \times 10^{-3}$ . Although these considerations omit the implications of scanning over  $a^D$ , they do provide a rough understanding of the lower bound on  $\sigma(gg \to A \to \tau^+\tau^-)$  observed in Fig. 10.

In order to achieve a large enough rate in b-associated production of A that subsequently decays into  $\tau^+\tau^-$ , a sufficiently large absolute value of the flavor-alignment parameter  $a^D$  will be required, which tends to enhance the  $A \to b\bar{b}$  decay rate. The most restrictive bounds on  $\sigma(gg \to b\bar{b}A) \times \mathrm{BR}(A \to b\bar{b})$  are provided by the CMS Collaboration [67], with a 95% C.L. upper limit of roughly 6 pb for  $m_A = 400$  GeV. As shown in Fig. 11(a), this latter constraint eliminates a substantial region of the A2HDM parameter space for scenario 2 and yields an upper bound of  $|a_D| \lesssim 40$ . In Fig. 11(b) we show the predicted values of  $\sigma(gg \to b\bar{b}H) \times \mathrm{BR}(H \to b\bar{b})$  as a function of  $m_H$ , and we compare these values with the 95% C.L. upper limit from the CMS Collaboration in Ref. [67], obtained with 35.9 fb<sup>-1</sup> of data and shown as a solid black line in that



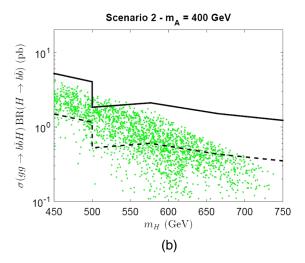
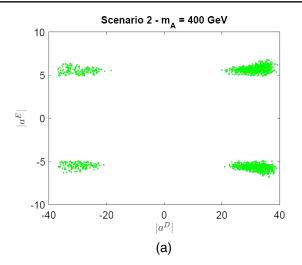


FIG. 11. Results of a scan over A2HDM parameter points in scenario 2 that satisfy the theoretical and experimental constraints elucidated in Sec. IV. The points shown are the subset of the green points of Fig. 10 that are contained within the dashed ellipse shown there. We exhibit (a) the predicted values of  $\sigma(gg \to b\bar{b}A) \times \text{BR}(A \to b\bar{b})$  as a function of  $|a^D|$ , and (b) the predicted values of  $\sigma(gg \to b\bar{b}H) \times \text{BR}(H \to b\bar{b})$  as a function of  $m_H$ . Points that lie above the solid line are excluded by the 95% C.L. upper limit obtained by the CMS Collaboration in Ref. [67]. The dashed black line is the expected exclusion at run 3 of the LHC.



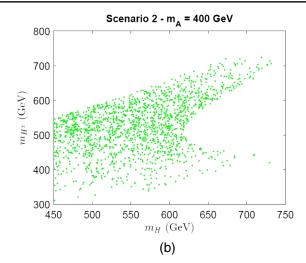


FIG. 12. Results of a scan over A2HDM parameter points in scenario 2 that lie within the region of interest, corresponding to the area of parameter space occupied by the subset of green points that lie inside the dashed black ellipse in Fig. 10 and below the dashed line in Fig. 11. Panel (a) exhibits the values of the flavor-alignment parameters  $a^E$  and  $a^D$  and panel (b) exhibits the masses of the heavier CP-even scalar H and the charged Higgs boson  $H^{\pm}$ , for each scan point that satisfies all the specified constraints.

plot. As we see, a very small set of our points are already excluded. The dashed line shown corresponds to a *naïve* rescaling (by the square root of the corresponding luminosities) of the current CMS bound based on the full run 2 dataset and an anticipated 300 fb<sup>-1</sup> of data during run 3 of the LHC. Indeed, we expect that a substantial portion of the parameter space for scenario 2, with H masses up to 700 GeV, could be probed in run 3.

We now examine in more detail the properties of the green A2HDM scan points that lie within the dashed black ellipse of Fig. 10 and below the solid black lines of Fig. 11, which satisfy all known experimental limits while interpreting the ATLAS ditau excess and the CMS  $t\bar{t}$  excess as the production of a CP-odd scalar with  $m_A \sim 400$  GeV, which from now on we call the "region of interest."

In Fig. 12, we show in panel (a) the values of the flavoralignment parameters  $a^E$  and  $a^D$  and in panel (b) we show the values of  $m_H$  and  $m_{H^{\pm}}$  for the A2HDM scan points that satisfy all the specified constraints. Note that the values of  $|a^D|$  and  $|a^E|$  are restricted to lie within a very narrow range of values,  $25 \lesssim |a^D| \lesssim 40$  and  $5 \lesssim |a^E| \lesssim 7$ . The corresponding lower limiting values are a consequence of the  $gg \rightarrow b\bar{b}A \rightarrow b\bar{b}\tau^+\tau^-$  interpretation of ATLAS excess of Ref. [22]. The upper limit on  $|a^D|$  is imposed by the solid black lines of Fig. 11 and the upper limit on  $|a^{E}|$  is due in part to our  $gg \rightarrow A \rightarrow t\bar{t}$  interpretation of the CMS excess of Ref. [23]. In Fig. 12(b), two distinct branches are observed corresponding to  $m_H \sim m_A$  and  $m_{H^{\pm}} \sim m_A$ , which arise after imposing the T parameter constraint, as discussed in Section IV. Although we scan over h and  $H^{\pm}$ masses up to 1 TeV, we find no scan points above about 750 GeV. This is a consequence of the tree-level unitarity and perturbativity constraints of Sec. IV that limit the magnitude of the heavy scalar mass splittings.

Although the proposed scenario 2 signals are viable in the A2HDM framework, one can again ask whether the same signals can be successfully accommodated in two Higgs doublet models with natural flavor conservation. In Sec. V we introduced four quantities,  $T_{I,II,X,Y}$  [cf. Eqs. (72) and (73)], which if zero would indicate the presence of a (softly broken)  $\mathbb{Z}_2$  symmetry with a type I, II, X, or Y Yukawa coupling pattern. In contrast to scenario 1, where a type-I 2HDM provided a viable framework for the interpretation of the ATLAS excess of Ref. [20], the scenario 2 signals are incompatible with a type I, II, X, or Y Yukawa coupling pattern, as indicated by the two panels of Fig. 13.

If the scenario 2 interpretation of the ATLAS and CMS excesses of Refs. [22,23], respectively, are corroborated by further data, then one would expect to also discover the H and  $H^{\pm}$ . Indeed, some of the scan points of the scenario 2 region of interest are not too far from the current exclusion limits derived from ATLAS searches for H production (with subsequent decay to  $\tau^+\tau^-$ ) and  $H^{\pm}$  production (with subsequent decay to tb), as exhibited in Fig. 14). In panel (a) of Fig. 14, we show that the scenario 2 scan points in the region of interest reside about a factor of 10 below the 95% C.L. exclusion limits for  $\sigma(gg \to H)BR(H \to \tau^+\tau^-)$ obtained by the ATLAS Collaboration [22]. In panel (b), we show that some of the scenario 2 scan points in the region of interest lie quite close to the 95% C.L. exclusion limits for  $\sigma(pp \to H^{\pm}) BR(H^{\pm} \to tb)$  obtained by the ATLAS Collaboration in Ref. [68]. 25 The dashed black

 $<sup>^{25}</sup>$ We have also checked that the ATLAS and CMS exclusion limits on H production with subsequent decays into  $ZZ,\,W^+W^-,\,\gamma\gamma,$  or hh and  $H^\pm$  production with subsequent decays into  $\tau\nu$  are less constraining and easily satisfied by all the scenario 2 scan points in the region of interest.

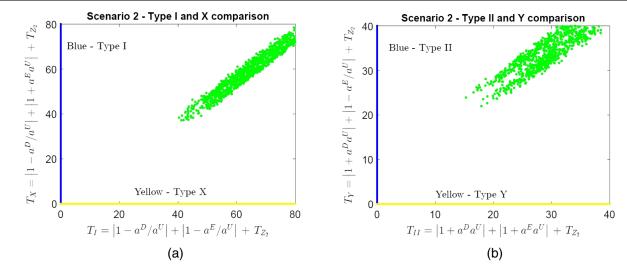


FIG. 13. Results of a scan over A2HDM parameter points in scenario 2 that lie within the region of interest, corresponding to the area of parameter space occupied by the subset of green points that lie inside the black dashed ellipse in Fig. 10 and below the solid black lines in Fig. 11. Panel (a) exhibits the values of  $T_I$  vs.  $T_X$ . Points that lie along the horizontal (yellow) axis would be consistent with a type-X 2HDM. Points that lie along the vertical (blue) axis would be consistent with a type-I 2HDM. Points that lie along the horizontal (yellow) axis would be consistent with a type-Y 2HDM. Points that lie along the vertical (blue) axis would be consistent with a type-I 2HDM.

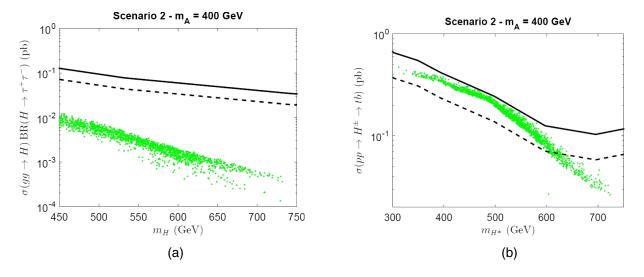


FIG. 14. The solid black line corresponds to the 95% C.L. exclusion limit reported by the ATLAS Collaboration in Ref. [68]. The solid black lines shown in both plots above are based on 139 fb<sup>-1</sup> of data. Assuming an additional 300 fb<sup>-1</sup> of data during run 3 of the LHC, a *naïve* rescaling of the ATLAS exclusion bounds yields the dashed black lines shown in both plots.

lines in both panels of Fig. 14 show the anticipated exclusion bounds one can expect at the end of run 3 of the LHC by performing the appropriate rescaling of the current ATLAS bounds. Although no appreciable changes occur for the  $gg \to H \to \tau^+\tau^-$  channel, it is noteworthy that run 3 of the LHC will be able to provide support for the scenario 2 interpretation if a charged scalar with a mass below (roughly) 650 GeV is discovered in the tb decay channel, as indicated in Fig. 14(b).

We expect that future LHC searches for  $H \to \tau^+ \tau^-$  and  $H^{\pm} \to tb$  with larger datasets will begin to probe the

scenario 2 region of interest and thus provide the most likely channels for new discoveries. Moreover, it will eventually be possible to exclude scenario 2 if a charged scalar with a mass below 750 GeV decaying to *tb* is not observed.

#### B. A2HDM benchmark for scenario 2

We present one benchmark for scenario 2 in Table XIII, chosen to illustrate an A2HDM parameter set with significant *H* and *A* production cross sections, which would

TABLE XIII. Parameters characterizing benchmark B2, for which  $m_h = 125$ ,  $m_A = 400$  GeV.

Benchmark B2—generic A2HDM								
$m_H$	$m_{H^{\pm}}$ (GeV)	$ \cos(\beta-\alpha) $	$Z_2$	$Z_3$	$Z_7$	$a^U$	$a^D$	$a^E$
492	529	0.0018	2.42	7.58	-1.39	0.60	35.07	6.32

TABLE XIV. Production cross sections and relevant decay branching ratios for H and A in benchmark B2.

$\sigma(gg \to H) \text{ (pb)}$	2.57
$\sigma(gg \to b\bar{b}H) \text{ (pb)}$	3.30
${\rm BR}(H  o b ar{b})$	0.69
${ m BR}(H o au^+ au^-)$	0.0028
$BR(H \to t\bar{t})$	0.31
$\Gamma_H$ (GeV)	14.54
$\sigma(gg \to A) \text{ (pb)}$	10.87
$\sigma(gg \to b\bar{b}A) \text{ (pb)}$	8.97
$BR(A \to t\bar{t})$	0.39
$BR(A \to b\bar{b})$	0.60
${ m BR}(A o au^+ au^-)$	0.0024
$\Gamma_A$ (GeV)	13.94

TABLE XV. Production cross sections and relevant decay branching ratios for  $H^{\pm}$  in benchmark B2.

$\sigma(gg \to tbH^{\pm}) \text{ (pb)}$	0.19
$BR(H^{\pm} \to tb)$	0.90
${ m BR}(H^\pm o au^\pm u)$	0.0023
${\rm BR}(H^\pm  o AW^\pm)$	0.095
$\Gamma_{H^{\pm}}$ (GeV)	19.05

provide additional heavy scalar discovery channels that could be probed in future runs at the LHC. In light of the results of Fig. 13, there are no viable A2HDM parameter sets that approximate a type-I, II, X, or Y 2HDM. For the benchmark parameters shown in Table XIII, the main production cross sections and some of the relevant branching ratios for H, A and  $H^{\pm}$  are exhibited in Tables XIV and XV.<sup>26</sup>

The results of Tables XIV and XV suggest a number of additional channels that could yield possible discoveries

in future LHC runs. The most promising channels for H would be via gluon fusion production and/or b-associated production followed by  $H \to b\bar{b}$  or  $t\bar{t}$ . Note that although the  $\tau^+\tau^-$  branching ratio is quite small,  $\sigma(gg \to b\bar{b}H) \times \mathrm{BR}(H \to \tau^+\tau^-) \sim 10$  fb, which will be probed in future runs at the LHC with a sufficiently large data sample (in light of Ref. [22]). In addition to  $gg \to A \to \tau^+\tau^-$ ,  $gg \to b\bar{b}A \to b\bar{b}\tau^+\tau^-$ , and  $gg \to A \to t\bar{t}$  (which constitute the current excesses in data that define scenario 2), it may be possible to detect  $gg \to b\bar{b}A \to b\bar{b}b\bar{b}$  and  $gg \to b\bar{b}A \to b\bar{b}t\bar{t}$ . Finally, the most promising channel for  $H^\pm$  would be via tb associated production, with the subsequent decay to either tb or  $AW^\pm$ .

#### VII. CONCLUSIONS

If new scalars exist with masses below 1 TeV with cross sections governed by the electroweak scale, then searches now being performed at the LHC would be capable of discovering such states given sufficient data. Indeed, the existence of an extended Higgs sector is primarily a question that must be answered via experimental exploration. If a convincing signal eventually emerges, then it will be important to provide a model interpretation.

Although there is no concrete argument pointing to a specific extended Higgs sector, there are a number of weak assumptions that can be applied to narrow down the appropriate framework in which to interpret the discovery of a new scalar. For example, the observed electroweak rho parameter and the absence of significant flavor changing neutral currents places strong constraints on a viable extended Higgs sector. The former tends to restrict considerations to models of hypercharge-zero singlet and hypercharge-one doublet scalars (e.g., see Ref. [69]), although interesting models with Higgs doublets and triplets arranged to have an (admittedly fine-tuned) custodial symmetric scalar potential would also satisfy the electroweak rho parameter constraint [40,70]. If a charged scalar were discovered, this would indicate the presence of additional doublet scalars.

For simplicity, we focus on extended Higgs sectors with additional doublets. Simply adding one doublet already yields a set of new phenomena (charged scalars, new *CP*-even and *CP*-odd neutral scalars or new neutral scalars of mixed *CP* symmetry). Thus employing the framework of the 2HDM may be sufficient to provide an initial interpretation of evidence that points to the discovery of an extended Higgs sector. Employing the most general 2HDM is not appropriate given that a significant portion of its parameter space would yield scalar-mediated FCNCs that are too large to be accommodated by the present data and/or an electric dipole moment (edm) for the electron that is inconsistent with the current experimental bounds. In light of the bounds on edms, one is tempted to restrict the parameters of the 2HDM such that no (significant) new

 $<sup>^{26}</sup>$ We do not exhibit the benchmark B2 values of BR( $H \to ZZ$ )  $\simeq 4 \times 10^{-6}$  and BR( $H \to hh$ )  $\simeq 10^{-7}$ , which are too small to be phenomenologically relevant.

sources of *CP* violation due to scalar self-interactions or the Higgs-fermion Yukawa couplings are present. This leads to the framework of the *CP*-conserving 2HDM. This is not to say that the LHC experiments should refrain from searching for *CP*-violating observables. However, any initial discovery of a new scalar is not likely to be particularly sensitive to the presence of a new scalar-mediated source of *CP* violation.

This leaves open the question of how to suppress scalarmediated FCNCs. Theorists tend to demand that their models should yield suppressed scalar-mediated FCNCs naturally, which is theoretically implemented by a symmetry that is either exact or softly-broken. Such an assumption restricts the structure of the Higgs-fermion Yukawa couplings to one of four types (called types I, II, X, and Y), which defines a fundamental parameter of the model, called  $\tan \beta$ . Experimentalists analyzing their data should ignore such considerations. After all, determining the structure of the Higgs-fermion Yukawa couplings is an experimental endeavor. Ideally, the experimental discovery of new scalars will inform theorists on how Nature has chosen to implement the suppression of FCNCs. With this in mind, we have advocated in this paper that evidence for new scalars at the LHC should be experimentally analyzed within the context of the flavor-aligned 2HDM (or A2HDM), which phenomenologically implements the absence of tree-level scalar-mediated FCNCs by proposing that two initially independent Yukawa coupling matrices are in fact proportional, with the proportionality constant (called the flavor-alignment parameter) an observable to be determined by experiment. Given that there are three pairs of Yukawa matrices (corresponding to up-type quarks, down-type quarks, and charged leptons), there are three flavor-alignment parameters of interest, called  $a^U$ ,  $a^D$ , and  $a^{E}$ . The Higgs-fermion Yukawa couplings of types I. II. X. and Y are special cases of the A2HDM, so an experimental determination of the flavor-alignment parameters will inform whether one of the Yukawa coupling types is compatible with the data.

After the completion of run 2 of the LHC, both ATLAS and CMS have searched a variety of channels for evidence of new scalars. No statistically significant signal has yet to emerge. Nevertheless, we believe that it is a useful exercise to exhibit how one could implement the extended Higgs framework described above to probe the properties of any newly discovered scalar(s). With this in mind, we have reviewed the ATLAS and CMS searches for new scalars and focused on a number of small excesses observed (corresponding typically to local  $3\sigma$  excesses whose significance reduces to  $2\sigma$  or below when the look elsewhere effect is taken into account). We selected two different scenarios: scenario 1 ( $m_A = 610 \text{ GeV}$  and  $m_H = 290 \text{ GeV}$  observed in  $gg \to A \to ZH$ , where  $H \to b\bar{b}$  and  $Z \to \ell^+\ell^-$ ) and scenario 2 ( $m_A = 400 \text{ GeV}$ , with  $A \to t\bar{t}$  and  $A \to \tau^+\tau^$ decays observed). Treating the small excesses observed as a potential signal of new scalars, we examined whether such excesses could be produced for some reasonable set of A2HDM parameters, and if so whether it would be possible to deduce whether the underlying fermion-Higgs Yukawa couplings were consistent with the symmetry-based types I, II, X or Y 2HDMs.

Our analysis of both scenarios resulted in the following conclusions. Scenario 1 can be realized in a type I 2HDM, but this solution is viable only for a rather restricted region of the model parameters. More generally, there is a larger region of the parameter space that is consistent with the A2HDM framework, but inconsistent with all of the symmetry-based types I, II, X, or Y models. In contrast, the scenario 2 excesses observed at LHC, while again consistent with the A2HDM framework, exhibits no allowed parameter points consistent with the symmetry-based types I, II, X, or Y models. This result highlights one of the main points of our study. If the scenario 2 excesses had been real (rather than the more likely statistical fluctuation of Standard Model backgrounds), an analysis of these data with a prejudice for the consistency with the symmetry-based types I, II, X, or Y Higgs-fermion Yukawa interactions would have concluded that these data are incompatible with the 2HDM. Of course, such a conclusion is inappropriate, in light of the compatibility of scenario 2 with the more general A2HDM framework. Indeed, there is more flexibility in fitting a given scenario by employing the A2HDM as compared to each of the symmetry-based Higgs-fermion Yukawa interactions of the 2HDM due to the existence of three independent flavor-alignment parameters,  $a^U$ ,  $a^D$  and  $a^E$ , which allows one to independently fit the constraints on flavor and leptonic observables of the model. In contrast, in the symmetry-based Higgs-fermion Yukawa interactions of the 2HDM, the coupling modifiers of the non-SM scalars to fermions (in the approximate Higgs alignment limit) are governed by a single parameter,  $\tan \beta$ , which results in strong correlations among the up-quark, down-quark and charged lepton Yukawa couplings.

If the excesses observed by the ATLAS and CMS Collaborations that are the basis for either of the two scenarios analyzed in this paper were confirmed in run 3 of the LHC, then our analysis also provides predictions for new non-SM Higgs signals that could provide support for the A2HDM framework. In scenario 1, the allowed A2HDM parameter space yields a signal for  $qq \rightarrow H \rightarrow$ hh that is close to the current experimental bound, in light of Fig. 7. Moreover, the cross section for b-associated production of H followed by  $H \rightarrow hh$  can be as large as 0.07 pb. Thus, resonant production of hh in subsequent runs at the LHC will provide a critical check of the A2HDM interpretation of scenario 1. In addition, the A2HDM parameter space consistent with scenario 1 yields cross sections for qq fusion and b-associated production of H followed by  $H \to \tau^+ \tau^-$  that can be as large as 120 fb and 70 fb, respectively, which could be detected with the future higher luminosity runs of the LHC. In contrast, gg fusion and b-associated production of A followed by  $A \rightarrow$  $\tau^+\tau^-$  yields smaller cross sections (roughly 0.1 fb and 0.05 fb, respectively), although still significantly larger than one would obtain in a type-I 2HDM. Finally, scenario 1 predicts a rather restricted range of masses for  $H^{\pm}$ : either  $220 \lesssim m_{H^{\pm}} \lesssim 320$  GeV (i.e.,  $m_{H^{\pm}} \sim m_H$ ) or  $570 \lesssim m_{H^{\pm}} \lesssim$ 670 GeV (i.e.,  $m_{H^{\pm}} \sim m_A$ ). The predicted signal rates for  $pp \to H^{\pm} \to tb$  are close to the current experimental bounds, and would provide another important consistency check of the A2HDM interpretation of scenario 1 if observed. In Scenario 2, the allowed A2HDM parameter space exhibited in Fig. 14 implies potentially significant signal rates for  $\sigma(gg \to H) BR(H \to \tau^+\tau^-)$  and  $\sigma(pp \to H^\pm) BR(H^\pm \to tb)$ : 10 times below the current experimental sensitivity for the former, and close to the current exclusion bounds for the latter, for  $400 \lesssim m_{H^{\pm}} \lesssim 600$  GeV. Both of these signals, if present at their expected rates, could be probed at run 3 of the LHC. We would also expect a potentially observable signal rate for gg fusion and b-associated production of H followed by  $H \rightarrow t\bar{t}$ . Finally, in light of Fig. 11, there is a strong possibility of observable signal rates in b-associated production of A and H, followed by their decays to  $b\bar{b}$ . Observations of any of these non-SM Higgs production and decay processes would provide important consistency checks of the A2HDM interpretation of scenario 2.

Ultimately, one would like to reduce further the specific extended Higgs sector model assumptions employed in analyzing a potential LHC discovery of a new scalar. Ideally, one should use experimental results to determine how many new doublets make up the extended Standard Model, and whether any singlets are present. It would be interesting to conceive of a scenario in which a general 2HDM is incompatible with an observed signal but a different extended Higgs structure can successfully explain the observed data. An obvious example would be the

discovery of a doubly charged Higgs boson, which of course is absent in the 2HDM but present in models that contain hypercharge-two Higgs triplets [71]. However, if the discovery of a new scalar at the LHC indicates the observation of a new colorless neutral or singly-charged scalar, it is not so clear what type of scenario is needed that would require new physics beyond the 2HDM.

Meanwhile, we look forward to new data being taken at run 3 of the LHC to see whether any of the data excesses that appeared in run 2 persist or whether any evidence for the production of new scalars emerges. The discovery of a new scalar would have profound implications for physics at the electroweak scale and perhaps provide a first glimpse of the physics beyond the Standard Model that is necessary to address some of the most pressing problems associated with our current theory of fundamental particles and their interactions.

#### ACKNOWLEDGMENTS

We are very grateful for many valuable conversations with Wolfgang Altmannshofer, Henning Bahl, Mike Hance, and Sven Heinemeyer. H. E. H. is supported in part by the U.S. Department of Energy Grant No. DE-SC0010107. H. E. H. also acknowledges the Aspen Center for Physics, which is supported by National Science Foundation Grant No. PHY-2210452, where the revised version of this manuscript was completed. P. M. F. is supported by *Fundação para a Ciência e a Tecnologia* (FCT) through contracts No. UIDB/00618/2020, No. UIDP/00618/2020, No. CERN/FIS-PAR/0004/2019, No. CERN/FIS-PAR/0014/2019, and No. CERN/FIS-PAR/0025/2021.

## APPENDIX A: THE OBLIQUE T PARAMETER OF THE CP-CONSERVING 2HDM

In the CP-conserving 2HDM, the T parameter is given by [31]:

$$\alpha T = \frac{3g^{2}\cos^{2}(\beta - \alpha)}{64\pi^{2}(m_{Z}^{2} - m_{W}^{2})} \left\{ \mathcal{F}(m_{Z}^{2}, m_{H}^{2}) - \mathcal{F}(m_{W}^{2}, m_{H}^{2}) - \mathcal{F}(m_{Z}^{2}, m_{h}^{2}) + \mathcal{F}(m_{W}^{2}, m_{m_{h}}) \right\}$$

$$+ \frac{g^{2}}{64\pi m_{W}^{2}} \left\{ \mathcal{F}(m_{H^{\pm}}^{2}, m_{A}^{2}) + \sin^{2}(\beta - \alpha) \left[ \mathcal{F}(m_{H^{\pm}}^{2}, m_{H}^{2}) - \mathcal{F}(m_{A}^{2}, m_{H}^{2}) \right] \right\}$$

$$+ \cos^{2}(\beta - \alpha) \left[ \mathcal{F}(m_{H^{\pm}}^{2}, m_{h}^{2}) - \mathcal{F}(m_{A}^{2}, m_{h}^{2}) \right] \right\}, \tag{A1}$$

where  $\alpha \simeq 1/137$  is the fine structure constant and the function  $\mathcal{F}$  is defined by

$$\mathcal{F}(m_1^2, m_2^2) \equiv \frac{1}{2} (m_1^2 + m_2^2) - \frac{m_1^2 m_2^2}{m_1^2 - m_2^2} \ln \left( \frac{m_1^2}{m_2^2} \right). \tag{A2}$$

Note that

$$\mathcal{F}(m_1^2, m_2^2) = \mathcal{F}(m_2^2, m_1^2), \qquad \mathcal{F}(m^2, m^2) = 0.$$
 (A3)

For a custodial symmetric scalar potential, the term proportional to  $q^2$  in Eq. (A1) must vanish, i.e.

$$\mathcal{F}(m_{H^{\pm}}^2, m_A^2) + \sin^2(\beta - \alpha)[\mathcal{F}(m_{H^{\pm}}^2, m_H^2) - \mathcal{F}(m_A^2, m_H^2)] + \cos^2(\beta - \alpha)[\mathcal{F}(m_{H^{\pm}}^2, m_h^2) - \mathcal{F}(m_A^2, m_h^2)] = 0. \tag{A4}$$

Note that if  $\sin(\beta - \alpha)\cos(\beta - \alpha) \neq 0$ , the only solution to Eq. (A4) is  $m_{H^{\pm}}^2 = m_A^2$ .

Precision electroweak data implies that T is close to zero [72]. Thus, we shall require that the expression on the left hand side of Eq. (A4) must be close to zero. One way this can be achieved is if  $m_{H^\pm}^2 \simeq m_A^2$ . However, the precision Higgs data implies that  $|\cos(\beta-\alpha)|$  is small (under the assumption that the SM-like Higgs boson is the lighter of the two CP-even scalars). Thus, a second way to achieve a very small value for the left-hand side of Eq. (A4) is to demand that

$$|\mathcal{F}(m_{H^{\pm}}^{2}, m_{A}^{2}) + \mathcal{F}(m_{H^{\pm}}^{2}, m_{H}^{2}) - \mathcal{F}(m_{A}^{2}, m_{H}^{2})|$$

$$= \mathcal{O}((\cos^{2}(\beta - \alpha)) \ll 1. \tag{A5}$$

Eq. (A5) is approximately satisfied if either  $m_{H^{\pm}}^2 \simeq m_A^2$  or  $m_{H^{\pm}}^2 \simeq m_H^2$ .

## APPENDIX B: $b \rightarrow s\gamma$ CONSTRAINTS ON THE *CP*-CONSERVING A2HDM PARAMETER SPACE

In the A2HDM, tree-level Higgs-mediated FCNCs are absent due to the flavor-alignment conditions specified in Eq. (20). However, Higgs-mediated FCNCs can be generated at the one-loop level due to charged Higgs boson exchange. Indeed, there are numerous flavor observables that can potentially provide constraints on  $m_{H^{\pm}}$  and the flavor-alignment parameters. In Ref. [50], a comprehensive analysis of the constraints on the A2HDM is provided based on the theoretical predictions and experimental analyses of a variety of processes:  $B \rightarrow \tau \nu, D \rightarrow \mu \nu, D_s \rightarrow \tau \nu, D_s \rightarrow \mu \nu, K \rightarrow \mu \nu, \pi \rightarrow \mu \nu, B_s^0 \rightarrow \mu^+ \mu^-, B_d^0 \rightarrow \mu^+ \mu^-, \tau \rightarrow K \nu, \tau \rightarrow \pi \nu, \bar{B} \rightarrow X_s \gamma, K - \bar{K}$  mixing,  $B_d^0 - \bar{B}_d^0$  mixing, and  $B_s^0 - \bar{B}_s^0$  mixing. A subset of these flavor observables has also been considered in Ref. [73].

In a significant fraction of the parameter space, the main constraints on the A2HDM parameters can be obtained by comparing the experimental observation with the Standard Model prediction for the rate of inclusive radiative decay,  $b \to s\gamma$  (or more precisely, the process  $\bar{B} \to X_s\gamma$ , where  $X_s$  is any hadronic state that contains an s quark). In practice, one takes the observed photon energy  $E_{\gamma}$  to be larger than some cutoff,  $E_0$ . For example, the prediction for the branching ratio of  $b \to s\gamma$  in the Standard Model obtained in Ref. [59] is,

$$BR(b \to s\gamma)_{E_{\nu} > E_0 = 1.6 \text{ GeV}} = (3.40 \pm 0.17) \times 10^{-4}, \quad (B1)$$

which is to be compared with the current world average of the experimentally measured branching ratio compiled by the HFLAV Collaboration [74],

$$BR(b \to s\gamma)_{E_v > E_0 = 1.6 \text{ GeV}} = (3.49 \pm 0.19) \times 10^{-4}.$$
 (B2)

The SM prediction exhibited in Eq. (B1) is modified in the 2HDM due to charged Higgs boson exchange,

$$BR(b \to s\gamma)_{E_{\nu} > E_0} = BR(b \to s\gamma)^{SM} + \delta BR(b \to s\gamma).$$
 (B3)

In the 2HDM, the dominant contributions to  $\delta$ BR arise through the effective operators

$$\mathcal{O}_{7} = \frac{e}{16\pi^{2}} m_{b} (\bar{s}_{L} \sigma^{\mu\nu} b_{R}) F_{\mu\nu}, 
\mathcal{O}_{8} = \frac{g_{s}}{16\pi^{2}} m_{b} (\bar{s}_{L} \sigma^{\mu\nu} t^{a} b_{R}) G^{a}_{\mu\nu},$$
(B4)

corresponding to one-loop electroweak and QCD penguin diagrams, respectively, at lowest order (LO). Next-to-leading order (NLO) corrections have also been obtained in Refs. [75–79]. A convenient numerical formula based on Refs. [80–82] has been provided in Ref. [50] in terms of the Wilson coefficients evaluated at the scale  $\mu_t = 160$  GeV,

$$\begin{split} \delta \mathrm{BR}(b \to s \gamma) &= 10^{-4} \times \left(\frac{r_V}{0.9626}\right) \mathrm{Re}[-8.100 \, \mathcal{C}_7^{\mathrm{LO}} - 2.509 \, \mathcal{C}_8^{\mathrm{LO}} + 2.767 \, \mathcal{C}_7^{\mathrm{LO}} \mathcal{C}_8^{\mathrm{LO}*} \\ &+ 5.348 |\mathcal{C}_7^{\mathrm{LO}}|^2 + 0.890 |\mathcal{C}_8^{\mathrm{LO}}|^2 - 0.085 \, \mathcal{C}_7^{\mathrm{NLO}} - 0.025 \, \mathcal{C}_8^{\mathrm{NLO}} \\ &+ 0.095 \, \mathcal{C}_7^{\mathrm{LO}} \mathcal{C}_7^{\mathrm{NLO}*} + 0.008 \, \mathcal{C}_8^{\mathrm{LO}} \mathcal{C}_8^{\mathrm{NLO}*} + 0.028 (\mathcal{C}_7^{\mathrm{LO}} \mathcal{C}_8^{\mathrm{NLO}*} + \mathcal{C}_7^{\mathrm{NLO}} \mathcal{C}_8^{\mathrm{LO}*})], \end{split} \tag{B5}$$

where  $C_i^{\text{LO}}$  and  $C_i^{\text{NLO}}$  indicate the charged Higgs contributions from  $\mathcal{O}_i$  for i = 7, 8 at leading and next-to-leading order, respectively, and  $r_V$  is the ratio of the product of CKM matrix elements [35],

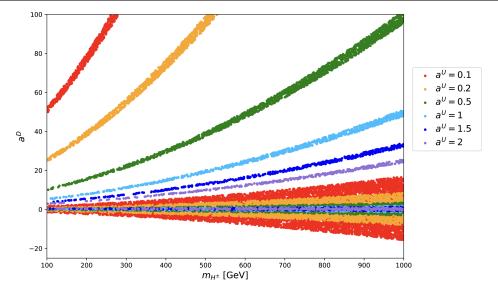


FIG. 15. Regions of the A2HDM parameter space that satisfy  $|\delta BR(b \to s\gamma)| \le 4 \times 10^{-5}$ .

$$r_V \equiv \left| \frac{V_{ts}^* V_{tb}}{V_{ch}} \right|^2 \simeq 0.964. \tag{B6}$$

In the A2HDM, the forms of  $C_i^{\text{LO}}$  and  $C_i^{\text{NLO}}$  for  $i=7,\,8$  are given by,

$$C_i^{\text{LO}} = \frac{1}{3} (a^U)^2 G_1^i (y_{H^{\pm}}^t) - a^U a^D G_2^i (y_{H^{\pm}}^t), \tag{B7}$$

$$\begin{split} \mathcal{C}_{i}^{\rm NLO} &= (a^{U})^{2} C_{1}^{i}(y_{H^{\pm}}^{t}) - a^{U} a^{D} C_{2}^{i}(y_{H^{\pm}}^{t}) \\ &+ \left[ (a^{U})^{2} D_{1}^{i}(y_{H^{\pm}}^{t}) - a^{U} a^{D} D_{2}^{i}(y_{H^{\pm}}^{t}) \right] \ln \frac{\mu_{t}^{2}}{m_{H^{\pm}}^{2}}, \end{split} \tag{B8}$$

where  $y_{H^{\pm}}^t \equiv m_t^2/m_{H^{\pm}}^2$  and the explicit expressions for the loop functions  $G_a^i$ ,  $C_a^i$ , and  $D_a^i$  are given in Appendix 5.1 of Ref. [50].

In light of Eqs. (B1) and (B2), the contributions to  $\delta BR(b \to s\gamma)$  from new physics beyond the Standard Model cannot be larger than a few times  $10^{-5}$ . However, in order to rule out a particular new physics scenario at roughly 95% C.L., one should consider the  $2\sigma$  error bars on the SM prediction and the experimental observation. In this paper, we have imposed the requirement that

$$|\delta BR(b \to s\gamma)| \le 4 \times 10^{-5}$$
. (B9)

Using Eq. (B9), one can constrain the  $\{a^U, a^D, m_{H^{\pm}}\}$  parameter space of the *CP*-conserving A2HDM. Applying these constrains to the special cases of  $a^U = a^D$  and  $a^U = -1/a^D$  yields the  $b \to s\gamma$  constraints of the type-I and II 2HDM, respectively.

The most recent  $b \rightarrow s\gamma$  constraints of the type-I and II 2HDM can be found in Refs. [52,59]. More general A2HDM

constraints can be found in Refs. [50,83,84]. In this appendix, we shall provide more detailed plots of the  $b \rightarrow s\gamma$  constraints on the CP-conserving A2HDM. These constraints are then used in our survey of 2HDM models that are consistent with the two scenarios examined in Secs. V and VI. Note that Eqs. (B7) and (B8) are invariant under simultaneously transforming  $a^U \rightarrow -a^U$  and  $a^D \rightarrow -a^D$ . For convenience, we shall henceforth take  $a^U$  positive without loss of generality. In our exploration of the A2HDM parameter space, we generously consider values of  $\{a^U, a^D\}$  such that  $0 < a^U < 2$  and  $|a^D| < 100$ .

In Fig. 15, we show the allowed regions of the A2HDM parameter space that satisfy the  $b \rightarrow s\gamma$  constraint specified in Eq. (B9). To better see the evolution of these results as  $a^U$  increases, we have exhibited six separate panels in Fig. 16, each one corresponding to a different fixed value of  $a^U$ . It is instructive to recover the constraints of the type I and II 2HDM from the more general A2HDM constraints shown in Fig. 16. It is possible to illustrate the evolution from type I to type II inside the A2HDM parameter space by employing the following parametrization (in a convention where  $a^U > 0$ ),

$$a^{D} = (a^{U})^{p} \operatorname{sgn} p, \quad -1 \le p \le 1.$$
 (B10)

where  $\operatorname{sgn} p = 1$  for p > 0 and  $\operatorname{sgn} p = -1$  for p < 0. Note that p = 1 corresponds to type I Yukawa couplings whereas p = -1 corresponds to type II Yukawa couplings. By varying p, one can determine  $a^D$  via Eq. (B10) [subject

<sup>&</sup>lt;sup>27</sup>Changing the sign  $a^F \rightarrow -a^F$  in the charged Higgs Yukawa couplings given in Eq. (38) corresponds to changing the sign of  $\varepsilon$  [cf. Eq. (27)] or equivalently changing the sign of the Higgs basis field  $\mathcal{H}_2$ , which has no physical consequence. However, the sign of the product  $a^U a^D$  is physical.

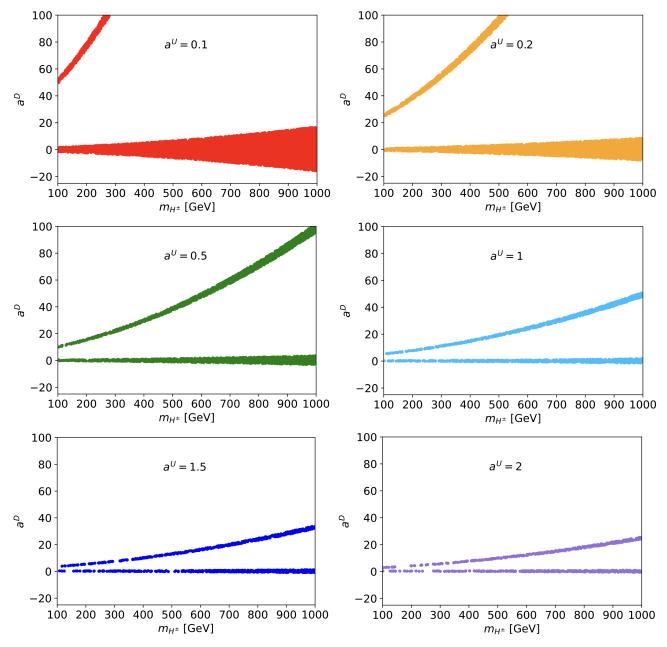


FIG. 16. Regions of the A2HDM parameter space that satisfy  $|\delta BR(b \to s\gamma)| \le 4 \times 10^{-5}$  for fixed values of  $a^U = 0.1, 0.2, 0.5, 1.0, 1.5$ , and 2.0. Combining the six panels above yields the plot shown in Fig. 15.

to  $|a^D| < 100$ ]. The evolution of the type-I 2HDM constraints into the type-II constraints as p varies from +1 to -1 is shown in Fig. 17. We show results in the  $m_{H^\pm}$  vs.  $1/a^U$  plane, since in both the type-I and type-II 2HDM, we can identify  $\tan \beta = 1/a^U$ . Indeed, we see that the  $a^D = a^U$  panel of Fig. 17 is consistent with the excluded parameter regime of the type-I 2HDM, whereas the  $a^D = -1/a^U$  panel of Fig. 17 is consistent with the excluded

parameter regime of the type-II 2HDM (cf. Ref. [52]). For values of  $|p| \neq 1$ ,  $1/a^U$  does not have the interpretation of  $\tan \beta$  (as this parameter is no longer physical). Nevertheless, the sequence of panels exhibited in Fig. 17 provides some understanding on how the evolution between type-I and type-II occurs.<sup>29</sup>

<sup>&</sup>lt;sup>28</sup>More precisely,  $\tan \beta = \varepsilon/a^U$  in light of Eqs. (41) and (42). Having chosen  $a^U > 0$ , it then follows that  $\varepsilon = 1$  in a convention where  $\tan \beta$  is positive.

<sup>&</sup>lt;sup>29</sup>Strictly speaking, the evolution is not continuous, since at p = 0, the sign of p is undefined and one switches between positive and negative p as one passes through zero. Indeed, only half of the A2HDM parameter space is accessed in this way, since we do not consider parameter points where the sign of  $a^D$  is  $-\operatorname{sgn} p$  (in the convention of positive  $a^U$ ).

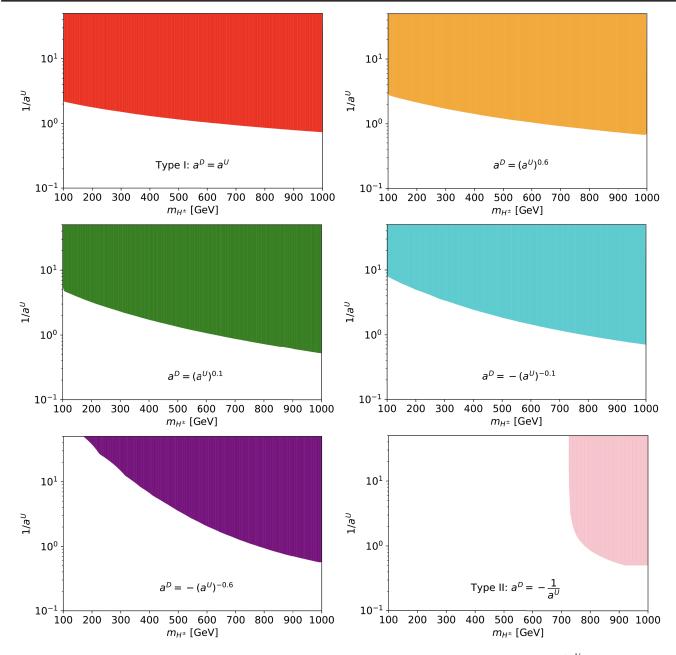


FIG. 17. Regions of the A2HDM parameter space (indicated by the various colors) in the  $m_{H^\pm}$  vs.  $1/a^U$  plane that satisfy  $|\delta \text{BR}(b \to s \gamma)| \le 4 \times 10^{-5}$ . The uncolored regions are excluded. The value of  $a^D$  is fixed by  $a^D = (a^U)^p \text{sgn} p$ . As p varies, we include all parameter points in which  $|a^D| < 100$ . The sequence of panels correspond to p = 1, 0.6, 0.1, -0.1, -0.6, and -1. The case of p = 1 [p = -1] corresponds to the type I [type II] 2HDM. In these two cases, in a convention where  $\tan \beta$  is positive, one may identify  $\tan \beta = 1/a^U$ .

In some earlier works, only the leading order (LO) corrections to  $b \rightarrow s\gamma$  were included. Although the LO results provide a fairly good representation of the excluded regions in some of the parameter regimes, there are noticeable differences with the more accurate NLO result. In Fig. 18, we exhibit the regions of the  $a^U$  vs.  $a^D$  parameter

space in which  $|\delta BR(b \to s\gamma)| \le 4 \times 10^{-5}$  based on the LO computation [where  $C_i^{\rm NLO} = 0$  in Eq. (B6)] and the NLO computation, respectively. A blue point is plotted in Fig. 18(a) and (b) as long as the branching ratio inequality is satisfied for at least one value of the charged Higgs mass (which is allowed to vary between 100 and 1000 GeV).

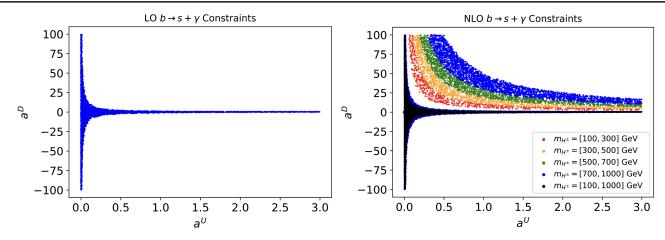


FIG. 18. LO vs. NLO constraints due to  $b \to s\gamma$  in the  $a^U$  vs.  $a^D$  plane, with charged Higgs masses separated by color. All points shown above satisfy  $|\delta \text{BR}(b \to s\gamma)| \le 4 \times 10^{-5}$ .

# APPENDIX C: $\Delta M_{B_s}$ CONSTRAINTS ON THE CP-CONSERVING A2HDM PARAMETER SPACE

Among the flavor observables discussed in Ref. [50], the observation of  $b \to s\gamma$ , which is consistent with the prediction of the Standard Model, provides the most important constraint on the A2HDM parameter space. However, there exists some regions of this parameter space where the consideration of  $B_s - \bar{B}_s$  adds an additional constraint beyond what is excluded by  $b \to s\gamma$ .

In Ref. [51], the observed value of  $\Delta M_{B_s} = 17.241(20)~\rm ps^{-1}$  obtained from  $B_s - \bar{B}_s$  oscillation data is compared with the Standard Model prediction,  $17.94(69)~\rm ps^{-1}$  based on a global fit of flavor observables. Since the error in the Standard Model prediction is still considerably larger than the precision of the measured value, we chose to identify the  $2\sigma$  error in the theoretical prediction as the upper limit to the contribution to  $|\Delta M_{B_s}|$  of new physics beyond the Standard Model. Using the results of Ref. [51], the contribution to  $\Delta M_{B_s}$  due to the contributions of the charged Higgs boson arise through the effective operators

$$\mathcal{O}_{VLL} = \bar{s}^{\alpha} \gamma_{\mu} (1 - \gamma_5) b^{\alpha} \bar{s}^{\beta} \gamma^{\mu} (1 - \gamma_5) b^{\beta}, \qquad (C1)$$

$$\mathcal{O}_{SRR} = \bar{s}^{\alpha} (1 + \gamma_5) b^{\alpha} \bar{s}^{\beta} (1 + \gamma_5) b^{\beta}, \tag{C2}$$

$$\mathcal{O}_{TRR} = \bar{s}^{\alpha} \sigma_{\mu\nu} (1 + \gamma_5) b^{\alpha} \bar{s}^{\beta} \sigma^{\mu\nu} (1 + \gamma_5) b^{\beta}, \quad (C3)$$

and is given by,

$$\Delta M_{B_s} \equiv 2|\langle B_s^0|H^{\Delta B=2}|\bar{B}_s^0\rangle| = (\Delta M_{B_s})^{\rm SM} + \delta \Delta M_{B_s}, \quad (C4)$$

$$\delta \Delta M_{B_s} = \frac{G_F^2 m_W^2 m_{B_s}}{24\pi^2} |V_{tq} V_{tb}^*|^2 f_{B_s}^2 [\hat{B}_{B_s} \eta_B C_V + \hat{B}_{B_s}^{ST} \eta_{B_s}^{ST} C_{ST}], \tag{C5}$$

where the  $\hat{B}_{B_s}$  [ $\hat{B}_{B_s}^{ST}$ ] parametrize the nonperturbative effects in the hadronic matrix element of  $\mathcal{O}_{VLL}$  [ $\mathcal{O}_{SRR}$  and  $\mathcal{O}_{TRR}$ ],  $\eta_B$  [ $\eta_{B_s}^{ST}$ ] account for NLO QCD corrections [85], and the corresponding Wilson coefficients are given by<sup>30</sup>

$$C_V = x_t [2x_t A_{WH}(x_t, x_b) + x_t A_{HH}(x_t, x_b)],$$
  

$$C_{ST} = 4x_b x_t^2 [A_{WH}^{ST}(x_t) + A_{HH}^{ST}(x_t)],$$
 (C6)

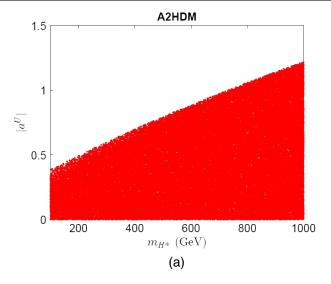
with  $x_q \equiv [m_q(m_q)]^2/m_W^2$  equal to the square of the  $\overline{\rm MS}$  quark mass normalized to the W boson mass. The explicit expressions for  $A_{WH}, A_{HH}, A_{WH}^{ST}$ , and  $A_{HH}^{ST}$  can be found in Appendix B.2 of Ref. [50]. We have evaluated these functions employing the Standard Model parameters taken from the UTfit Collaboration global fit of flavor parameters [51] and the parameters associated with the charged Higgs contributions given in Ref. [50].

Imposing the upper limit to the contribution to  $|\Delta M_{B_s}|$  of new physics beyond the Standard Model on the A2HDM parameter space when scanned over the values exhibited in Table V, we have found no constraint on the flavoralignment parameters  $a^D$  and  $a^E$  [of course,  $a^E$  does not appear in Eq. (C5)]. However, we do find an upper bound for  $|a^U|$  shown in panel (a) of Fig. 19. Note that the excluded region of the A2HDM parameter space due to the constraint on  $\Delta M_{B_s}$  roughly coincides with the corresponding excluded region of the type-I 2HDM in the  $m_{H^\pm}$  vs.  $\tan \beta$  plane exhibited in Fig. 8 of Ref. [52] after identifying  $\tan \beta = 1/|a^U|$ . This result is not surprising in light of the small numerical contribution from terms that depend on  $a^D$ .

In panel (b) of Fig. 19, we show the result of Fig. 9 prior to imposing the constraint from  $\Delta M_{B_s}$ . The red, green and

<sup>&</sup>lt;sup>30</sup>The Standard Model contribution to  $C_V$  has been omitted from Eq. (C6).

<sup>&</sup>lt;sup>31</sup>Values for  $G_F$  and  $m_W$  are taken from Ref. [35] and we employ the value of  $\eta_B = 0.5510 \pm 0.0022$  quoted in Ref. [85].



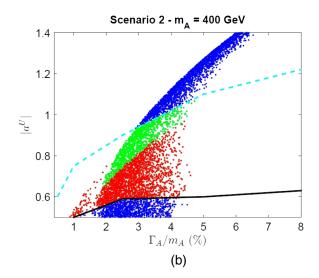


FIG. 19. Impact of the  $\Delta M_{B_s}$  bound on the A2HDM parameter space. In panel (a) all points shown are from a general scan on  $a^U$ ,  $a^D$  and  $m_{H^\pm}$  which pass the  $\Delta M_{B_s}$  bound. In panel (b) we exhibit the ratio of the A width to its mass (with  $m_A=400$  GeV) as a function of the flavor-alignment parameter  $|a^U|$  in scenario 2. The blue points are the result of a scan over A2HDM parameters, subject to the theoretical and experimental constraints elucidated in Sec. IV prior to imposing the  $\Delta M_{B_s}$  bound. The dashed cyan (solid black) line correspond to the observed (expected) 95% C.L. upper limit on the cross section for  $gg \to A \to t\bar{t}$  reported by the CMS Collaboration in Ref. [23], translated into an upper limit for  $|a^U|$  as a function of  $\Gamma_A/m_A$ . As for the remaining scan points that lie between the dashed cyan and solid black curve, the green points are eliminated after imposing the  $\Delta M_{B_s}$  constraint. The surviving red scan points constitute the proposed signal of scenario 2.

blue points are all consistent with the  $b \to s\gamma$  constraint. Imposing the experimental constraint based on the 95% C.L. upper limit on the cross section for  $gg \to A \to t\bar{t}$  reported by the CMS Collaboration in Ref. [23], we can

eliminate the scan points that lie above the dashed cyan line. Finally, the result of imposing the  $\Delta M_{B_s}$  constraint is to remove the green points from the scan. The remaining red scan points constitute the proposed signal of scenario 2.

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